

2021 Uniform Reporting Summary Output Tables Executive Summary

State Mental Health Agencies (SMHAs) compile and report annual data as part of their application package for SAMHSA's Community Mental Health Block Grant. These compiled data constitute the Uniform Reporting System (URS).

The URS is comprised of 21 tables that are developed by SAMHSA in consultation with SMHAs. The 2021 URS Output Tables present findings from 59 states and U.S. territories. Each output table provides summary information on the SMHA clients served in 2021: sociodemographic characteristics of clients served, outcomes of care, use of selected evidence-based practices, client assessment of care, insurance status, living situation, employment status, and readmission to state psychiatric hospitals within 30 and 180 days. Key data highlights are listed below:

- In 2021, 8,195,517 clients received SMHA services from 59 states. This is an increase from 8,013,396 SMHA clients in 2020. There were 5,789,234 adults (or 17.3 per 1,000), 2,175,848 children (or 29.9 per 1,000) served. About 9,311 (0.1 percent) clients were missing age data.
- Among SMHA clients, there were 4,342,545 (53.0 percent) females served while there were 3,806,666 (46.4 percent) males served. In 21 states and U.S. territories, more SMHA males were served than females. While in 38 states and U.S. territories, more SMHA females were served.
- The age distribution among SMHA clients was the largest among ages 25-44 with 32.9 percent, followed by ages 45-64 with 23.6 percent, children ages 0-12 with 14.8 percent, and children ages 13-17 with 12.1 percent.
- The highest percentage of SMHA clients that were served was among the White population with 58.1 percent, followed by Black or African Americans with 17.9 percent, and only 15.7 percent for Hispanics.

New York 2021 Mental Health National Outcome Measures (NOMS): SAMHSA Uniform Reporting System

Utilization Rates/Number of Consumers Served	U.S.	State	U.S. Rate	States
Penetration Rate per 1,000 population	8,195,517	43.05	24.59	59
Community Utilization per 1,000 population	7,974,393	40.08	23.93	59
State Hospital Utilization per 1,000 population	114,987	0.37	0.36	53
Other Psychiatric Inpatient Utilization per 1,000 population	454,356	3.86	1.52	45
Adult Employment Status	U.S.	State	U.S. Rate	States
Employed (Percent in Labor Force)*	809,009	58.9%	48.2%	59
Employed (percent with Employment Data)**	809,009	23.7%	24.6%	59
Adult Consumer Survey Measures		State	U.S. Rate	States
Positive About Outcome		78.4%	77.1%	51
Child/Family Consumer Survey Measures		State	U.S. Rate	States
Positive About Outcome		85.6%	72.2%	47
Readmission Rates:(Civil "non-Forensic" clients)	U.S.	State	U.S. Rate	States
State Hospital Readmissions: 30 Days	5,504	4.9%	7.6%	43
State Hospital Readmissions: 180 Days	12,996	14.8%	17.8%	47
State Hospital Readmissions: 30 Days: Adults	5,098	6.0%	7.6%	43
State Hospital Readmissions: 180 Days: Adults	12,003	14.7%	17.9%	47
State Hospital Readmissions: 30 Days: Children	405	3.8%	7.1%	17
State Hospital Readmissions: 180 Days: Children	992	15.0%	17.4%	19
Living Situation	U.S.	State	U.S. Rate	States
Private Residence	4,153,224	85.0%	84.9%	58
Homeless/Shelter	211,853	5.5%	4.3%	54
Jail/Correctional Facility	72,129	3.2%	1.5%	51
Adult EBP Services	U.S.	State	U.S. Rate	States
Supported Housing	93,371	4.6%	3.0%	32
Supported Employment	65,963	0.2%	1.9%	42
Assertive Community Treatment	71,933	1.5%	2.0%	43
Family Psychoeducation	36,136	-	2.6%	15
Dual Diagnosis Treatment	196,790	-	9.2%	24
Illness Self Management	302,204	-	18.7%	21
Medications Management	535,687	-	30.5%	21
Child/Adolescent EBP Services	U.S.	State	U.S. Rate	States
Therapeutic Foster Care	13,334	-	1.7%	21
Multisystemic Therapy	28,447	-	3.7%	20
Functional Family Therapy	28,506	-	5.1%	14
Change in Social Connectedness		State	U.S. Rate	States
Adult Improved Social Connectedness		77.1%	77.6%	49
Child/Family Improved Social Connectedness		87.2%	87.5%	43

*Denominator is the sum of consumers employed and unemployed.

**Denominator is the sum of consumers employed, unemployed, and not in labor force.

SAMHSA Uniform Reporting System - 2021 State Mental Health Measures

New York

	State Number	State Rate	U.S.	U.S. Rate	States	
Utilization						
Penetration Rate per 1,000 population	832,509	43.05	8,195,517	24.59	59	
Community Utilization per 1,000 population	775,030	40.08	7,974,393	23.93	59	
State Hospital Utilization per 1,000 population	7,107	0.37	114,987	0.36	53	
Medicaid Funding Status	455,092	57%	5,836,399	74%	59	
Employment Status (percent employed)	120,085	24%	809,009	25%	59	
State Hospital Adult Admissions	2,349	0.42	82,535	0.76	52	
Community Adult Admissions	304,229	0.54	14,015,260	2.75	52	
Percent Adults with SMI and Children with SED	717,764	86%	5,957,224	73%	59	
Utilization		State Rate	U.S. Rate		States	
State Hospital LOS Discharged Adult patients (Median)		141 Days	105 Days		51	
State Hospital LOS for Adult Resident patients in facility <1 year (Median)		111 Days	81 Days		51	
Percent of Client who meet Federal SMI definition		89%	71%		57	
Adults with Co-occurring MH/SA Disorders		26%	29%		57	
Children with Co-occurring MH/SA Disorders		2%	6%		55	
Adult Consumer Survey Measures		State Rate	U.S. Rate		States	
Access to Services		90.5%	87.8%		51	
Quality/Appropriateness of Services		90.7%	89.8%		51	
Outcome from Services		78.4%	77.1%		51	
Participation in Treatment Planning		83.8%	86.3%		51	
General Satisfaction with Care		88.7%	89.0%		51	
Child/Family Consumer Survey Measures		State Rate	U.S. Rate		States	
Access to Services		96.5%	87.9%		46	
General Satisfaction with Care		96.6%	86.8%		46	
Outcome from Services		85.6%	72.2%		47	
Participation in Treatment Planning		97.6%	89.1%		47	
Cultural Sensitivity of Providers		99.5%	93.8%		45	
Consumer Living Situations		State Number	State Rate	U.S.	U.S. Rate	States
Private Residence	664,735	85.0%	4,153,224	84.9%	58	
Jail/Correctional Facility	24,868	3.2%	72,129	1.5%	51	
Homeless or Shelter	42,901	5.5%	211,853	4.3%	54	
Hospital Readmissions		State Number	State Rate	U.S.	U.S. Rate	States
State Hospital Readmissions: 30 Days	150	4.9%	5,504	7.6%	43	
State Hospital Readmissions: 180 Days	450	14.8%	12,996	17.8%	47	
Readmission to any psychiatric hospital: 30 Days	10,691	15.6%	32,872	14.5%	20	
State Mental Health Finance (2021)		State Number	State Rate	U.S.	U.S. Rate	States
SMHA Expenditures for Community Mental Health*	-	-	\$27,971,801,374	70.8%	56	
State Expenditures from State Sources	-	-	\$15,560,974,166	39.4%	54	
Total SMHA Expenditures	-	-	\$39,525,909,269	-	56	
Adult Evidence-Based Practices		State Number	State Rate	U.S.	U.S. Rate	States
Assertive Community Treatment	8,205	1.5%	71,933	2.0%	43	
Supported Housing	25,208	4.6%	93,371	3.0%	32	
Supported Employment	848	0.2%	65,963	1.9%	42	
Family Psychoeducation	-	-	36,136	2.6%	15	
Integrated Dual Diagnosis Treatment	-	-	196,790	9.2%	24	
Illness Self-Management and Recovery	-	-	302,204	18.7%	21	
Medications Management	-	-	535,687	30.5%	21	
Child Evidence Based Practices		State Number	State Rate	U.S.	U.S. Rate	States
Therapeutic Foster Care	-	-	13,334	1.7%	21	
Multisystemic Therapy	-	-	28,447	3.7%	20	
Functional Family Therapy	-	-	28,506	5.1%	14	
Outcome		State Number	State Rate	U.S.	U.S. Rate	States
Adult Criminal Justice Contacts	6,315	1.3%	21,059	2.0%	34	
Juvenile Justice Contacts	235	45.2%	2,636	0.7%	36	
School Attendance (Improved)	140	33.3%	4,873	25.9%	24	

* Includes primary prevention, evidence-based practices for early serious mental illness, and Other 24-Hour Care

Mental Health Community Services Block Grant: 2021 State Summary Report

New York

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State Revenue Expenditure Data

	Amount
FY 2021 Mental Health Block Grant Expenditures	-
FY 2021 SMHA Community MH Expenditures	-
FY 2021 Per Capita Community MH Expenditures	-
FY 2021 Community Percent of Total SMHA Spending	-
FY 2021 Total SMHA Mental Health Expenditure	-
FY 2021 Per Capita Total SMHA Mental Health Expenditures	-

Statewide Mental Health Agency Data*

Measure	Number of Clients	Utilization Rate Per 1,000 Population
Total Clients Served by SMHA System	832,509	43.1
Clients Served in Community Settings	775,030	40.1
Clients Served in State Hospitals	7,107	0.4
Gender	Percent	
Female	51.6%	
Male	48.0%	
Not Available	0.4%	
Race/Ethnicity	Percent	
American Indian or Alaska Native	0.5%	
Asian	2.5%	
Black or African American	24.2%	
Native Hawaiian or Other Pacific Islander	0.2%	
White	50.7%	
More Than One Race	2.4%	
Not Available	19.5%	
Employment With Known Status (Adults)	Percent	
Employed	23.7%	
Unemployed	16.6%	
Not In Labor Force	59.7%	
Medicaid Funding Status of Consumers	Percent	
Medicaid Only	45.0%	
Non-Medicaid	42.9%	
Both Medicaid and Other Funds	12.1%	
Consumer Perception of Care: (Adults)	Percent	
Access to Services	90.5%	
Quality/Appropriateness of Services	90.7%	
Outcome from Services	78.4%	
Participation in Treatment Planning	83.8%	
Overall Satisfaction with Care	88.7%	
Implementation of Evidence-Based Practices	Percent	
Assertive Community Treatment	1.5%	
Supported Housing	4.6%	
Supported Employment	0.2%	
Family Psychoeducation	-	
Integrated Dual Diagnosis Treatment	-	
Illness Self-Management and Recovery	-	
Medications Management	-	
Therapeutic Foster Care	-	
Multisystemic Therapy	-	
Functional Family Therapy	-	
Outcome Measures Developmental	Percent	
Adults Arrested this Year	1.3%	
Youth Arrested this Year	45.2%	
Improved School Attendance	33.3%	
Hospital Readmissions (Civil Status Patients)	Percent	
State Hospital Readmissions: 30 Days	4.9%	
State Hospital Readmissions: 180 Days	14.8%	
Readmission to any psychiatric hospital: 30 Days	15.6%	

* Based on 2021 URS data provided by US States and Territories per annual reporting guidelines.



The Community Mental Health Block Grant is administered by the Center for Mental Health Services within the Substance Abuse and Mental Health Services Administration of the US Department of Health and Human Services

Access Domain: Demographic Characteristics of Persons Served by the State Mental Health Authority, FY 2021
New York
Reporting Period: 10/28/2018 To: 10/27/2019

Demographics	Total Served				Penetration Rates			States Reporting	
	State		US		(per 1,000 population)				
	n	%	n	%	State	Northeast	US		
Total	832,509	100.0%	8,195,517	100.0%	43.1	36.3	24.6	59	
0-12	112,838	13.6%	1,214,861	14.8%	39.3	33.0	23.4	59	
13-17	105,927	12.7%	994,123	12.1%	95.0	68.4	47.5	59	
18-20	40,473	4.9%	397,938	4.9%	55.7	41.2	31.1	59	
21-24	46,859	5.6%	482,151	5.9%	47.1	37.7	28.0	59	
25-44	245,967	29.5%	2,697,362	32.9%	46.7	41.9	30.6	59	
45-64	214,737	25.8%	1,933,469	23.6%	43.0	36.2	23.4	59	
65-74	47,877	5.8%	330,948	4.0%	25.0	20.7	10.2	59	
75 and over	17,237	2.1%	132,547	1.6%	11.8	14.3	5.7	59	
Age Not Available	594	0.1%	12,118	0.1%	-	-	-	27	
Female	429,750	51.6%	4,342,545	53.0%	43.2	37.4	25.7	59	
Male	399,458	48.0%	3,806,666	46.4%	42.5	35.0	23.2	59	
Gender Not Available	3,301	0.4%	46,306	0.6%	-	-	-	40	
American Indian/Alaskan Native	3,887	0.5%	144,801	1.8%	19.8	26.4	33.7	53	
Asian	21,201	2.5%	119,655	1.5%	11.9	9.5	6.0	56	
Black/African American	201,743	24.2%	1,463,948	17.9%	59.2	53.1	32.9	54	
Native Hawaiian/Pacific Islander	1,345	0.2%	25,191	0.3%	48.2	45.0	30.3	53	
White	422,099	50.7%	4,764,161	58.1%	31.5	26.8	19.0	57	
Multi-Racial	19,760	2.4%	217,686	2.7%	37.8	23.5	24.9	49	
Race Not Available	162,474	19.5%	1,460,075	17.8%	-	-	-	53	
Hispanic or Latino Ethnicity	184,903	22.2%	1,159,327	15.7%	49.5	38.9	19.8	52	
Not Hispanic or Latino Ethnicity	615,213	73.9%	5,506,816	74.6%	39.4	32.5	21.5	56	
Ethnicity Not Available	32,393	3.9%	719,808	9.7%	-	-	-	46	

Note:
Are Client Counts Unduplicated?

Unduplicated

Number of States with Unduplicated Counts 43

This table uses data from URS Table 2a, Table 2b and from the US Census Bureau. All denominators use US Census data from 2020

US totals are calculated uniquely for each data element based on only those states who reported clients served.

Regional groupings are based on SAMHSA's Block Grant Regions.

State Notes:
Table 2a

 Age None
 Gender None
 Race None

Overall Source: Patient Characteristics Survey (PCS 2019); 95% Confidence Interval for the total population is 754,868 to 925,541

Table 2b

 Age None
 Gender None
 Race None

Overall Source: Patient Characteristics Survey (PCS 2019); 95% Confidence Interval for the total population is 754,868 to 925,541

Access Domain: Persons Served in Community Mental Health Programs by Age and Gender, FY 2021

New York

Reporting Period: 1/1/2020 To: 3/31/2021

Demographic	Served in Community				Penetration Rates (rate per 1,000 population)		States Reporting
	State		US		State	US	
	n	%	n	%			
Age 0-17	210,539	27.2%	2,175,848	27.3%	52.8	29.9	59
Age 18-20	36,288	4.7%	384,561	4.8%	50.0	30.1	59
Age 21-64	465,849	60.1%	4,957,110	62.2%	41.4	26.3	59
Age 65+	61,764	8.0%	447,563	5.6%	18.3	7.5	59
Age Not Available	590	0.1%	9,311	0.1%	-	-	24
Age Total	775,030	100.0%	7,974,393	100.0%	40.1	23.9	59
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Female	407,123	52.5%	4,253,623	53.3%	40.9	25.1	59
Male	364,779	47.1%	3,675,171	46.1%	38.8	22.4	59
Gender Not Available	3,128	0.4%	45,599	0.6%	-	-	39
Total	775,030	100.0%	7,974,393	100.0%	40.1	23.9	59

Note:

US totals are based on states reporting.

This table uses data from URS Table 3.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

Age	None
Gender	None
Overall	Community MH includes all settings except inpatient. State Hospital and RTC (SFY 4/2020-3/2021). Institutions under the Justice System includes prison-based components of CNYPC. See General Comments for details regarding Other Psychiatric Inpatient.

Access Domain: Persons Served in State Psychiatric Hospitals by Age and Gender, FY 2021
New York
Reporting Period: 1/1/2020 To: 3/31/2021

Demographic	Served in State Psychiatric Hospitals				Penetration Rates (rate per 1,000 population)		States Reporting
	State		US		State	US	
	n	%	n	%			
Age 0-17	1,578	22.2%	6,039	5.3%	0.4	0.1	32
Age 18-20	125	1.8%	4,304	3.7%	0.2	0.3	51
Age 21-64	4,667	65.7%	96,923	84.3%	0.4	0.5	53
Age 65+	737	10.4%	7,710	6.7%	0.2	0.1	52
Age Not Available	-	-	11	0.0%	-	-	4
Age Total	7,107	100.0%	114,987	100.0%	0.4	0.4	53
Female	2,463	34.7%	35,696	31.0%	0.2	0.2	53
Male	4,644	65.3%	79,020	68.7%	0.5	0.5	53
Gender Not Available	-	-	271	0.2%	-	-	13
Total	7,107	100.0%	114,987	100.0%	0.4	0.4	53

Notes:

US totals are based on states reporting.

This table uses data from URS Table 3.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

Age	None
Gender	None
Overall	Community MH includes all settings except inpatient. State Hospital and RTC (SFY 4/2020-3/2021). Institutions under the Justice System includes prison-based components of CNYPC. See General Comments for details regarding Other Psychiatric Inpatient.

Access Domain: Demographic Characteristics of Adults with SMI and Children with SED Served by the State Mental Health Authority, FY 2021
New York
Reporting Period: 10/28/2018 To: 10/27/2019

Demographics	Total Served				Penetration Rates (per 1,000 population)			States Reporting
	State		US		State	Northeast	US	
	n	%	n	%				
Total	717,764	100.0%	5,957,224	100.0%	37.1	24.0	17.9	59
0-12	84,274	11.7%	884,797	14.9%	29.3	19.9	17.1	58
13-17	88,561	12.3%	760,348	12.8%	79.4	49.1	36.3	59
18-20	34,413	4.8%	277,995	4.7%	47.4	26.8	21.8	57
21-24	41,535	5.8%	341,331	5.7%	41.7	25.3	19.8	59
25-44	214,013	29.8%	1,915,023	32.1%	40.6	27.3	20.7	59
45-64	195,865	27.3%	1,454,541	24.4%	39.3	25.1	17.6	59
65-74	43,671	6.1%	238,110	4.0%	22.8	13.5	7.3	58
75 and over	15,075	2.1%	81,677	1.4%	10.3	8.0	3.9	57
Age Not Available	357	0.0%	3,402	0.1%	-	-	-	16
Female	378,271	52.7%	3,214,161	54.0%	38.0	25.0	19.0	59
Male	337,463	47.0%	2,730,147	45.8%	35.9	22.8	16.6	59
Gender Not Available	2,030	0.3%	12,916	0.2%	-	-	-	37
American Indian/Alaskan Native	3,283	0.5%	100,653	1.7%	16.7	16.0	23.4	53
Asian	18,326	2.6%	91,399	1.5%	10.3	6.7	4.6	55
Black/African American	171,924	24.0%	1,091,989	18.3%	50.4	36.4	24.5	54
Native Hawaiian/Pacific Islander	1,104	0.2%	18,989	0.3%	39.6	32.5	22.5	53
White	368,510	51.3%	3,438,816	57.7%	27.5	17.5	13.7	55
Multi-Racial	17,192	2.4%	181,314	3.0%	32.9	19.6	19.1	49
Race Not Available	137,425	19.1%	1,034,064	17.4%	-	-	-	53
Hispanic or Latino Ethnicity	157,730	22.0%	964,479	17.6%	42.2	27.3	15.7	52
Not Hispanic or Latino Ethnicity	535,596	74.6%	3,982,194	72.7%	34.3	21.3	14.8	56
Ethnicity Not Available	24,438	3.4%	530,987	9.7%	-	-	-	46

Note:

This table uses data from URS Table 14a, Table 14b and from the US Census Bureau. All denominators use US Census data from 2020

US totals are calculated uniquely for each data element based on only those states who reported clients served.

Regional groupings are based on SAMHSA's Block Grant Regions.

State Notes:

Table 14a

Age None
Gender None
Race None

Overall Source: Patient Characteristics Survey (PCS 2019); 95% Confidence Interval for the SMI/SED population is 650,406 to 798,478.

Table 14b

Age None
Gender None
Race None

Overall Source: Patient Characteristics Survey (2019)

Access Domain: Adults with SMI and Children with SED Served in Community Mental Health Programs by Age and Gender, FY 2021

New York

Reporting Period: 1/1/2020 To: 3/31/2021

Demographic	Served in Community				Penetration Rates (rate per 1,000 population)		States Reporting
	State		US		State	US	
	n	%	n	%			
Age 0-17	165,115	24.7%	1,557,857	27.7%	41.4	21.5	58
Age 18-20	30,335	4.5%	259,921	4.6%	41.8	20.4	56
Age 21-64	416,770	62.4%	3,506,423	62.3%	37.0	18.7	58
Age 65+	55,711	8.3%	302,028	5.4%	16.5	5.1	57
Age Not Available	353	0.1%	3,207	0.1%	-	-	16
Age Total	668,284	100.0%	5,629,436	100.0%	34.6	16.9	58
Female	356,992	53.4%	3,059,107	54.3%	35.9	18.1	58
Male	309,434	46.3%	2,557,950	45.4%	33.0	15.6	58
Gender Not Available	1,858	0.3%	12,379	0.2%	-	-	35
Total	668,284	100.0%	5,629,436	100.0%	34.6	16.9	58

Note:

US totals are based on states reporting.

This table uses data from URS Table 15a.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

Age	None
Gender	None
Overall	Community MH includes all settings except inpatient. State Hospital and RTC (SFY 4/2020-3/2021). Institutions under the Justice System includes prison-based components of CNYPC. See General Comments for information regarding Other Psychiatric Inpatient.

Access Domain: Adults with SMI and Children with SED Served in State Psychiatric Hospitals by Age and Gender, FY 2021

New York

Reporting Period: 1/1/2020 To: 3/31/2021

Demographic	Served in State Psychiatric Hospitals				Penetration Rates (rate per 1,000 population)		States Reporting
	State		US		State	US	
	n	%	n	%			
Age 0-17	1,548	22.5%	4,859	5.3%	0.4	0.1	30
Age 18-20	119	1.7%	3,225	3.5%	0.2	0.3	48
Age 21-64	4,535	65.9%	77,647	85.0%	0.4	0.4	51
Age 65+	679	9.9%	5,652	6.2%	0.2	0.1	50
Age Not Available	-	-	2	0.0%	-	-	2
Age Total	6,881	100.0%	91,385	100.0%	0.4	0.3	51
Female	2,439	35.4%	28,469	31.2%	0.2	0.2	51
Male	4,442	64.6%	62,754	68.7%	0.5	0.4	51
Gender Not Available	-	-	162	0.2%	-	-	12
Total	6,881	100.0%	91,385	100.0%	0.4	0.3	51

Notes:

US totals are based on states reporting.

This table uses data from URS Table 15a.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

Age	None
Gender	None
Overall	Community MH includes all settings except inpatient. State Hospital and RTC (SFY 4/2020-3/2021). Institutions under the Justice System includes prison-based components of CNYPC. See General Comments for information regarding Other Psychiatric Inpatient.

Appropriateness Domain: Percent of Adults and Children Served Who Meet the Federal Definition for SMI/SED and Percent of Adults and Children Served Who Have Co-Occurring MH/AOD Disorders, FY 2021

New York

Reporting Period: 10/28/2018 To: 10/27/2019

Adults and Children who meet the Federal Definition of SMI/SED	State	US Average	US Median	States Reporting
Percent of Adults served through the SMHA who meet the Federal definition for SMI	88.8%	71.4%	74.0%	57
Percent of Children served through the SMHA who meet the Federal definition for SED	79.0%	71.8%	75.0%	57

Co-occurring MH and Substance Abuse Consumers	State	US Average	US Median	States Reporting
Percent of Adults served through the SMHA who had a co-occurring MH and AOD disorder	25.9%	28.8%	26.0%	57
Percent of Children served through the SMHA who had a co-occurring MH and AOD disorder	2.2%	6.1%	2.0%	57
Percent of Adults served through the SMHA who met the Federal definitions of SMI who also have a substance abuse diagnosis	27.0%	29.8%	27.0%	56
Percent of Children served through the SMHA who met the Federal definitions of SED who also have a substance abuse diagnosis	2.5%	10.3%	3.0%	56

Note

This table uses data from URS Table 12.

How are the number of clients with co-occurring disorders counted and calculated?

Source: Patient Characteristics Survey (2019). Person has co-occurring disorder if clinician both reported a MI diagnosis or disorder AND Alcohol/Substance Abuse diagnosis or disorder

State Notes

None

ACCESS DOMAIN: Persons Served by SMHA System through Medicaid and Other Funding Sources by Race, Gender, and Ethnicity, FY 2021
STATE: New York
Reporting Period: 10/28/2018 To: 10/27/2019

Demographic	State								US Averages								States Reporting
	Number Served				% Served				Number Served				% Served				
	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Total Served with Known Funding Status	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Total Served with Known Funding Status	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Medicaid Only		
Female	187,346	173,980	52,144	413,470	45%	42%	13%	2,640,278	1,016,240	531,913	4,188,431	63%	24%	13%	57		
Male	170,501	166,347	44,090	380,938	45%	44%	12%	2,174,888	987,954	474,328	3,637,170	60%	27%	13%	57		
Gender Not Available	913	998	98	2,009	45%	50%	5%	12,926	25,984	2,066	40,976	32%	63%	5%	39		
Total	358,760	341,325	96,332	796,417	45%	43%	12%	4,828,092	2,030,178	1,008,307	7,866,577	61%	26%	13%	57		
American Indian or Alaska Native	1,888	1,404	436	3,728	51%	38%	12%	98,741	20,419	22,292	141,452	70%	14%	16%	52		
Asian	10,108	8,449	1,520	20,077	50%	42%	8%	71,849	28,264	13,763	113,876	63%	25%	12%	54		
Black or African American	97,436	75,584	20,065	193,085	50%	39%	10%	856,979	363,377	187,718	1,408,074	61%	26%	13%	53		
Native Hawaiian or Other Pacific Islander	633	574	80	1,287	49%	45%	6%	12,660	6,280	4,387	23,327	54%	27%	19%	51		
White	150,998	200,217	55,096	406,311	37%	49%	14%	2,756,680	1,248,161	573,566	4,578,407	60%	27%	13%	55		
More Than One Race	9,807	6,838	2,545	19,190	51%	36%	13%	110,403	65,985	36,422	212,810	52%	31%	17%	47		
Race Not Available	87,890	48,259	16,590	152,739	58%	32%	11%	920,780	297,692	170,159	1,388,631	66%	21%	12%	51		
Total	358,760	341,325	96,332	796,417	45%	43%	12%	4,828,092	2,030,178	1,008,307	7,866,577	61%	26%	13%	57		
Hispanic or Latino	97,240	58,100	23,188	178,528	54%	33%	13%	617,393	294,095	200,476	1,111,964	56%	26%	18%	51		
Not Hispanic or Latino	249,930	272,363	70,610	592,903	42%	46%	12%	3,054,149	1,509,010	720,525	5,283,684	58%	29%	14%	55		
Ethnicity Not Available	11,590	10,862	2,534	24,986	46%	43%	10%	452,327	139,938	71,511	663,776	68%	21%	11%	43		
Total	358,760	341,325	96,332	796,417	45%	43%	12%	4,123,869	1,943,043	992,512	7,059,424	58%	28%	14%	55		

Note:

This table uses data from URS tables 5a and 5b.

Type of Medicaid Data Reported

Data based on Medicaid Eligibility, not Medicaid Paid Services.

State Notes

5a Age None

5a Gender None

5a Overall Source: Patient Characteristics Survey (2019). The overall Medicaid estimate of 455,092 (sum of Medicaid only and Medicaid/Medicare), has a 95% confidence interval of 411,139 - 507,765.

5b Overall Source: Patient Characteristics Survey (2019). 95% Confidence Interval for the total population is 754,868 to 925,541

APPROPRIATENESS DOMAIN: NUMBER OF ADMISSIONS DURING THE YEAR TO STATE HOSPITAL INPATIENT AND COMMUNITY-BASED PROGRAMS, FY 2021

STATE: New York

Reporting Period: 1/1/2020 To: 3/31/2021

Setting	Demographic	State			US			Admission Rate		States Reporting
		Admissions During Year	Total Served At Start of Year	Total Served During Year	Admissions During Year	Total Served At Start of Year	Total Served During Year	State	US	
State Psychiatric Hospitals	Total	3,908	3,689	7,107	88,701	37,773	114,912	0.55	0.77	52
	Children	1,559	222	1,578	6,151	1,024	5,778	0.99	1.06	30
	Adults	2,349	3,467	5,529	82,535	36,238	108,862	0.42	0.76	52
	Age NA	-	-	-	15	511	7	-	2.14	2
Other Inpatient	Total	95,866	3,442	74,541	480,622	65,699	453,428	1.29	1.06	40
	Children	9,218	249	7,737	68,016	6,486	56,334	1.19	1.21	35
	Adults	86,648	3,193	66,804	409,224	58,947	395,152	1.30	1.04	40
	Age NA	-	-	-	3,382	266	1,937	-	1.75	7
Residential Treatment Centers	Total	348	377	714	104,597	17,929	64,305	0.49	1.63	36
	Children	345	350	684	31,505	5,975	15,392	0.50	2.05	33
	Adults	3	27	30	73,091	11,954	48,838	0.10	1.50	31
	Age NA	-	-	-	1	-	1	-	1.00	1
Community Programs	Total	443,372	243,137	775,030	25,075,693	3,895,678	7,003,402	0.57	3.58	52
	Children	138,952	44,844	210,539	11,047,253	1,009,456	1,906,275	0.66	5.80	52
	Adults	304,229	198,274	563,901	14,015,260	2,881,685	5,087,816	0.54	2.75	52
	Age NA	191	19	590	13,180	4,537	7,311	0.32	1.80	17

Note:

Admission Rate= number of admissions divided by total served during the year

US Admissions During Year uses data from states reporting data only. States are only included in "US Total Served" if they also reported data on admissions.

US Total Served During Year is calculated using data in URS Table 3.

This table uses data from URS Table 3 and 6.

Table 3 State Notes:

Age	None
Overall	Community MH includes all settings except inpatient. State Hospital and RTC (SFY 4/2020-3/2021). Institutions under the Justice System includes prison-based components of CNYPC. See General Comments for details regarding Other Psychiatric Inpatient.
Gender	None

Table 6 State Notes:

Hospital	SFY 2020 MHARS dataset; includes forensic facilities.
Other Inpatient	See General Comments.
Residential	SFY 2020 Residential Tx Center for Children (CAIRS).
Community	Source: Patient Characteristics Survey (2019). Data excludes persons who were only in inpatient settings during year. Estimates based on admission date: "Beginning of year" over 1 yr before survey; "admissions" less than 1 year before survey.
Overall	Individuals are duplicated across the state hospital, other psychiatric inpatient, RTC, and community settings.

Setting	Demographic	State								US							
		Length of Stay (Days)								Length of Stay (Days)							
		Discharged Clients		Resident Clients in Facility 1 year or less		Resident Clients in Facility more than 1 year		Discharged Clients		Resident Clients in Facility 1 year or less		Resident Clients in Facility more than 1 year		States			
		Average	Median	Average	Median	Average	Median	Average	Median	Average	Median	Average	Median	Average	Median	Average	Median
State Hospitals	All	-	-	-	-	-	-	217	80	100	72	1,717	798	14			
	Children	51	26	61	30	660	635	102	85	74	64	659	566	27			
	Adults	334	141	131	111	2,613	1,545	255	105	105	81	1,641	1,045	52			
	Age NA	-	-	-	-	-	-	26	9	69	69	4,333	4,141	2			
Other Inpatient	All	-	-	-	-	-	-	70	46	69	44	829	645	10			
	Children	13	10	19	12	-	-	34	27	43	32	629	544	27			
	Adults	13	8	21	12	474	465	48	36	56	37	761	650	37			
	Age NA	-	-	-	-	-	-	20	16	71	92	-	-	4			
Residential Treatment Centers	All	-	-	-	-	-	-	341	235	159	170	725	653	6			
	Children	344	304	187	193	602	553	180	151	134	130	736	677	28			
	Adults	607	607	229	229	807	543	333	276	147	136	1,115	924	27			
	Age NA	-	-	-	-	-	-	14	14	-	-	-	-	-			

Note:

Resident clients are clients who were receiving services in inpatient settings at the end of the reporting period.

This table uses data from URS Table 6.

Table 6 State Notes:

Hospital	SFY 2020 MHARS dataset; includes forensic facilities.
Other Inpatient	See General Comments.
Residential	SFY 2020 Residential Tx Center for Children (CAIRS).
Community	Source: Patient Characteristics Survey (2019). Data excludes persons who were only in inpatient settings during year. Estimates based on admission date: "Beginning of year" over 1 yr before survey; "admissions" less than 1 year before survey.
Overall	Individuals are duplicated across the state hospital, other psychiatric inpatient, RTC, and community settings.

APPROPRIATENESS DOMAIN: Living Situation of Consumers Served by State Mental Health Agency Systems, FY 2021

STATE: New York

Reporting Period: 10/28/2018 To: 10/27/2019

Age Group	Setting	State			US			States Reporting
		Living Situation	Percent in Living Situation	Percent with Known Living Situation	Living Situation	Percent in Living Situation	Percent with Known Living Situation	
All Persons Served	Private Residence	664,735	79.8%	85.0%	4,153,224	56.0%	84.9%	58
	Foster Home	2,201	0.3%	0.3%	85,504	1.2%	1.7%	49
	Residential Care	29,416	3.5%	3.8%	172,853	2.3%	3.5%	53
	Crisis Residence	2,560	0.3%	0.3%	8,981	0.1%	0.2%	31
	Residential Treatment Center	458	0.1%	0.1%	8,370	0.1%	0.2%	35
	Institutional Setting	6,855	0.8%	0.9%	72,284	1.0%	1.5%	52
	Jail (Correctional Facility)	24,868	3.0%	3.2%	72,129	1.0%	1.5%	51
	Homeless (Shelter)	42,901	5.2%	5.5%	211,853	2.9%	4.3%	54
	Other	7,915	1.0%	1.0%	106,611	1.4%	2.2%	45
	Not Available	50,600	6.1%	-	2,522,320	34.0%	-	49
	Total	832,509	100.0%	100.0%	7,414,129	100.0%	100.0%	58
Children under age 18	Private Residence	190,399	87.0%	95.2%	1,140,918	58.1%	91.5%	57
	Foster Home	1,871	0.9%	0.9%	54,071	2.8%	4.3%	49
	Residential Care	1,239	0.6%	0.6%	12,994	0.7%	1.0%	41
	Crisis Residence	659	0.3%	0.3%	1,424	0.1%	0.1%	29
	Residential Treatment Center	433	0.2%	0.2%	5,632	0.3%	0.5%	31
	Institutional Setting	809	0.4%	0.4%	4,914	0.3%	0.4%	45
	Jail (Correctional Facility)	30	0.0%	0.0%	4,020	0.2%	0.3%	46
	Homeless (Shelter)	3,707	1.7%	1.9%	7,173	0.4%	0.6%	51
	Other	834	0.4%	0.4%	15,301	0.8%	1.2%	43
	Not Available	18,784	8.6%	-	715,710	36.5%	-	49
	Total	218,765	100.0%	100.0%	1,962,157	100.0%	100.0%	58
Adults over age 18	Private Residence	474,290	77.4%	81.5%	3,009,173	55.5%	82.7%	58
	Foster Home	329	0.1%	0.1%	31,379	0.6%	0.9%	46
	Residential Care	28,106	4.6%	4.8%	159,181	2.9%	4.4%	52
	Crisis Residence	1,849	0.3%	0.3%	7,503	0.1%	0.2%	30
	Residential Treatment Center	25	0.0%	0.0%	2,736	0.1%	0.1%	23
	Institutional Setting	6,045	1.0%	1.0%	66,995	1.2%	1.8%	52
	Jail (Correctional Facility)	24,782	4.0%	4.3%	68,028	1.3%	1.9%	51
	Homeless (Shelter)	39,187	6.4%	6.7%	204,612	3.8%	5.6%	54
	Other	7,080	1.2%	1.2%	91,067	1.7%	2.5%	45
	Not Available	31,457	5.1%	-	1,779,530	32.8%	-	49
	Total	613,150	100.0%	100.0%	5,420,204	100.0%	100.0%	58

This table uses data from URS Table 15.

Note:

For revised data: Please check Errata sheet.

State Notes:

Source: Patient Characteristics Survey (2019)

APPROPRIATENESS DOMAIN: Persons Who were Homeless by Age, Gender, Race, and Ethnicity, FY 2021

STATE: New York

Reporting Period: 10/28/2018 To: 10/27/2019

Demographic	Homeless or Living in Shelters				Percent of Total with Known Living Situation		States Reporting
	State		US		State	US	
	N	%	N	%	%	%	
Age 0 to 17	3,707	8.6%	7,173	3.4%	1.9%	0.6%	51
Age 18 to 64	37,804	88.1%	196,792	92.9%	7.3%	5.9%	54
Age 65+	1,383	3.2%	7,820	3.7%	2.3%	2.4%	51
Age Not Available	7	0.0%	68	0.0%	3.0%	1.5%	11
Age Total	42,901	100.0%	211,853	100.0%	5.5%	4.3%	54
Female	17,538	40.9%	83,437	39.4%	4.3%	3.2%	54
Male	24,924	58.1%	127,760	60.3%	6.7%	5.5%	54
Gender Not Available	439	1.0%	656	0.3%	20.7%	10.8%	22
Gender Total	42,901	100.0%	211,853	100.0%	5.5%	4.3%	54
American Indian or Alaska Native	191	0.4%	5,427	2.6%	5.3%	6.1%	47
Asian	515	1.2%	2,031	1.0%	2.6%	2.8%	48
Black or African American	18,969	44.2%	64,819	30.6%	10.0%	7.0%	52
Native Hawaiian or Other Pacific Islander	175	0.4%	780	0.4%	15.7%	5.7%	35
White	14,447	33.7%	104,948	49.5%	3.6%	3.6%	53
More Than One Race	631	1.5%	6,349	3.0%	3.2%	3.6%	47
Race Not Available	7,973	18.6%	27,499	13.0%	5.4%	3.8%	50
Race Total	42,901	100.0%	211,853	100.0%	5.5%	4.3%	54
Hispanic or Latino	10,105	23.6%	37,556	17.7%	5.8%	3.8%	51
Not Hispanic or Latino	31,299	73.0%	158,675	74.9%	5.4%	4.5%	53
Not Available	1,497	3.5%	15,622	7.4%	6.2%	4.0%	45
Ethnicity Total	42,901	100.0%	211,853	100.0%	5.5%	4.3%	54

Note:

US totals are based on states reporting.

This table uses data from URS Table 15.

US totals are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

Source: Patient Characteristics Survey (2019)

Appropriateness Domain: Evidence-Based Practices Reported by SMHAs, FY 2021

New York

Reporting Period: 4/1/2020 To: 3/31/2021

Adult EBP Services	State		US		Penetration Rate: % of Consumers Receiving EBP/Estimated SMI		Measuring Fidelity		States Reporting
	EBP N	SMI N	EBP N	SMI N	State	US Average	State	US	
Supported Housing	25,208	544,929	93,371	3,865,963	4.6%	3.0%	No	10	32
Supported Employment	848	544,929	65,963	3,865,963	0.2%	1.9%	No	24	42
Assertive Community Treatment	8,205	544,929	71,933	3,865,963	1.5%	2.0%	Yes	27	43
Family Psychoeducation	-	-	36,136	3,865,963	-	2.6%	-	2	15
Dual Diagnosis Treatment	-	-	196,790	3,865,963	-	9.2%	-	7	24
Illness Self Management	-	-	302,204	3,865,963	-	18.7%	-	4	21
Medication Management	-	-	535,687	3,865,963	-	30.5%	-	2	21

Child/Adolescent EBP Services	State		US		Penetration Rate: % of Consumers Receiving EBP/Estimated SED		Measuring Fidelity		States Reporting
	EBP N	SED N	EBP N	SED N	State	US Average	State	US	
Therapeutic Foster Care	-	-	13,334	1,313,733	-	1.7%	-	3	21
Multi-Systemic Therapy	-	-	28,447	1,313,733	-	3.7%	-	9	20
Family Functional Therapy	-	-	28,506	1,313,733	-	5.1%	-	7	14

Note:

US totals are based on states reporting.

This table uses data from URS Tables 16 and 17.

US averages are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

Table 16: All data covers SFY4/2020-3/2021 with the exception of column E which sources data from PCS 2019

Table 17: None

Appropriateness Domain: Adults with SMI and Children with SED Receiving Evidence-Based Services for First Episode Psychosis (FEP), 2021

New York

Reporting Period: 4/1/2020 To: 3/31/2021

Number of coordinated specialty care programs: 24

Admissions to Coordinated Specialty Care (CSC)-FEP Service During the Year

	State		US		States Reporting
	N	%	N	%	
Adult CSC-FEP Admissions	357	88.1%	14,496	59.9%	45
Children/Adolescent CSC-FEP Admissions	48	11.9%	9,710	40.1%	42
Total CSC-FEP Admissions	405	100.0%	24,206	100.0%	46
Total Programs Reporting Number of Admissions	24	100.0%	267	89.9%	46
Average Admissions per Program	16.9	-	81.5	-	46

Clients Currently Receiving CSC-FEP Services

	State		US		States Reporting
	N	%	N	%	
Adults Receiving CSC-FEP Services	842	95.1%	12,050	84.1%	45
Children/Adolescents Receiving CSC-FEP Services	43	4.9%	2,278	15.9%	41
Total Receiving CSC-FEP Services	885	100.0%	14,328	100.0%	45
Total Programs Reporting Number of Clients Receiving Services	23	95.8%	256	86.2%	46
Average Number of Clients Services per Program	36.9	-	48.2	-	45

CSC-FEP Fidelity and Training

	State		US		States Reporting
	N	%	N	%	
Number of Programs Measuring Fidelity	24	100.0%	181	60.9%	45
Number of Programs with Staff Training Specifically in CSC EBP	24	100.0%	253	85.2%	40

Clients Served by Programs With and Without CSC-FEP Fidelity

	State			US			States Reporting
	Number of Programs	Clients Served	Average Number of Clients Served Per Program	Number of Programs	Clients Served	Average Number of Clients Served Per Program	
Programs with Fidelity	24	885	36.88	181	11,218	61.98	30
Programs without Fidelity	-	-	-	116	3,110	26.81	20

Note: This table use data from URS Table 16a.

Note:

For revised data: Please check Errata sheet.

Outcomes Domain: Employment Status of Adult Mental Health Consumers Served in the Community by Age and Gender, FY 2021

New York

Reporting Period: 10/28/2018 To: 10/27/2019

Demographics	State				Employed as Percent of those in Labor Force		Employed as Percent of Known Employment Status		States Reporting
	Employed	Unemployed	In Labor Force*	With Known Employment Status**	State	US	State	US	
Age 18 to 20	7,705	6,627	14,332	32,551	54%	52%	23.7%	23.3%	58
Age 21 to 64	109,730	73,524	183,254	417,396	60%	48%	26.3%	26.0%	58
Age 65 and over	2,650	3,786	6,436	56,254	41%	41%	4.7%	11.7%	58
Age Not Available	-	-	-	-	-	28%	-	11.8%	9
Age TOTAL	120,085	83,937	204,022	506,201	59%	48%	23.7%	24.6%	58
Female	70,841	42,340	113,181	282,629	63%	51%	25.1%	25.7%	58
Male	48,855	41,175	90,030	222,034	54%	45%	22.0%	23.0%	58
Gender Not Available	389	422	811	1,538	48%	61%	25.3%	35.3%	33
Gender TOTAL	120,085	83,937	204,022	506,201	59%	48%	23.7%	24.6%	58

What populations are reported? Data excludes persons in institutional settings, jails and correctional facilities. Number of States Reporting All Clients: 35 Number of States Reporting Some Clients: 15

When is Employment Status Measured?	At Admission	At Discharge	Monthly	Quarterly	Other
NY	-	-	-	-	Biennially
US	37	23	4	9	20

Note:

*In Labor Force is the sum of consumers employed and unemployed.

**With Known Employment Status is the sum of consumer employed, unemployed and not in labor force.

Consumers employed as a % of those in labor force uses adults employed and unemployed as the denominator.

Consumers employed as % of known employment status uses the sum of persons employed, unemployed and not in labor force as the denominator.

This table uses data from URS Table 4.

State Notes:

Age None

Gender None

Overall Source: Patient Characteristics Survey (PCS 2019). 95% Confidence Interval for the total population is 754,868 to 925,541

Outcomes Domain: Employment Status of Adult Mental Health Consumers Served in the Community by Diagnosis, FY 2021

New York

Reporting Period: 10/28/2018 To: 10/27/2019

Diagnosis	State				Employed as a % of Labor Force		Employed as % of Known Employment Status		% of Consumers with Dx		States Reporting
	Employed	Unemployed	In Labor Force*	With Known Employment Status**	State	US	State	US	State	US	
Schizophrenia and Related Disorders	7,334	13,661	20,995	87,634	34.9%	30.5%	8.4%	10.2%	17.3%	13.7%	54
Bipolar and Mood Disorders	48,906	31,773	80,679	194,163	60.6%	48.8%	25.2%	25.4%	38.4%	39.2%	54
Other Psychoses	1,437	1,878	3,315	8,846	43.3%	30.5%	16.2%	13.7%	1.7%	2.1%	53
All other Diagnoses	62,165	36,430	98,595	214,516	63.1%	53.3%	29.0%	29.2%	42.4%	37.5%	54
No Diagnosis and Deferred Diagnosis	243	195	438	1,042	55.5%	50.2%	23.3%	28.1%	0.2%	7.5%	44
TOTAL	120,085	83,937	204,022	506,201	58.9%	48.7%	23.7%	24.7%	100.0%	100.0%	54

Note:

*In Labor Force is the sum of consumers employed and unemployed.

**With Known Employment Status is the sum of consumer employed, unemployed and not in labor force.

Consumers employed as a % of those in labor force uses adults employed and unemployed as the denominator.

Consumers employed as % of known employment status uses the sum of persons employed, unemployed and not in labor force as the denominator.

This table uses data for URS Table 4a.

State Notes:

Source: 2019 Patient Characteristics Survey. Data excludes persons in institutional settings, jails and correctional facilities.

CONSUMER SURVEY RESULTS, FY 2021

New York

Reporting Period: 2020 To: 2021

Indicators	Children: State	Children: U.S. Average	States Reporting	Adults: State	Adults: U.S. Average	States Reporting
Reporting Positively About Access	96.5%	87.9%	46	90.5%	87.8%	51
Reporting Positively About Quality and Appropriateness				90.7%	89.8%	51
Reporting Positively About Outcomes	85.6%	72.2%	47	78.4%	77.1%	51
Reporting on Participation in Treatment Planning	97.6%	89.1%	47	83.8%	86.3%	51
Family Members Reporting High Cultural Sensitivity of Staff	99.5%	93.8%	45			
Reporting positively about General Satisfaction with Services	96.6%	86.8%	46	88.7%	89.0%	51

Note: U.S. Average Children & Adult rates are calculated only for states that used a version of the MHSIP Consumer Survey

This table uses data from URS Table 11.

Children/Family	State	U.S.
Type of Survey Used	NYS OMH Family Assessment of Care Survey (FACS)	YSS-F=38

Type of Adult Consumer Survey Used	28-Item MHSIP	Other MHSIP	Other Survey
U.S.	34	18	3
State	Yes	-	-

Sample Size & Response Rate	Children: State	Children: U.S.	States Reporting	Adults: State	Adults: U.S. Average	States Reporting
Response Rate	17.0%	26.1%	36	64.0%	34.9%	37
Number of Surveys Attempted (send out)	5,374	295,072	36	4,303	388,384	37
Number of Surveys Contacts Made	5,374	167,409	34	4,303	251,234	35
Complete Surveys	920	43,047	40	2,757	91,783	43

Populations covered in survey	Children: State	Children: U.S.	Adults: State	Adults: U.S.
All Consumers	-	11	-	9
Sample	Yes	35	Yes	40

Sample Approach	Children: State	Children: U.S.	Adults: State	Adults: U.S.
Random Sample	-	7	-	7
Stratified Sample	-	14	-	19
Convenience Sample	Yes	15	Yes	16
Other Sample	-	4	-	4

Who is Sampled?	Children: State	Children: U.S.	Adults: State	Adults: U.S.
Current Clients	Yes	40	Yes	49
Former Clients	-	18	-	22

Populations included in sample: (e.g., all adults, only adults with SMI, etc.)	Children: State	Children: U.S.	Adults: State	Adults: U.S.
All Children or Adults Served	-	23	-	30
SMI Adults or SED Children	Yes	21	-	20
Persons Covered by Medicaid	-	12	-	11
Other	-	5	-	8

State Notes:

Child/Adolescent Consumer Survey data was not conducted in 2021 due to COVID, so we are using data from 2020 FACS survey. Adult Consumer Survey data is from 2021.

OUTCOMES DOMAIN: Consumer Survey Results, by Race/Ethnicity FY 2021

STATE: New York

Reporting Period: 2020 To: 2021

Race/Ethnicity	Family of Children Survey Indicators: Reporting Positively About...														States	
	Access		General Satisfaction with Services		Outcomes		Participation In Tx Planning		Cultural Sensitivity of Staff		Social Connectedness		Improved Functioning			
	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average		
American Indian or Alaska Native	95%	82%	91%	80%	85%	62%	100%	81%	100%	89%	80%	83%	85%	67%	30	
Asian	100%	85%	100%	88%	91%	70%	100%	88%	100%	93%	91%	86%	91%	74%	29	
Black or African American	95%	89%	95%	88%	87%	71%	93%	91%	97%	93%	90%	87%	87%	70%	34	
Native Hawaiian or Other Pacific Islander	100%	92%	100%	94%	100%	80%	100%	95%	100%	95%	100%	88%	100%	83%	20	
White	97%	87%	98%	85%	85%	67%	98%	89%	100%	94%	87%	87%	85%	68%	35	
Hispanic or Latino	98%	88%	97%	87%	90%	67%	99%	89%	100%	95%	87%	87%	90%	75%	29	
More Than One Race	95%	93%	96%	92%	86%	77%	96%	92%	100%	97%	80%	89%	86%	78%	26	
Not Available	98%	88%	95%	86%	87%	68%	98%	88%	99%	93%	90%	87%	87%	73%	34	

Race/Ethnicity	Adult Consumer Survey Indicators: Reporting Positively About...														States	
	Access		Quality & Appropriateness		Outcomes		Participation In Tx Planning		General Satisfaction		Social Connectedness		Improved Functioning			
	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average		
American Indian or Alaska Native	82%	85%	91%	88%	65%	63%	88%	84%	85%	88%	70%	73%	81%	73%	33	
Asian	90%	90%	87%	90%	76%	76%	73%	89%	92%	90%	80%	79%	78%	77%	36	
Black or African American	91%	88%	91%	92%	82%	75%	84%	88%	88%	90%	81%	76%	85%	77%	39	
Native Hawaiian or Other Pacific Islander	89%	88%	95%	90%	63%	86%	89%	84%	84%	87%	63%	85%	61%	83%	29	
White	92%	86%	92%	89%	77%	67%	86%	85%	90%	88%	74%	73%	79%	73%	40	
Hispanic or Latino	92%	87%	93%	90%	82%	65%	85%	86%	91%	90%	81%	76%	85%	75%	33	
More Than One Race	89%	89%	93%	91%	78%	75%	76%	89%	90%	90%	76%	77%	87%	76%	30	
Not Available	87%	85%	86%	87%	81%	66%	79%	85%	87%	87%	80%	74%	83%	73%	39	

Notes:

This table uses data from URS Table 11a.

State Notes:

Child/Adolescent Consumer Survey data was not conducted in 2021 due to COVID, so we are using data from from 2020 FACS survey. Adult Consumer Survey data is from 2021.

Outcomes Domain: Change in Social Connectedness and Functioning, FY 2021

New York

Reporting Period: 2020 To: 2021

Indicators	Children				Adults			
	State	US Average	US Median	States Reporting	State	US Average	US Median	States Reporting
Percent Reporting Improved Social Connectedness from Services	87.2%	87.5%	85.0%	43	77.1%	77.6%	73.7%	49
Percent Reporting Improved Functioning from Services	85.6%	72.1%	67.6%	43	81.6%	77.2%	72.6%	49

Note:

This table uses data from URS Table 9.

US State Averages and Medians are calculated only with states which used the recommended Social Connectedness and Functioning questions.

Adult Social Connectedness and Functioning Measures	State	US
Did you use the recommended new Social Connectedness Questions?	Yes	44
Did you use the recommended new Functioning Domain Questions?	Yes	43
Did you collect these as part of your MHSIP Adult Consumer Survey?	Yes	45

Children/Family Social Connectedness and Functioning Measures	State	US
Did you use the recommended new Social Connectedness Questions?	No	39
If No, what Measure did you use?	NYS FAC	
Did you use the recommended new Functioning Domain Questions?	No	40
If No, what Measure did you use?	NYS FAC	
Did you collect these as part of your YSS-F Survey?	No	40
If No, what Measure did you use?	NYS Family Assessment of Care Survey (FACS)	

State Notes:

None

OUTCOMES DOMAIN: Civil (Non Forensic) & Forensic Patients Readmission within 30 Days by Age, Gender, and Race, FY 2021
STATE: New York
Reporting Period: 4/1/2020 To: 3/31/2021

Demographic	Readmissions within 30 days to state psychiatric hospitals: "Civil" (Non-Forensic) Patients								Readmissions within 30 days to state psychiatric hospitals: Forensic Patients								
	State			US			States Reporting	State			US			States Reporting			
	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%		Readmissions N	Discharges N	%	Readmissions N	Discharges N	%				
Age 0 to 12	11	311	3.5%	77	1,168	6.6%	8	1	1	100.0%	4	19	21.1%	3			
Age 13 to 17	44	1,126	3.9%	328	4,533	7.2%	17	1	24	4.2%	13	172	7.6%	4			
Age 18 to 20	2	92	2.2%	310	3,833	8.1%	23	0	16	0.0%	21	547	3.8%	7			
Age 21 to 64	83	1,326	6.3%	4,593	60,378	7.6%	43	20	862	2.3%	593	19,446	3.0%	35			
Age 65 to 74	9	145	6.2%	158	2,234	7.1%	27	0	55	0.0%	22	800	2.8%	12			
Age 75 and over	1	32	3.1%	37	719	5.1%	14	0	21	0.0%	14	210	6.7%	6			
Age Not Available	0	0	-	1	13	7.7%	1	0	0	-	-	4	-	0			
Age Total	150	3,032	4.9%	5,504	72,878	7.6%	43	22	979	2.2%	667	21,198	3.1%	36			
Female	85	1,617	5.3%	2,146	28,897	7.4%	37	5	132	3.8%	141	4,392	3.2%	25			
Male	65	1,415	4.6%	3,325	43,747	7.6%	41	17	847	2.0%	525	16,762	3.1%	33			
Gender Not Available	0	0	-	33	234	14.1%	4	0	0	-	1	44	2.3%	1			
Gender Total	150	3,032	4.9%	5,504	72,878	7.6%	43	22	979	2.2%	667	21,198	3.1%	36			
American Indian or Alaska Native	1	14	7.1%	139	1,507	9.2%	16	0	3	0.0%	9	434	2.1%	5			
Asian	3	78	3.8%	79	969	8.2%	22	1	24	4.2%	9	427	2.1%	4			
Black or African American	53	690	7.7%	1,306	17,458	7.5%	31	12	491	2.4%	289	7,496	3.9%	22			
Native Hawaiian or Other Pacific Islander	0	0	-	42	429	9.8%	6	0	1	0.0%	2	86	2.3%	2			
White	57	1,648	3.5%	3,536	46,469	7.6%	40	4	266	1.5%	297	10,071	2.9%	32			
More Than One Race	5	74	6.8%	179	2,261	7.9%	13	0	18	0.0%	2	465	0.4%	2			
Race Not Available	31	528	5.9%	223	3,785	5.9%	21	5	176	2.8%	59	2,219	2.7%	13			
Race Total	150	3,032	4.9%	5,504	72,878	7.6%	43	22	979	2.2%	667	21,198	3.1%	36			
Hispanic or Latino	21	404	5.2%	673	8,474	7.9%	23	6	167	3.6%	68	3,269	2.1%	18			
Not Hispanic or Latino	116	2,384	4.9%	4,645	60,647	7.7%	40	12	728	1.6%	582	16,844	3.5%	36			
Not Available	13	244	5.3%	186	3,757	5.0%	17	4	84	4.8%	17	1,085	1.6%	9			
Ethnicity Total	150	3,032	4.9%	5,504	72,878	7.6%	43	22	979	2.2%	667	21,198	3.1%	36			

Forensics included in "non forensic" data? No

Note:

US totals are based on states reporting.

This table uses data from URS Tables 20a and 20b.

State Notes:

Populated by Hendall using NY's 2021 MH-CLD SHR dataset.

OUTCOMES DOMAIN: Civil (Non Forensic) & Forensic Patients Readmission within 180 Days by Age, Gender, and Race, FY 2021

STATE: New York

Reporting Period: 4/1/2020 To: 3/31/2021

Demographic	Readmissions within 180 days to state psychiatric hospitals: "Civil" (Non-Forensic) Patients								Readmissions within 180 days to state psychiatric hospitals: Forensic Patients							
	State			US				States Reporting	State			US				
	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	States Reporting	Readmissions N	Discharges N	%
Age 0 to 12	54	311	17.4%	200	1,168	17.1%	12	1	1	100.0%	5	19	26.3%	4		
Age 13 to 17	161	1,126	14.3%	792	4,533	17.5%	19	4	24	16.7%	27	172	15.7%	6		
Age 18 to 20	8	92	8.7%	659	3,833	17.2%	31	2	16	12.5%	47	547	8.6%	13		
Age 21 to 64	205	1,326	15.5%	10,972	60,378	18.2%	46	76	862	8.8%	1,575	19,446	8.1%	41		
Age 65 to 74	18	145	12.4%	304	2,234	13.6%	33	2	55	3.6%	63	800	7.9%	22		
Age 75 and over	4	32	12.5%	68	719	9.5%	19	0	21	-	16	210	7.6%	8		
Age Not Available	0	0	-	1	13	7.7%	1	0	0	-	-	4	-			
Age Total	450	3,032	14.8%	12,996	72,878	17.8%	47	85	979	8.7%	1,733	21,198	8.2%	41		
Female	269	1,617	16.6%	5,013	28,897	17.3%	45	18	132	13.6%	356	4,392	8.1%	33		
Male	181	1,415	12.8%	7,923	43,747	18.1%	44	67	847	7.9%	1,374	16,762	8.2%	41		
Gender Not Available	0	0	-	60	234	25.6%	5	0	0	-	3	44	6.8%	1		
Gender Total	450	3,032	14.8%	12,996	72,878	17.8%	47	85	979	8.7%	1,733	21,198	8.2%	41		
American Indian or Alaska Native	3	14	21.4%	338	1,507	22.4%	21	1	3	33.3%	34	434	7.8%	13		
Asian	13	78	16.7%	190	969	19.6%	27	1	24	4.2%	30	427	7.0%	11		
Black or African American	115	690	16.7%	3,099	17,458	17.8%	40	36	491	7.3%	688	7,496	9.2%	33		
Native Hawaiian or Other Pacific Islander	0	0	-	201	429	46.9%	8	1	1	100.0%	10	86	11.6%	6		
White	230	1,648	14.0%	8,307	46,469	17.9%	44	27	266	10.2%	801	10,071	8.0%	39		
More Than One Race	9	74	12.2%	385	2,261	17.0%	17	2	18	11.1%	29	465	6.2%	10		
Race Not Available	80	528	15.2%	476	3,785	12.6%	26	17	176	9.7%	141	2,219	6.4%	20		
Race Total	450	3,032	14.8%	12,996	72,878	17.8%	47	85	979	8.7%	1,733	21,198	8.2%	41		
Hispanic or Latino	65	404	16.1%	1,433	8,474	16.9%	27	17	167	10.2%	174	3,269	5.3%	22		
Not Hispanic or Latino	359	2,384	15.1%	11,047	60,647	18.2%	44	62	728	8.5%	1,495	16,844	8.9%	41		
Not Available	26	244	10.7%	516	3,757	13.7%	20	6	84	7.1%	64	1,085	5.9%	14		
Ethnicity Total	450	3,032	14.8%	12,996	72,878	17.8%	47	85	979	8.7%	1,733	21,198	8.2%	41		

Forensics included in "non forensic" data? No

Note:

US totals are based on states reporting.

This table uses data from URS Tables 20a and 20b.

State Notes:

Populated by Hendall using NY's 2021 MH-CLD SHR dataset.

Structure Domain: SMHA Expenditure for Early Serious Mental Illness and First Episode Psychosis, 2021

New York

Reporting Period 1/1/2020 To: 12/31/2020

	State								US							
	MHBG		Other Funds		State Funds		Total		MHBG		Other Funds		State Funds		Total	
Activity	\$	%	\$	%	\$	%	\$	%	\$	%	\$	%	\$	%	\$	%
CSC-Evidence-Based Practices for First Episode Psychosis	\$0	-	\$0	-	\$0	-	\$0	-	\$58,709,451	75.9%	\$6,078,443	2.0%	\$12,539,234	16.2%	\$77,327,128	100%
Training for CSC Practices	\$0	-	\$0	-	\$0	-	\$0	-	\$3,418,787	92.0%	\$5,148	0.0%	\$291,771	7.9%	\$3,715,706	100%
Planning for CSC Practices	\$0	-	\$0	-	\$0	-	\$0	-	\$949,679	86.2%	\$7,203	0.2%	\$145,000	13.2%	\$1,101,882	100%
Other Early Serious Mental Illnesses program (other than FEP or partial CSC programs)	\$0	-	\$0	-	\$0	-	\$0	-	\$4,815,846	79.4%	\$1,251,398	5.2%	\$1,540	0.0%	\$6,068,784	100%
Training for ESMI	\$0	-	\$0	-	\$0	-	\$0	-	\$563,780	99.0%	\$5,738	0.3%	\$0	0.0%	\$569,518	100%
Planning for ESMI	\$0	-	\$0	-	\$0	-	\$0	-	\$642,486	99.9%	\$451	0.0%	\$0	0.0%	\$642,937	100%

Note: This table use data from URS Table 7a.

* Other Funds include Medicaid, Other Federal funds, local funds, and other funds from table 7a.

State Notes:

According to the Financial division the Data for this table is not available

STRUCTURE DOMAIN: State Mental Health Agency Controlled Expenditures for Mental Health, FY 2021**New York****Reporting Period: 4/1/2020 To: 3/31/2021**

	Expenditures: State	Percent of Total Expenditures: State	Expenditures: U.S.	Percent of Total Expenditures: U.S.	States Reporting
State Hospitals-Inpatient	-	0%	\$9,616,608,413	24%	52
Other 24-Hour Care	-	0%	\$5,909,489,659	15%	48
Ambulatory/Community	-	0%	\$21,920,589,557	55%	56
EBPs for Early Serious Mental Illness	-	0%	\$91,090,768	0%	56
Primary Prevention	-	0%	\$50,631,390	0%	16
Other Psychiatric Inpatient Care	-	0%	\$1,035,076,435	3%	16
Administration	-	0%	\$902,423,047	2%	53
Total	-	0%	\$39,525,909,269	100%	56

Note:

This table uses data from URS Table 7

State Notes:

None

STRUCTURE DOMAIN: State Mental Health Agency Controlled Expenditures by Funding Sources, 2021
New York
Reporting Period: 4/1/2020 To: 3/31/2021

Funding Source	Ambulatory/Community			State Hospital		
	State	Percent Total (State)	Percent Total (US)	State	Percent Total (State)	Percent Total (US)
Mental Health Block Grant	-	-	2.0%	-	-	-
Medicaid (Federal, State, and Local)	-	-	66.4%	-	-	8.2%
Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare), SAMHSA, etc.)	-	-	1.6%	-	-	3.7%
State Funds	-	-	24.9%	-	-	83.8%
Local Funds (excluding local Medicaid)	-	-	4.5%	-	-	0.8%
Other	-	-	0.5%	-	-	3.5%
Total	-	100%	100%	-	100%	100%

Note:

This table uses data from URS Table 7

Ambulatory/Community includes Primary Prevention, Evidence-Based Practices for Early Serious Mental Illness, and Other 24-Hour Care expenditures

This table does not show expenditures for state central office including Research, Training, and Administration expenses.

State Notes:

None

Structure Domain: Federal Mental Health Block Grant Expenditures for Non-Direct Service Activities, FY 2021**New York****Reporting Period: 1/1/2020 To: 12/31/2020**

Service	Non-Direct Block Grant Expenditures	% Total	US % Total
Information Systems	-	0.0%	4.4%
Infrastructure Support	-	0.0%	25.0%
Partnerships, community outreach, and needs assessment	\$1,574,359	100.0%	23.4%
MHA Planning Council Activities	-	0.0%	2.4%
Quality assurance and improvement	-	0.0%	10.8%
Research and Evaluation	-	0.0%	10.9%
Training and Education	-	0.0%	23.2%
Total	\$1,574,359	100.0%	100.0%

Note: This table use data from URS Table 8.

State Notes:

None

Structure Domain: Mental Health Programs Funded By the Federal Mental Health Block Grant, FY 2021

New York

Reporting Period: 1/1/2020 To: 12/31/2020

Adult Programs: 28 Child Programs: 8 Set-Aside FEP Programs: 17 Set-Aside ESMI Programs: 0 Total Programs: 29

Adult Total: \$31,231,570 Child Total: \$7,515,672 Set-Aside FEP Total: \$4,078,397 Set-Aside ESMI Total: \$0 Total: \$42,825,635

Agency Name	Address	Area Served	Total Block Grant Funds	Block Grant for Adults with SMI	Block Grant for Children with SED	Set-Aside for FEP Programs	Set-Aside for ESMI Programs
Albany County Community Service	175 Green Street	Albany	\$490,955	\$108,188	\$382,767	\$0	\$0
Westchester County	112 East Post Road, 2nd Floor	Westchester	\$1,415,239	\$766,734	\$648,505	\$0	\$0
Research Foundation for Mental Hygiene	150 Broadway, Suite 301	Statewide	\$4,027,522	\$2,013,761	\$0	\$2,013,761	\$0
Access Supports for Living, Inc	15 Fortune Rd West	Hudson River	\$259,894	\$129,947	\$0	\$129,947	\$0
BestSelf Behavioral Health, Inc	255 Delaware Avenue, Suite 300	Western NY	\$259,894	\$129,947	\$0	\$129,947	\$0
Erie County Medical Center	462 Grider Street	Western NY	\$0	\$0	\$0	\$0	\$0
HHC Bellevue Hospital	462 First Ave, Hbuilding, NB-ME8	NYC - Manhattan	\$259,894	\$129,947	\$0	\$129,947	\$0
HHC Elmhurst Hospital Center	79-01 Broadway	NYC - Queens	\$259,894	\$129,947	\$0	\$129,947	\$0
HHC Kings County Hospital Center	451 Clarkson Avenue	NYC - Brooklyn	\$259,894	\$129,947	\$0	\$129,947	\$0
Institute For Community Living, Inc.	125 Broad Street	NYC - Brooklyn	\$259,894	\$129,947	\$0	\$129,947	\$0
Jewish Board of Family and Childrens Ser	135 West 50th Street, 6th Floor	NYC - Manhattan	\$259,894	\$129,947	\$0	\$129,947	\$0
Erie County	95 Franklin Street, Rath Bldg., Room 1237	Erie	\$1,811,517	\$1,176,642	\$634,875	\$0	\$0
Lenox Hill Hospital	100 East 77th Street	NYC - Manhattan	\$259,894	\$129,947	\$0	\$129,947	\$0
Long Island Jewish Medical Center	270-05 76th Avenue	NYC - Queens	\$425,786	\$212,893	\$0	\$212,893	\$0
MHA Westchester County	580 White Plains Road, Suite 510	Hudson River	\$259,894	\$129,947	\$0	\$129,947	\$0
Mercy Medical Center	1000 North Village Avenue, PO Box 9024	Long Island	\$64,972	\$32,486	\$0	\$32,486	\$0
Montefiore Medical Center	111 East 210th Street	NYC - Bronx	\$259,894	\$129,947	\$0	\$129,947	\$0
Parsons Child & Family Center	60 Academy Rd	Hudson River	\$259,894	\$129,947	\$0	\$129,947	\$0
Staten Island University Hospital	475 Seaview Ave	NYC - Staten Island	\$259,894	\$129,947	\$0	\$129,947	\$0
The Institute for Family Health	2006 Madison Avenue, 5th Floor	NYC - Bronx	\$259,894	\$129,947	\$0	\$129,947	\$0
The Long Island Home	400 Sunrise Highway	Long Island	\$259,892	\$129,946	\$0	\$129,946	\$0
Coordinated Behavioral Care, Inc.	123 William Street, 19th Floor	NYC	\$3,499,420	\$3,499,420	\$0	\$0	\$0
Monroe County	1099 Jay Street, Bldg. J., 2nd floor	Monroe	\$2,844,013	\$1,474,538	\$1,369,475	\$0	\$0
Nassau County	60 Charles Lindbergh Blvd., Suite 200	Nassau	\$2,832,690	\$1,761,021	\$1,071,669	\$0	\$0
New York City Dept. of Health & Mental Hygiene	42-09 28th St 19th Floor, CN13	NYC	\$18,481,350	\$16,396,960	\$2,084,386	\$0	\$0
Orange County	30 Harriman Drive	Orange	\$60,946	\$60,946	\$0	\$0	\$0

Agency Name	Address	Area Served	Total Block Grant Funds	Block Grant for Adults with SMI	Block Grant for Children with SED	Set-Aside for FEP Programs	Set-Aside for ESMI Programs
Ondondaga County	421 Montgomery Street, 10th Floor	Onondaga	\$234,709	\$234,709	\$0	\$0	\$0
Rensselaer County	1600 Seventh Avenue, County Office Building	Rensselaer	\$284,068	\$106,594	\$177,474	\$0	\$0
Suffolk County	North County Complex, Bldg. C92B, 725 Veterans Memorial Highway	Suffolk	\$2,713,938	\$1,567,417	\$1,146,521	\$0	\$0

General Notes FY 2021**New York**

URS Table Number	General Notes
9, 11,11A	Child/Adolescent Consumer Survey data was not conducted in 2021 due to COVID, so we are using data from 2020 FACS survey. Adult Consumer Survey data is from 2021.
3,6,15A	Other Psychiatric Inpatient includes persons in general hospital psychiatric units (with the exception of those with abortion and/or HIV/AIDS flags) from SPARCS and Medicaid recipients served in private psy. hospitals (CY 2020).
6	Other Psychiatric Inpatient includes persons in general hospital psychiatric units (with the exception of those with abortion and/or HIV/AIDS flags) from SPARCS and Medicaid recipients served in private psy. hospitals (CY 2020). For CY 2020, Other Psychiatric Inpatient data reflected that there were no clients of age '0 to 17' (children) who stayed in facility more than a year and hence the length of stay for Residents aged '0 to 17' at end of year in this service category is not available The closure of beds and unit reshuffling/reassignment might have resulted in less admission to state hospitals and thereby LOS.
7	According to the Financial division the Data for this table is not available
7A	According to the Financial division the Data for this table is not available
19A	The file New York State Office of Mental Health received from New York State Division of Criminal Justice Services (DCJS) for this project includes only unsealed events. According to DCJS, the arrest counts for recent years, especially this year were substantially lower as many of the prior or previous years arrests were resolved and sealed in the intervening time.
21	Race Not Available includes Hispanic based on Race category 3,721 total, 629 - 30 readmission and 1,499 -180 day readmissions. See comment under table 21

Errata Sheet to 2021 URS Output Tables

This page includes clarifications and corrections to 2021 URS Output Tables.

APPROPRIATENESS DOMAIN: Living Situation of Consumers Service by State Mental Health Agency Systems, FY2021

The table counts and percentages have been updated due to a processing error in the “Not Available” category.

APPROPRIATENESS DOMAIN: Adults with SMI and Children with SED Receiving Evidence-Based Services for First Episode Psychosis (FEP), 2021

The table counts and percentages have been updated in “CSC-FEP Fidelity and Training State” and “Clients Served by Programs With and Without CSC-FEP Fidelity” due to a processing error in counting several fidelity programs.