

## 2021 Uniform Reporting Summary Output Tables Executive Summary

State Mental Health Agencies (SMHAs) compile and report annual data as part of their application package for SAMHSA's Community Mental Health Block Grant. These compiled data constitute the Uniform Reporting System (URS).

The URS is comprised of 21 tables that are developed by SAMHSA in consultation with SMHAs. The 2021 URS Output Tables present findings from 59 states and U.S. territories. Each output table provides summary information on the SMHA clients served in 2021: sociodemographic characteristics of clients served, outcomes of care, use of selected evidence-based practices, client assessment of care, insurance status, living situation, employment status, and readmission to state psychiatric hospitals within 30 and 180 days. Key data highlights are listed below:

- In 2021, 8,195,517 clients received SMHA services from 59 states. This is an increase from 8,013,396 SMHA clients in 2020. There were 5,789,234 adults (or 17.3 per 1,000), 2,175,848 children (or 29.9 per 1,000) served. About 9,311 (0.1 percent) clients were missing age data.
- Among SMHA clients, there were 4,342,545 (53.0 percent) females served while there were 3,806,666 (46.4 percent) males served. In 21 states and U.S. territories, more SMHA males were served than females. While in 38 states and U.S. territories, more SMHA females were served.
- The age distribution among SMHA clients was the largest among ages 25-44 with 32.9 percent, followed by ages 45-64 with 23.6 percent, children ages 0-12 with 14.8 percent, and children ages 13-17 with 12.1 percent.
- The highest percentage of SMHA clients that were served was among the White population with 58.1 percent, followed by Black or African Americans with 17.9 percent, and only 15.7 percent for Hispanics.

**Kentucky 2021 Mental Health National Outcome Measures (NOMS): SAMHSA Uniform Reporting System**

<b>Utilization Rates/Number of Consumers Served</b>	<b>U.S.</b>	<b>State</b>	<b>U.S. Rate</b>	<b>States</b>
Penetration Rate per 1,000 population	8,195,517	32.70	24.59	59
Community Utilization per 1,000 population	7,974,393	32.30	23.93	59
State Hospital Utilization per 1,000 population	114,987	0.98	0.36	53
Other Psychiatric Inpatient Utilization per 1,000 population	454,356	0.28	1.52	45
<b>Adult Employment Status</b>	<b>U.S.</b>	<b>State</b>	<b>U.S. Rate</b>	<b>States</b>
Employed (Percent in Labor Force)*	809,009	48.0%	48.2%	59
Employed (percent with Employment Data)**	809,009	27.6%	24.6%	59
<b>Adult Consumer Survey Measures</b>		<b>State</b>	<b>U.S. Rate</b>	<b>States</b>
Positive About Outcome		78.7%	77.1%	51
<b>Child/Family Consumer Survey Measures</b>		<b>State</b>	<b>U.S. Rate</b>	<b>States</b>
Positive About Outcome		72.0%	72.2%	47
<b>Readmission Rates:(Civil "non-Forensic" clients)</b>	<b>U.S.</b>	<b>State</b>	<b>U.S. Rate</b>	<b>States</b>
State Hospital Readmissions: 30 Days	5,504	5.8%	7.6%	43
State Hospital Readmissions: 180 Days	12,996	18.5%	17.8%	47
State Hospital Readmissions: 30 Days: Adults	5,098	5.8%	7.6%	43
State Hospital Readmissions: 180 Days: Adults	12,003	18.5%	17.9%	47
State Hospital Readmissions: 30 Days: Children	405	0.0%	7.1%	17
State Hospital Readmissions: 180 Days: Children	992	0.0%	17.4%	19
<b>Living Situation</b>	<b>U.S.</b>	<b>State</b>	<b>U.S. Rate</b>	<b>States</b>
Private Residence	4,153,224	85.7%	84.9%	58
Homeless/Shelter	211,853	4.4%	4.3%	54
Jail/Correctional Facility	72,129	0.6%	1.5%	51
<b>Adult EBP Services</b>	<b>U.S.</b>	<b>State</b>	<b>U.S. Rate</b>	<b>States</b>
Supported Housing	93,371	1.4%	3.0%	32
Supported Employment	65,963	0.3%	1.9%	42
Assertive Community Treatment	71,933	1.1%	2.0%	43
Family Psychoeducation	36,136	-	2.6%	15
Dual Diagnosis Treatment	196,790	-	9.2%	24
Illness Self Management	302,204	-	18.7%	21
Medications Management	535,687	-	30.5%	21
<b>Child/Adolescent EBP Services</b>	<b>U.S.</b>	<b>State</b>	<b>U.S. Rate</b>	<b>States</b>
Therapeutic Foster Care	13,334	0.6%	1.7%	21
Multisystemic Therapy	28,447	-	3.7%	20
Functional Family Therapy	28,506	-	5.1%	14
<b>Change in Social Connectedness</b>		<b>State</b>	<b>U.S. Rate</b>	<b>States</b>
Adult Improved Social Connectedness	81.0%		77.6%	49
Child/Family Improved Social Connectedness	90.7%		87.5%	43

\*Denominator is the sum of consumers employed and unemployed.

\*\*Denominator is the sum of consumers employed, unemployed, and not in labor force.

# SAMHSA Uniform Reporting System - 2021 State Mental Health Measures

## Kentucky

<b>Utilization</b>		<b>State Number</b>	<b>State Rate</b>	<b>U.S.</b>	<b>U.S. Rate</b>	<b>States</b>
Penetration Rate per 1,000 population		146,394	32.70	8,195,517	24.59	59
Community Utilization per 1,000 population		144,595	32.30	7,974,393	23.93	59
State Hospital Utilization per 1,000 population		4,369	0.98	114,987	0.36	53
Medicaid Funding Status		97,240	67%	5,836,399	74%	59
Employment Status (percent employed)		26,210	28%	809,009	25%	59
State Hospital Adult Admissions		5,317	1.22	82,535	0.76	52
Community Adult Admissions		61,942	0.62	14,015,260	2.75	52
Percent Adults with SMI and Children with SED		63,341	43%	5,957,224	73%	59
<b>Utilization</b>		<b>State Rate</b>		<b>U.S. Rate</b>		<b>States</b>
State Hospital LOS Discharged Adult patients (Median)		8 Days		105 Days		51
State Hospital LOS for Adult Resident patients in facility <1 year (Median)		15 Days		81 Days		51
Percent of Client who meet Federal SMI definition		-		71%		57
Adults with Co-occurring MH/SA Disorders		16%		29%		57
Children with Co-occurring MH/SA Disorders		1%		6%		55
<b>Adult Consumer Survey Measures</b>		<b>State Rate</b>		<b>U.S. Rate</b>		<b>States</b>
Access to Services		93.5%		87.8%		51
Quality/Appropriateness of Services		94.7%		89.8%		51
Outcome from Services		78.7%		77.1%		51
Participation in Treatment Planning		88.2%		86.3%		51
General Satisfaction with Care		94.1%		89.0%		51
<b>Child/Family Consumer Survey Measures</b>		<b>State Rate</b>		<b>U.S. Rate</b>		<b>States</b>
Access to Services		93.5%		87.9%		46
General Satisfaction with Care		93.0%		86.8%		46
Outcome from Services		72.0%		72.2%		47
Participation in Treatment Planning		94.5%		89.1%		47
Cultural Sensitivity of Providers		97.5%		93.8%		45
<b>Consumer Living Situations</b>		<b>State Number</b>	<b>State Rate</b>	<b>U.S.</b>	<b>U.S. Rate</b>	<b>States</b>
Private Residence		94,807	85.7%	4,153,224	84.9%	58
Jail/Correctional Facility		657	0.6%	72,129	1.5%	51
Homeless or Shelter		4,905	4.4%	211,853	4.3%	54
<b>Hospital Readmissions</b>		<b>State Number</b>	<b>State Rate</b>	<b>U.S.</b>	<b>U.S. Rate</b>	<b>States</b>
State Hospital Readmissions: 30 Days		283	5.8%	5,504	7.6%	43
State Hospital Readmissions: 180 Days		898	18.5%	12,996	17.8%	47
Readmission to any psychiatric hospital: 30 Days		626	8.6%	32,872	14.5%	20
<b>State Mental Health Finance (2021)</b>		<b>State Number</b>	<b>State Rate</b>	<b>U.S.</b>	<b>U.S. Rate</b>	<b>States</b>
SMHA Expenditures for Community Mental Health*		\$73,918,699	35.7%	\$27,971,801,374	70.8%	56
State Expenditures from State Sources		\$107,497,643	51.9%	\$15,560,974,166	39.4%	54
Total SMHA Expenditures		\$206,967,451	-	\$39,525,909,269	-	56
<b>Adult Evidence-Based Practices</b>		<b>State Number</b>	<b>State Rate</b>	<b>U.S.</b>	<b>U.S. Rate</b>	<b>States</b>
Assertive Community Treatment		471	1.1%	71,933	2.0%	43
Supported Housing		590	1.4%	93,371	3.0%	32
Supported Employment		131	0.3%	65,963	1.9%	42
Family Psychoeducation		-	-	36,136	2.6%	15
Integrated Dual Diagnosis Treatment		-	-	196,790	9.2%	24
Illness Self-Management and Recovery		-	-	302,204	18.7%	21
Medications Management		-	-	535,687	30.5%	21
<b>Child Evidence Based Practices</b>		<b>State Number</b>	<b>State Rate</b>	<b>U.S.</b>	<b>U.S. Rate</b>	<b>States</b>
Therapeutic Foster Care		117	0.6%	13,334	1.7%	21
Multisystemic Therapy		-	-	28,447	3.7%	20
Functional Family Therapy		-	-	28,506	5.1%	14
<b>Outcome</b>		<b>State Number</b>	<b>State Rate</b>	<b>U.S.</b>	<b>U.S. Rate</b>	<b>States</b>
Adult Criminal Justice Contacts		407	1.2%	21,059	2.0%	34
Juvenile Justice Contacts		25	0.1%	2,636	0.7%	36
School Attendance (Improved )		-	-	4,873	25.9%	24

\* Includes primary prevention, evidence-based practices for early serious mental illness, and Other 24-Hour Care

# Mental Health Community Services Block Grant: 2021 State Summary Report

## Kentucky

### State URS Contact Person

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### State Revenue Expenditure Data

	Amount
FY 2021 Mental Health Block Grant Expenditures	\$9,170,643
FY 2021 SMHA Community MH Expenditures	\$73,918,699
FY 2021 Per Capita Community MH Expenditures	\$16.51
FY 2021 Community Percent of Total SMHA Spending	35.19%
FY 2021 Total SMHA Mental Health Expenditure	\$206,967,451
FY 2021 Per Capita Total SMHA Mental Health Expenditures	\$46.23

### Statewide Mental Health Agency Data\*

#### Measure

Total Clients Served by SMHA System  
Clients Served in Community Settings  
Clients Served in State Hospitals

#### Number of Clients

146,394  
144,595  
4,369

#### Utilization Rate Per 1,000 Population

32.7  
32.3  
1.0

#### Gender

	Percent
Female	51.2%
Male	48.1%
Not Available	0.7%

#### Age

	Percent
0 to 12	17.0%
13 to 17	13.2%
18 to 20	5.1%
21 to 24	6.4%
25 to 44	32.8%
45 to 64	21.5%
65 to 74	3.1%
75 and over	0.8%
Not Available	-

#### Race/Ethnicity

	Percent
American Indian or Alaska Native	0.2%
Asian	0.3%
Black or African American	9.3%
Native Hawaiian or Other Pacific Islander	0.1%
White	79.2%
More Than One Race	2.6%
Not Available	8.3%

#### Living Situation (with Known Status)

	Percent
Private Residence	85.7%
Foster Home	1.3%
Residential Care	4.3%
Crisis Residence	-
Residential Treatment Center	-
Institutional Setting	3.7%
Jail (Correctional Facility)	0.6%
Homeless (Shelter)	4.4%
Other	-

#### Medicaid Funding Status of Consumers

	Percent
Medicaid Only	53.2%
Non-Medicaid	32.8%
Both Medicaid and Other Funds	14.0%

#### Consumer Perception of Care: (Children/Adolescents)

	Percent
Access to Services	93.5%
Quality/Appropriateness of Services	94.7%
Outcome from Services	78.7%
Participation in Treatment Planning	88.2%
Overall Satisfaction with Care	94.1%

#### Implementation of Evidence-Based Practices

	Percent
Assertive Community Treatment	1.1%
Supported Housing	1.4%
Supported Employment	0.3%
Family Psychoeducation	-
Integrated Dual Diagnosis Treatment	-
Illness Self-Management and Recovery	-
Medications Management	-
Therapeutic Foster Care	0.6%
Multisystemic Therapy	-
Functional Family Therapy	-

#### Outcome Measures Developmental

	Percent
Adults Arrested this Year	1.2%
Youth Arrested this Year	0.1%
Improved School Attendance	-

#### Hospital Readmissions (Civil Status Patients)

	Percent
State Hospital Readmissions: 30 Days	5.8%
State Hospital Readmissions: 180 Days	18.5%
Readmission to any psychiatric hospital: 30 Days	8.6%

\* Based on 2021 URS data provided by US States and Territories per annual reporting guidelines.



The Community Mental Health Block Grant is administered by the Center for Mental Health Services within the Substance Abuse and Mental Health Services Administration of the US Department of Health and Human Services

**Access Domain: Demographic Characteristics of Persons Served by the State Mental Health Authority, FY 2021**
**Kentucky**
**Reporting Period: 7/1/2020 To: 6/30/2021**

Demographics	Total Served				Penetration Rates			States Reporting	
	State		US		(per 1,000 population)				
	n	%	n	%	State	South	US		
<b>Total</b>	<b>146,394</b>	<b>100.0%</b>	<b>8,195,517</b>	<b>100.0%</b>	<b>32.7</b>	<b>17.4</b>	<b>24.6</b>	<b>59</b>	
0-12	24,887	17.0%	1,214,861	14.8%	34.8	14.9	23.4	59	
13-17	19,362	13.2%	994,123	12.1%	67.7	33.6	47.5	59	
18-20	7,519	5.1%	397,938	4.9%	43.3	23.0	31.1	59	
21-24	9,377	6.4%	482,151	5.9%	39.3	21.1	28.0	59	
25-44	48,005	32.8%	2,697,362	32.9%	42.0	23.4	30.6	59	
45-64	31,500	21.5%	1,933,469	23.6%	27.4	16.5	23.4	59	
65-74	4,599	3.1%	330,948	4.0%	9.9	5.5	10.2	59	
75 and over	1,145	0.8%	132,547	1.6%	3.7	2.0	5.7	59	
Age Not Available	-	-	12,118	0.1%	-	-	-	27	
Female	74,957	51.2%	4,342,545	53.0%	33.0	18.2	25.7	59	
Male	70,450	48.1%	3,806,666	46.4%	31.9	16.4	23.2	59	
Gender Not Available	987	0.7%	46,306	0.6%	-	-	-	40	
American Indian/Alaskan Native	265	0.2%	144,801	1.8%	19.4	9.9	33.7	53	
Asian	400	0.3%	119,655	1.5%	5.3	2.8	6.0	56	
Black/African American	13,627	9.3%	1,463,948	17.9%	35.7	20.8	32.9	54	
Native Hawaiian/Pacific Islander	165	0.1%	25,191	0.3%	35.3	14.0	30.3	53	
White	115,977	79.2%	4,764,161	58.1%	29.7	14.8	19.0	57	
Multi-Racial	3,853	2.6%	217,686	2.7%	40.9	20.5	24.9	49	
Race Not Available	12,107	8.3%	1,460,075	17.8%	-	-	-	53	
Hispanic or Latino Ethnicity	3,625	2.5%	1,159,327	15.7%	20.2	6.8	19.8	52	
Not Hispanic or Latino Ethnicity	139,979	95.6%	5,506,816	74.6%	32.6	13.9	21.5	56	
Ethnicity Not Available	2,790	1.9%	719,808	9.7%	-	-	-	46	

**Note:**
**Are Client Counts Unduplicated?**

Unduplicated

**Number of States with Unduplicated Counts** 43

This table uses data from URS Table 2a, Table 2b and from the US Census Bureau. All denominators use US Census data from 2020

US totals are calculated uniquely for each data element based on only those states who reported clients served.

Regional groupings are based on SAMHSA's Block Grant Regions.

**State Notes:**
**Table 2a**

Age	None
Gender	None
Race	None
Overall	URS 2021 Not Available increased; unsure of reason but will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief.

**Table 2b**

Age	None
Gender	During 2021, SMHA plans added to MMIS System collects Gender Identify and Sexual Orientation fields. We will be prepared to report on these throughout all URS tables when the URS tables allow those additional values.
Race	None
Overall	URS 2021 Not Available increased; will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief.

# Access Domain: Persons Served in Community Mental Health Programs by Age and Gender, FY 2021

Kentucky

Reporting Period: 7/1/2020 To: 6/30/2021

Demographic	Served in Community				Penetration Rates (rate per 1,000 population)		States Reporting
	State		US		State	US	
	n	%	n	%			
Age 0-17	44,246	30.6%	2,175,848	27.3%	44.2	29.9	59
Age 18-20	7,410	5.1%	384,561	4.8%	42.7	30.1	59
Age 21-64	87,356	60.4%	4,957,110	62.2%	34.5	26.3	59
Age 65+	5,583	3.9%	447,563	5.6%	7.2	7.5	59
Age Not Available	-	-	9,311	0.1%	-	-	24
Age Total	144,595	100.0%	7,974,393	100.0%	32.3	23.9	59
Female	74,332	51.4%	4,253,623	53.3%	32.7	25.1	59
Male	69,276	47.9%	3,675,171	46.1%	31.4	22.4	59
Gender Not Available	987	0.7%	45,599	0.6%	-	-	39
Total	144,595	100.0%	7,974,393	100.0%	32.3	23.9	59

**Note:**

US totals are based on states reporting.

This table uses data from URS Table 3.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Age	None
Gender	None
Overall	URS 2021 Not Available increased; will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief.

**Access Domain: Persons Served in State Psychiatric Hospitals by Age and Gender, FY 2021**
**Kentucky**
**Reporting Period: 7/1/2020 To: 6/30/2021**

Demographic	Served in State Psychiatric Hospitals				Penetration Rates (rate per 1,000 population)		States Reporting
	State		US		State	US	
	n	%	n	%			
Age 0-17	7	0.2%	6,039	5.3%	0.0	0.1	32
Age 18-20	263	6.0%	4,304	3.7%	1.5	0.3	51
Age 21-64	3,849	88.1%	96,923	84.3%	1.5	0.5	53
Age 65+	250	5.7%	7,710	6.7%	0.3	0.1	52
Age Not Available	-	-	11	0.0%	-	-	4
Age Total	4,369	100.0%	114,987	100.0%	1.0	0.4	53
Female	1,719	39.3%	35,696	31.0%	0.8	0.2	53
Male	2,650	60.7%	79,020	68.7%	1.2	0.5	53
Gender Not Available	-	-	271	0.2%	-	-	13
Total	4,369	100.0%	114,987	100.0%	1.0	0.4	53

**Notes:**

US totals are based on states reporting.

This table uses data from URS Table 3.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Age	None
Gender	None
Overall	URS 2021 Not Available increased; will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief.

**Access Domain: Demographic Characteristics of Adults with SMI and Children with SED Served by the State Mental Health Authority, FY 2021**
**Kentucky**
**Reporting Period: 7/1/2020 To: 6/30/2021**

Demographics	Total Served				Penetration Rates (per 1,000 population)			States Reporting
	State		US		State	South	US	
	n	%	n	%				
Total	63,341	100.0%	5,957,224	100.0%	14.1	13.3	17.9	59
0-12	11,024	17.4%	884,797	14.9%	15.4	11.3	17.1	58
13-17	9,063	14.3%	760,348	12.8%	31.7	26.4	36.3	59
18-20	2,879	4.5%	277,995	4.7%	16.6	17.0	21.8	57
21-24	3,194	5.0%	341,331	5.7%	13.4	15.6	19.8	59
25-44	17,921	28.3%	1,915,023	32.1%	15.7	17.7	20.7	59
45-64	16,408	25.9%	1,454,541	24.4%	14.3	13.1	17.6	59
65-74	2,431	3.8%	238,110	4.0%	5.3	4.3	7.3	58
75 and over	421	0.7%	81,677	1.4%	1.4	1.4	3.9	57
Age Not Available	-	-	3,402	0.1%	-	-	-	16
Female	34,188	54.0%	3,214,161	54.0%	15.0	14.2	19.0	59
Male	28,917	45.7%	2,730,147	45.8%	13.1	12.4	16.6	59
Gender Not Available	236	0.4%	12,916	0.2%	-	-	-	37
American Indian/Alaskan Native	131	0.2%	100,653	1.7%	9.6	7.7	23.4	53
Asian	180	0.3%	91,399	1.5%	2.4	2.2	4.6	55
Black/African American	6,732	10.6%	1,091,989	18.3%	17.6	16.5	24.5	54
Native Hawaiian/Pacific Islander	78	0.1%	18,989	0.3%	16.7	10.1	22.5	53
White	50,799	80.2%	3,438,816	57.7%	13.0	11.2	13.7	55
Multi-Racial	1,878	3.0%	181,314	3.0%	19.9	17.2	19.1	49
Race Not Available	3,543	5.6%	1,034,064	17.4%	-	-	-	53
Hispanic or Latino Ethnicity	1,589	2.5%	964,479	17.6%	8.9	5.8	15.7	52
Not Hispanic or Latino Ethnicity	61,480	97.1%	3,982,194	72.7%	14.3	10.5	14.8	56
Ethnicity Not Available	272	0.4%	530,987	9.7%	-	-	-	46

**Note:**

This table uses data from URS Table 14a, Table 14b and from the US Census Bureau. All denominators use US Census data from 2020

US totals are calculated uniquely for each data element based on only those states who reported clients served.

Regional groupings are based on SAMHSA's Block Grant Regions.

**State Notes:**
**Table 14a**

Age	None
Gender	None
Race	None
Overall	None

**Table 14b**

Age	None
Gender	None
Race	None
Overall	None

**Access Domain: Adults with SMI and Children with SED Served in Community Mental Health Programs by Age and Gender, FY 2021**

**Kentucky**

**Reporting Period: 7/1/2020 To: 6/30/2021**

Demographic	Served in Community				Penetration Rates (rate per 1,000 population)		States Reporting
	State		US		State	US	
	n	%	n	%			
Age 0-17	20,087	31.7%	1,557,857	27.7%	20.0	21.5	58
Age 18-20	2,879	4.5%	259,921	4.6%	16.6	20.4	56
Age 21-64	37,523	59.2%	3,506,423	62.3%	14.8	18.7	58
Age 65+	2,852	4.5%	302,028	5.4%	3.7	5.1	57
Age Not Available	-	-	3,207	0.1%	-	-	16
Age Total	63,341	100.0%	5,629,436	100.0%	14.1	16.9	58
Female	34,188	54.0%	3,059,107	54.3%	15.0	18.1	58
Male	28,917	45.7%	2,557,950	45.4%	13.1	15.6	58
Gender Not Available	236	0.4%	12,379	0.2%	-	-	35
Total	63,341	100.0%	5,629,436	100.0%	14.1	16.9	58

**Note:**

US totals are based on states reporting.

This table uses data from URS Table 15a.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Age	None
Gender	None
Overall	None

**Access Domain: Adults with SMI and Children with SED Served in State Psychiatric Hospitals by Age and Gender, FY 2021**

**Kentucky**

**Reporting Period:** 7/1/2020 To: 6/30/2021

<b>Demographic</b>	<b>Served in State Psychiatric Hospitals</b>				<b>Penetration Rates (rate per 1,000 population)</b>		<b>States Reporting</b>
	<b>State</b>		<b>US</b>		<b>State</b>	<b>US</b>	
	<b>n</b>	<b>%</b>	<b>n</b>	<b>%</b>			
Age 0-17	1	0.1%	4,859	5.3%	0.0	0.1	30
Age 18-20	74	4.6%	3,225	3.5%	0.4	0.3	48
Age 21-64	1,466	91.0%	77,647	85.0%	0.6	0.4	51
Age 65+	70	4.3%	5,652	6.2%	0.1	0.1	50
Age Not Available	-	-	2	0.0%	-	-	2
Age Total	1,611	100.0%	91,385	100.0%	0.4	0.3	51
Female	690	42.8%	28,469	31.2%	0.3	0.2	51
Male	921	57.2%	62,754	68.7%	0.4	0.4	51
Gender Not Available	-	-	162	0.2%	-	-	12
Total	1,611	100.0%	91,385	100.0%	0.4	0.3	51

**Notes:**

US totals are based on states reporting.

This table uses data from URS Table 15a.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Age	None
Gender	None
Overall	None

**Appropriateness Domain: Percent of Adults and Children Served Who Meet the Federal Definition for SMI/SED and Percent of Adults and Children Served Who Have Co-Occurring MH/AOD Disorders, FY 2021**

**Kentucky**

**Reporting Period: 7/1/2020 To: 6/30/2021**

<b>Adults and Children who meet the Federal Definition of SMI/SED</b>	<b>State</b>	<b>US Average</b>	<b>US Median</b>	<b>States Reporting</b>
Percent of Adults served through the SMHA who meet the Federal definition for SMI	-	71.4%	74.0%	57
Percent of Children served through the SMHA who meet the Federal definition for SED	-	71.8%	75.0%	57

<b>Co-occurring MH and Substance Abuse Consumers</b>	<b>State</b>	<b>US Average</b>	<b>US Median</b>	<b>States Reporting</b>
Percent of Adults served through the SMHA who had a co-occurring MH and AOD disorder	16.0%	28.8%	26.0%	57
Percent of Children served through the SMHA who had a co-occurring MH and AOD disorder	1.0%	6.1%	2.0%	57
Percent of Adults served through the SMHA who met the Federal definitions of SMI who also have a substance abuse diagnosis	7.0%	29.8%	27.0%	56
Percent of Children served through the SMHA who met the Federal definitions of SED who also have a substance abuse diagnosis	1.0%	10.3%	3.0%	56

**Note**

This table uses data from URS Table 12.

**How are the number of clients with co-occurring disorders counted and calculated?**

Percentages of children/adolescents and adults who also have a co-occurring substance use disorder are from AMART—client counts with both MH and SA record markers = true divided by total children and adults served. Percentages of children/adolescent with SED and adults with SMI whose also have co-occurring substance use disorder is calculated by dividing the total number of children/adolescents with SED and adults with SMI with both a MH and SA (per CMHC\_Cert\_BB6 and CMHC\_Cert\_B4) by the total number of children/adolescents with SED and total number of adults with SMI (per AMART).

**State Notes**

None

## ACCESS DOMAIN: Persons Served by SMHA System through Medicaid and Other Funding Sources by Race, Gender, and Ethnicity, FY 2021

STATE: Kentucky

Reporting Period: 7/1/2020 To: 6/30/2021

Demographic	State							US Averages							States Reporting	
	Number Served			% Served			Number Served			% Served						
	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Total Served with Known Funding Status	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Total Served with Known Funding Status	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other		
Female	40,524	23,823	9,985	74,332	55%	32%	13%	2,640,278	1,016,240	531,913	4,188,431	63%	24%	13%	57	
Male	36,002	23,149	10,125	69,276	52%	33%	15%	2,174,888	987,954	474,328	3,637,170	60%	27%	13%	57	
Gender Not Available	451	383	153	987	46%	39%	16%	12,926	25,984	2,066	40,976	32%	63%	5%	39	
Total	76,977	47,355	20,263	144,595	53%	33%	14%	4,828,092	2,030,178	1,008,307	7,866,577	61%	26%	13%	57	
American Indian or Alaska Native	139	90	34	263	53%	34%	13%	98,741	20,419	22,292	141,452	70%	14%	16%	52	
Asian	212	143	30	385	55%	37%	8%	71,849	28,264	13,763	113,876	63%	25%	12%	54	
Black or African American	8,044	3,604	1,724	13,372	60%	27%	13%	856,979	363,377	187,718	1,408,074	61%	26%	13%	53	
Native Hawaiian or Other Pacific Islander	96	51	18	165	58%	31%	11%	12,660	6,280	4,387	23,327	54%	27%	19%	51	
White	59,130	38,342	17,103	114,575	52%	33%	15%	2,756,680	1,248,161	573,566	4,578,407	60%	27%	13%	55	
More Than One Race	2,535	879	424	3,838	66%	23%	11%	110,403	65,985	36,422	212,810	52%	31%	17%	47	
Race Not Available	6,821	4,246	930	11,997	57%	35%	8%	920,780	297,692	170,159	1,388,631	66%	21%	12%	51	
Total	76,977	47,355	20,263	144,595	53%	33%	14%	4,828,092	2,030,178	1,008,307	7,866,577	61%	26%	13%	57	
Hispanic or Latino	2,190	1,073	353	3,616	61%	30%	10%	617,393	294,095	200,476	1,111,964	56%	26%	18%	51	
Not Hispanic or Latino	74,187	45,693	19,709	139,589	53%	33%	14%	3,054,149	1,509,010	720,525	5,283,684	58%	29%	14%	55	
Ethnicity Not Available	600	589	201	1,390	43%	42%	14%	452,327	139,938	71,511	663,776	68%	21%	11%	43	
Total	76,977	47,355	20,263	144,595	53%	33%	14%	4,123,869	1,943,043	992,512	7,059,424	58%	28%	14%	55	

**Note:**

This table uses data from URS tables 5a and 5b.

**Type of Medicaid Data Reported**

People Served by Both includes people with any Medicaid

**State Notes**

5a Age None

5a Gender None

5a Overall URS 2021 Not Available increased; unsure of reason but will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief. See General Notes.

5b Overall URS 2021 Not Available increased; will continue to monitor.

**APPROPRIATENESS DOMAIN: NUMBER OF ADMISSIONS DURING THE YEAR TO STATE HOSPITAL INPATIENT AND COMMUNITY-BASED PROGRAMS, FY 2021**

**STATE: Kentucky**

**Reporting Period: 7/1/2020 To: 6/30/2021**

Setting	Demographic	State			US			Admission Rate		States Reporting
		Admissions During Year	Total Served At Start of Year	Total Served During Year	Admissions During Year	Total Served At Start of Year	Total Served During Year	State	US	
State Psychiatric Hospitals	Total	5,329	307	4,369	88,701	37,773	114,912	1.22	0.77	52
	Children	5	-	7	6,151	1,024	5,778	0.71	1.06	30
	Adults	5,317	290	4,362	82,535	36,238	108,862	1.22	0.76	52
	Age NA	7	17	-	15	511	7	-	2.14	2
Other Inpatient	Total	1,947	61	1,261	480,622	65,699	453,428	1.54	1.06	40
	Children	1	-	2	68,016	6,486	56,334	0.50	1.21	35
	Adults	1,940	61	1,259	409,224	58,947	395,152	1.54	1.04	40
	Age NA	6	-	-	3,382	266	1,937	-	1.75	7
Residential Treatment Centers	Total	-	-	-	104,597	17,929	64,305	-	1.63	36
	Children	-	-	-	31,505	5,975	15,392	-	2.05	33
	Adults	-	-	-	73,091	11,954	48,838	-	1.50	31
	Age NA	-	-	-	1	-	1	-	1.00	1
Community Programs	Total	87,374	57,221	144,595	25,075,693	3,895,678	7,003,402	0.60	3.58	52
	Children	25,419	18,827	44,246	11,047,253	1,009,456	1,906,275	0.57	5.80	52
	Adults	61,942	38,394	100,349	14,015,260	2,881,685	5,087,816	0.62	2.75	52
	Age NA	13	-	-	13,180	4,537	7,311	-	1.80	17

**Note:**

Admission Rate= number of admissions divided by total served during the year

US Admissions During Year uses data from states reporting data only. States are only included in "US Total Served" if they also reported data on admissions.

US Total Served During Year is calculated using data in URS Table 3.

This table uses data from URS Table 3 and 6.

**Table 3 State Notes:**

Age	None
Overall	URS 2021 Not Available increased; will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief.
Gender	None

**Table 6 State Notes:**

Hospital	Since 2019, numbers in this category decreased by shifting 1 contracted hosp.(ARH) reporting to "Other Psych Inpatient" category
Other Inpatient	Numbers in this category increased for first time during 2020 because we shifting 1 contracted hosp.(ARH) reporting fm the "State Hosp" category
Residential	None
Community	None
Overall	None

Setting	Demographic	State						US						States	
		Length of Stay (Days)						Length of Stay (Days)							
		Discharged Clients		Resident Clients in Facility 1 year or less		Resident Clients in Facility more than 1 year		Discharged Clients		Resident Clients in Facility 1 year or less		Resident Clients in Facility more than 1 year			
		Average	Median	Average	Median	Average	Median	Average	Median	Average	Median	Average	Median	Reporting	
State Hospitals	All	-	-	-	-	-	-	217	80	100	72	1,717	798	14	
	Children	9	12	3	3	-	-	102	85	74	64	659	566	27	
	Adults	19	8	46	15	1,587	853	255	105	105	81	1,641	1,045	52	
	Age NA	25	8	37	37	2,556	2,172	26	9	69	69	4,333	4,141	2	
Other Inpatient	All	-	-	-	-	-	-	70	46	69	44	829	645	10	
	Children	6	6	-	-	-	-	34	27	43	32	629	544	27	
	Adults	12	6	37	10	595	595	48	36	56	37	761	650	37	
	Age NA	18	15	5	5	-	-	20	16	71	92	-	-	4	
Residential Treatment Centers	All	-	-	-	-	-	-	341	235	159	170	725	653	6	
	Children	-	-	-	-	-	-	180	151	134	130	736	677	28	
	Adults	-	-	-	-	-	-	333	276	147	136	1,115	924	27	
	Age NA	-	-	-	-	-	-	14	14	-	-	-	-	-	

**Note:**

Resident clients are clients who were receiving services in inpatient settings at the end of the reporting period.

This table uses data from URS Table 6.

**Table 6 State Notes:**

Hospital	Since 2019, numbers in this category decreased by shifting 1 contracted hosp.(ARH) reporting to "Other Psych Inpatient" category
Other Inpatient	Numbers in this category increased for first time during 2020 because we shifting 1 contracted hosp.(ARH) reporting fm the "State Hosp" category
Residential	None
Community	None
Overall	None

## APPROPRIATENESS DOMAIN: Living Situation of Consumers Served by State Mental Health Agency Systems, FY 2021

STATE: Kentucky

Reporting Period: 7/1/2020 To: 6/30/2021

Age Group	Setting	State			US			States Reporting
		Living Situation	Percent in Living Situation	Percent with Known Living Situation	Living Situation	Percent in Living Situation	Percent with Known Living Situation	
All Persons Served	Private Residence	94,807	64.8%	85.7%	4,153,224	56.0%	84.9%	58
	Foster Home	1,434	1.0%	1.3%	85,504	1.2%	1.7%	49
	Residential Care	4,786	3.3%	4.3%	172,853	2.3%	3.5%	53
	Crisis Residence	-	-	-	8,981	0.1%	0.2%	31
	Residential Treatment Center	-	-	-	8,370	0.1%	0.2%	35
	Institutional Setting	4,095	2.8%	3.7%	72,284	1.0%	1.5%	52
	Jail (Correctional Facility)	657	0.4%	0.6%	72,129	1.0%	1.5%	51
	Homeless (Shelter)	4,905	3.4%	4.4%	211,853	2.9%	4.3%	54
	Other	-	-	-	106,611	1.4%	2.2%	45
	Not Available	35,710	24.4%	-	2,522,320	34.0%	-	49
	<b>Total</b>	<b>146,394</b>	<b>100.0%</b>	<b>100.0%</b>	<b>7,414,129</b>	<b>100.0%</b>	<b>100.0%</b>	<b>58</b>
Children under age 18	Private Residence	41,695	94.2%	96.1%	1,140,918	58.1%	91.5%	57
	Foster Home	1,186	2.7%	2.7%	54,071	2.8%	4.3%	49
	Residential Care	375	0.8%	0.9%	12,994	0.7%	1.0%	41
	Crisis Residence	-	-	-	1,424	0.1%	0.1%	29
	Residential Treatment Center	-	-	-	5,632	0.3%	0.5%	31
	Institutional Setting	10	0.0%	0.0%	4,914	0.3%	0.4%	45
	Jail (Correctional Facility)	17	0.0%	0.0%	4,020	0.2%	0.3%	46
	Homeless (Shelter)	87	0.2%	0.2%	7,173	0.4%	0.6%	51
	Other	-	-	-	15,301	0.8%	1.2%	43
	Not Available	879	2.0%	-	715,710	36.5%	-	49
	<b>Total</b>	<b>44,249</b>	<b>100.0%</b>	<b>100.0%</b>	<b>1,962,157</b>	<b>100.0%</b>	<b>100.0%</b>	<b>58</b>
Adults over age 18	Private Residence	53,112	52.0%	78.9%	3,009,173	55.5%	82.7%	58
	Foster Home	248	0.2%	0.4%	31,379	0.6%	0.9%	46
	Residential Care	4,411	4.3%	6.6%	159,181	2.9%	4.4%	52
	Crisis Residence	-	-	-	7,503	0.1%	0.2%	30
	Residential Treatment Center	-	-	-	2,736	0.1%	0.1%	23
	Institutional Setting	4,085	4.0%	6.1%	66,995	1.2%	1.8%	52
	Jail (Correctional Facility)	640	0.6%	1.0%	68,028	1.3%	1.9%	51
	Homeless (Shelter)	4,818	4.7%	7.2%	204,612	3.8%	5.6%	54
	Other	-	-	-	91,067	1.7%	2.5%	45
	Not Available	34,831	34.1%	-	1,779,530	32.8%	-	49
	<b>Total</b>	<b>102,145</b>	<b>100.0%</b>	<b>100.0%</b>	<b>5,420,204</b>	<b>100.0%</b>	<b>100.0%</b>	<b>58</b>

This table uses data from URS Table 15.

Note:

For revised data: Please check Errata sheet.

State Notes:

None

# APPROPRIATENESS DOMAIN: Persons Who were Homeless by Age, Gender, Race, and Ethnicity, FY 2021

STATE: Kentucky

Reporting Period: 7/1/2020 To: 6/30/2021

Demographic	Homeless or Living in Shelters				Percent of Total with Known Living Situation		States Reporting
	State		US		State	US	
	N	%	N	%	%	%	
Age 0 to 17	87	1.8%	7,173	3.4%	0.2%	0.6%	51
Age 18 to 64	4,735	96.5%	196,792	92.9%	7.6%	5.9%	54
Age 65+	83	1.7%	7,820	3.7%	1.7%	2.4%	51
Age Not Available	-	-	68	0.0%	-	1.5%	11
<b>Age Total</b>	<b>4,905</b>	<b>100.0%</b>	<b>211,853</b>	<b>100.0%</b>	<b>4.4%</b>	<b>4.3%</b>	<b>54</b>
Female	1,941	39.6%	83,437	39.4%	3.4%	3.2%	54
Male	2,948	60.1%	127,760	60.3%	5.6%	5.5%	54
Gender Not Available	16	0.3%	656	0.3%	2.8%	10.8%	22
<b>Gender Total</b>	<b>4,905</b>	<b>100.0%</b>	<b>211,853</b>	<b>100.0%</b>	<b>4.4%</b>	<b>4.3%</b>	<b>54</b>
American Indian or Alaska Native	15	0.3%	5,427	2.6%	7.1%	6.1%	47
Asian	9	0.2%	2,031	1.0%	3.1%	2.8%	48
Black or African American	1,001	20.4%	64,819	30.6%	9.7%	7.0%	52
Native Hawaiian or Other Pacific Islander	4	0.1%	780	0.4%	3.1%	5.7%	35
White	3,527	71.9%	104,948	49.5%	4.0%	3.6%	53
More Than One Race	73	1.5%	6,349	3.0%	2.3%	3.6%	47
Race Not Available	276	5.6%	27,499	13.0%	3.4%	3.8%	50
<b>Race Total</b>	<b>4,905</b>	<b>100.0%</b>	<b>211,853</b>	<b>100.0%</b>	<b>4.4%</b>	<b>4.3%</b>	<b>54</b>
Hispanic or Latino	95	1.9%	37,556	17.7%	3.3%	3.8%	51
Not Hispanic or Latino	4,781	97.5%	158,675	74.9%	4.5%	4.5%	53
Not Available	29	0.6%	15,622	7.4%	1.3%	4.0%	45
<b>Ethnicity Total</b>	<b>4,905</b>	<b>100.0%</b>	<b>211,853</b>	<b>100.0%</b>	<b>4.4%</b>	<b>4.3%</b>	<b>54</b>

**Note:**

US totals are based on states reporting.

This table uses data from URS Table 15.

US totals are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

None

## Appropriateness Domain: Evidence-Based Practices Reported by SMHAs, FY 2021

Kentucky

Reporting Period: 7/1/2020 To: 6/30/2021

Adult EBP Services	State		US		Penetration Rate: % of Consumers Receiving EBP/Estimated SMI		Measuring Fidelity		States Reporting
	EBP N	SMI N	EBP N	SMI N	State	US Average	State	US	
Supported Housing	590	42,120	93,371	3,865,963	1.4%	3.0%	Yes	10	32
Supported Employment	131	42,120	65,963	3,865,963	0.3%	1.9%	Yes	24	42
Assertive Community Treatment	471	42,120	71,933	3,865,963	1.1%	2.0%	Yes	27	43
Family Psychoeducation	-	-	36,136	3,865,963	-	2.6%	-	2	15
Dual Diagnosis Treatment	-	-	196,790	3,865,963	-	9.2%	-	7	24
Illness Self Management	-	-	302,204	3,865,963	-	18.7%	-	4	21
Medication Management	-	-	535,687	3,865,963	-	30.5%	-	2	21

  

Child/Adolescent EBP Services	State		US		Penetration Rate: % of Consumers Receiving EBP/Estimated SED		Measuring Fidelity		States Reporting
	EBP N	SED N	EBP N	SED N	State	US Average	State	US	
Therapeutic Foster Care	117	21,221	13,334	1,313,733	0.6%	1.7%	No	3	21
Multi-Systemic Therapy	-	-	28,447	1,313,733	-	3.7%	No	9	20
Family Functional Therapy	-	-	28,506	1,313,733	-	5.1%	No	7	14

**Note:**

US totals are based on states reporting.

This table uses data from URS Tables 16 and 17.

US averages are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Table 16: None

Table 17: Ky has not implemented these EBPs according to the definition in URS.

**Appropriateness Domain: Adults with SMI and Children with SED Receiving Evidence-Based Services for First Episode Psychosis (FEP), 2021**

**Kentucky**

**Reporting Period:** 7/1/2020 To: 6/30/2021

Number of coordinated specialty care programs: 8

**Admissions to Coordinated Specialty Care (CSC)-FEP Service During the Year**

	State		US		States Reporting
	N	%	N	%	
Adult CSC-FEP Admissions	54	65.9%	14,496	59.9%	45
Children/Adolescent CSC-FEP Admissions	28	34.1%	9,710	40.1%	42
Total CSC-FEP Admissions	82	100.0%	24,206	100.0%	46
Total Programs Reporting Number of Admissions	8	100.0%	267	89.9%	46
Average Admissions per Program	10.3	-	81.5	-	46

**Clients Currently Receiving CSC-FEP Services**

	State		US		States Reporting
	N	%	N	%	
Adults Receiving CSC-FEP Services	75	68.8%	12,050	84.1%	45
Children/Adolescents Receiving CSC-FEP Services	34	31.2%	2,278	15.9%	41
Total Receiving CSC-FEP Services	109	100.0%	14,328	100.0%	45
Total Programs Reporting Number of Clients Receiving Services	8	100.0%	256	86.2%	46
Average Number of Clients Services per Program	13.6	-	48.2	-	45

**CSC-FEP Fidelity and Training**

	State		US		States Reporting
	N	%	N	%	
Number of Programs Measuring Fidelity	8	100.0%	181	60.9%	45
Number of Programs with Staff Training Specifically in CSC EBP	8	100.0%	253	85.2%	40

**Clients Served by Programs With and Without CSC-FEP Fidelity**

	State			US			States Reporting
	Number of Programs	Clients Served	Average Number of Clients Served Per Program	Number of Programs	Clients Served	Average Number of Clients Served Per Program	
Programs with Fidelity	8	109	13.63	181	11,218	61.98	30
Programs without Fidelity	-	-	-	116	3,110	26.81	20

Note: This table use data from URS Table 16a.

**Note:**

For revised data: Please check Errata sheet.

**Outcomes Domain: Employment Status of Adult Mental Health Consumers Served in the Community by Age and Gender, FY 2021**

**Kentucky**

**Reporting Period: 7/1/2020 To: 6/30/2021**

Demographics	State				Employed as Percent of those in Labor Force		Employed as Percent of Known Employment Status		States Reporting
	Employed	Unemployed	In Labor Force*	With Known Employment Status**	State	US	State	US	
Age 18 to 20	1,619	1,688	3,307	7,071	49%	52%	22.9%	23.3%	58
Age 21 to 64	24,164	26,268	50,432	82,731	48%	48%	29.2%	26.0%	58
Age 65 and over	427	386	813	5,227	53%	41%	8.2%	11.7%	58
Age Not Available	-	-	-	-	-	28%	-	11.8%	9
Age TOTAL	26,210	28,342	54,552	95,029	48%	48%	27.6%	24.6%	58
Female	13,941	14,081	28,022	51,686	50%	51%	27.0%	25.7%	58
Male	11,971	14,147	26,118	42,781	46%	45%	28.0%	23.0%	58
Gender Not Available	298	114	412	562	72%	61%	53.0%	35.3%	33
Gender TOTAL	26,210	28,342	54,552	95,029	48%	48%	27.6%	24.6%	58

**What populations are reported?** All Clients Number of States Reporting All Clients: 35 Number of States Reporting Some Clients: 15

When is Employment Status Measured?	At Admission	At Discharge	Monthly	Quarterly	Other
KY	Yes	-	-	-	-
US	37	23	4	9	20

**Note:**

\*In Labor Force is the sum of consumers employed and unemployed.

\*\*With Known Employment Status is the sum of consumer employed, unemployed and not in labor force.

Consumers employed as a % of those in labor force uses adults employed and unemployed as the denominator.

Consumers employed as % of known employment status uses the sum of persons employed, unemployed and not in labor force as the denominator.

This table uses data from URS Table 4.

**State Notes:**

Age	None
Gender	None
Overall	URS 2021 Not Available increased; will continue to monitor.

**Outcomes Domain: Employment Status of Adult Mental Health Consumers Served in the Community by Diagnosis, FY 2021**

**Kentucky**

**Reporting Period: 7/1/2020 To: 6/30/2021**

Diagnosis	State				Employed as a % of Labor Force		Employed as % of Known Employment Status		% of Consumers with Dx		States Reporting
	Employed	Unemployed	In Labor Force*	With Known Employment Status**	State	US	State	US	State	US	
Schizophrenia and Related Disorders	419	827	1,246	4,653	33.6%	30.5%	9.0%	10.2%	4.9%	13.7%	54
Bipolar and Mood Disorders	8,473	8,011	16,484	31,532	51.4%	48.8%	26.9%	25.4%	33.2%	39.2%	54
Other Psychoses	231	508	739	1,519	31.3%	30.5%	15.2%	13.7%	1.6%	2.1%	53
All other Diagnoses	17,087	18,996	36,083	57,325	47.4%	53.3%	29.8%	29.2%	60.3%	37.5%	54
No Diagnosis and Deferred Diagnosis	-	-	-	-	-	50.2%	-	28.1%	-	7.5%	44
<b>TOTAL</b>	<b>26,210</b>	<b>28,342</b>	<b>54,552</b>	<b>95,029</b>	<b>48.0%</b>	<b>48.7%</b>	<b>27.6%</b>	<b>24.7%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>54</b>

**Note:**

\*In Labor Force is the sum of consumers employed and unemployed.

\*\*With Known Employment Status is the sum of consumer employed, unemployed and not in labor force.

Consumers employed as a % of those in labor force uses adults employed and unemployed as the denominator.

Consumers employed as % of known employment status uses the sum of persons employed, unemployed and not in labor force as the denominator.

This table uses data for URS Table 4a.

**State Notes:**

None

# CONSUMER SURVEY RESULTS, FY 2021

Kentucky

Reporting Period: 7/1/2020 To: 6/30/2021

Indicators	Children: State	Children: U.S. Average	States Reporting	Adults: State	Adults: U.S. Average	States Reporting
Reporting Positively About Access	93.5%	87.9%	46	93.5%	87.8%	51
Reporting Positively About Quality and Appropriateness				94.7%	89.8%	51
Reporting Positively About Outcomes	72.0%	72.2%	47	78.7%	77.1%	51
Reporting on Participation in Treatment Planning	94.5%	89.1%	47	88.2%	86.3%	51
Family Members Reporting High Cultural Sensitivity of Staff	97.5%	93.8%	45			
Reporting positively about General Satisfaction with Services	93.0%	86.8%	46	94.1%	89.0%	51

**Note: U.S. Average Children & Adult rates are calculated only for states that used a version of the MHSIP Consumer Survey**

This table uses data from URS Table 11.

Children/Family	State	U.S.
Type of Survey Used	YSS-F	YSS-F=38

Type of Adult Consumer Survey Used	28-Item MHSIP	Other MHSIP	Other Survey
State	Yes	-	-
U.S.	34	18	3

Sample Size & Response Rate	Children: State	Children: U.S.	States Reporting	Adults: State	Adults: U.S. Average	States Reporting
Response Rate	-	26.1%	36	-	34.9%	37
Number of Surveys Attempted (send out)	-	295,072	36	-	388,384	37
Number of Surveys Contacts Made	-	167,409	34	-	251,234	35
Complete Surveys	1,766	43,047	40	3,679	91,783	43

Populations covered in survey	Children: State	Children: U.S.	Adults: State	Adults: U.S.
All Consumers	Yes	11	Yes	9
Sample	-	35	-	40

Sample Approach	Children: State	Children: U.S.	Adults: State	Adults: U.S.
Random Sample	-	7	-	7
Stratified Sample	-	14	-	19
Convenience Sample	Yes	15	Yes	16
Other Sample	-	4	-	4

Who is Sampled?	Children: State	Children: U.S.	Adults: State	Adults: U.S.
Current Clients	Yes	40	Yes	49
Former Clients	-	18	-	22

Populations included in sample: (e.g., all adults, only adults with SMI, etc.)	Children: State	Children: U.S.	Adults: State	Adults: U.S.
All Children or Adults Served	Yes	23	Yes	30
SMI Adults or SED Children	-	21	-	20
Persons Covered by Medicaid	-	12	-	11
Other	-	5	-	8

#### State Notes:

More surveys were completed in SFY21 over SFY20 when the collection was impacted by the onset of COVID19 pandemic and CMHCs experienced fewer face-to-face and more telehealth client interactions. Web-based surveys are not popular among clients.

## OUTCOMES DOMAIN: Consumer Survey Results, by Race/Ethnicity FY 2021

STATE: Kentucky

Reporting Period: 7/1/2020 To: 6/30/2021

Race/Ethnicity	Family of Children Survey Indicators: Reporting Positively About...														States	
	Access		General Satisfaction with Services		Outcomes		Participation In Tx Planning		Cultural Sensitivity of Staff		Social Connectedness		Improved Functioning			
	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average		
American Indian or Alaska Native	90%	82%	100%	80%	50%	62%	90%	81%	100%	89%	80%	83%	50%	67%	30	
Asian	100%	85%	80%	88%	80%	70%	60%	88%	100%	93%	100%	86%	80%	74%	29	
Black or African American	92%	89%	95%	88%	68%	71%	95%	91%	96%	93%	86%	87%	66%	70%	34	
Native Hawaiian or Other Pacific Islander	100%	92%	100%	94%	100%	80%	100%	95%	100%	95%	100%	88%	100%	83%	20	
White	94%	87%	93%	85%	72%	67%	94%	89%	98%	94%	92%	87%	71%	68%	35	
Hispanic or Latino	93%	88%	83%	87%	56%	67%	83%	89%	100%	95%	79%	87%	56%	75%	29	
More Than One Race	-	93%	-	92%	-	77%	-	92%	-	97%	-	89%	-	78%	26	
Not Available	92%	88%	94%	86%	72%	68%	97%	88%	97%	93%	85%	87%	69%	73%	34	

Race/Ethnicity	Adult Consumer Survey Indicators: Reporting Positively About...														States	
	Access		Quality & Appropriateness		Outcomes		Participation In Tx Planning		General Satisfaction		Social Connectedness		Improved Functioning			
	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average		
American Indian or Alaska Native	91%	85%	94%	88%	72%	63%	84%	84%	89%	88%	72%	73%	76%	73%	33	
Asian	81%	90%	94%	90%	88%	76%	79%	89%	88%	90%	80%	79%	75%	77%	36	
Black or African American	95%	88%	93%	92%	77%	75%	88%	88%	95%	90%	79%	76%	74%	77%	39	
Native Hawaiian or Other Pacific Islander	100%	88%	100%	90%	100%	86%	83%	84%	83%	87%	83%	85%	100%	83%	29	
White	94%	86%	95%	89%	79%	67%	88%	85%	94%	88%	82%	73%	80%	73%	40	
Hispanic or Latino	93%	87%	91%	90%	79%	65%	87%	86%	93%	90%	75%	76%	77%	75%	33	
More Than One Race	-	89%	-	91%	-	75%	-	89%	-	90%	-	77%	-	76%	30	
Not Available	91%	85%	92%	87%	77%	66%	88%	85%	93%	87%	77%	74%	78%	73%	39	

**Notes:**

This table uses data from URS Table 11a.

**State Notes:**

More surveys were completed in SFY21 over SFY20 when the collection was impacted by the onset of COVID19 pandemic and CMHCs experienced fewer face-to-face and more telehealth client interactions. Web-based surveys are not popular among clients.

## Outcomes Domain: Change in Social Connectedness and Functioning, FY 2021

Kentucky

Reporting Period: 7/1/2020 To: 6/30/2021

Indicators	Children				Adults			
	State	US Average	US Median	States Reporting	State	US Average	US Median	States Reporting
Percent Reporting Improved Social Connectedness from Services	90.7%	87.5%	85.0%	43	81.0%	77.6%	73.7%	49
Percent Reporting Improved Functioning from Services	70.3%	72.1%	67.6%	43	79.6%	77.2%	72.6%	49

**Note:**

This table uses data from URS Table 9.

US State Averages and Medians are calculated only with states which used the recommended Social Connectedness and Functioning questions.

Adult Social Connectedness and Functioning Measures	State	US
Did you use the recommended new Social Connectedness Questions?	Yes	44
Did you use the recommended new Functioning Domain Questions?	Yes	43
Did you collect these as part of your MHSIP Adult Consumer Survey?	Yes	45

Children/Family Social Connectedness and Functioning Measures	State	US
Did you use the recommended new Social Connectedness Questions?	Yes	39
Did you use the recommended new Functioning Domain Questions?	Yes	40
Did you collect these as part of your YSS-F Survey?	Yes	40

**State Notes:**

None

**OUTCOMES DOMAIN: Civil (Non Forensic) & Forensic Patients Readmission within 30 Days by Age, Gender, and Race, FY 2021**
**STATE: Kentucky**
**Reporting Period: 7/1/2020 To: 6/30/2021**

Demographic	Readmissions within 30 days to state psychiatric hospitals: "Civil" (Non-Forensic) Patients								Readmissions within 30 days to state psychiatric hospitals: Forensic Patients							
	State			US			States Reporting	State			US			States Reporting		
	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%		Readmissions N	Discharges N	%	Readmissions N	Discharges N	%			
Age 0 to 12	0	0	-	77	1,168	6.6%	8	0	0	-	4	19	21.1%	3		
Age 13 to 17	0	4	0.0%	328	4,533	7.2%	17	0	0	-	13	172	7.6%	4		
Age 18 to 20	32	313	10.2%	310	3,833	8.1%	23	0	20	0.0%	21	547	3.8%	7		
Age 21 to 64	245	4,293	5.7%	4,593	60,378	7.6%	43	0	435	0.0%	593	19,446	3.0%	35		
Age 65 to 74	4	180	2.2%	158	2,234	7.1%	27	0	13	0.0%	22	800	2.8%	12		
Age 75 and over	2	55	3.6%	37	719	5.1%	14	0	3	0.0%	14	210	6.7%	6		
Age Not Available	0	3	0.0%	1	13	7.7%	1	0	4	0.0%	-	4	-	0		
<b>Age Total</b>	<b>283</b>	<b>4,848</b>	<b>5.8%</b>	<b>5,504</b>	<b>72,878</b>	<b>7.6%</b>	<b>43</b>	<b>0</b>	<b>475</b>	<b>0.0%</b>	<b>667</b>	<b>21,198</b>	<b>3.1%</b>	<b>36</b>		
Female	124	1,993	6.2%	2,146	28,897	7.4%	37	0	105	0.0%	141	4,392	3.2%	25		
Male	159	2,852	5.6%	3,325	43,747	7.6%	41	0	366	0.0%	525	16,762	3.1%	33		
Gender Not Available	0	3	0.0%	33	234	14.1%	4	0	4	0.0%	1	44	2.3%	1		
<b>Gender Total</b>	<b>283</b>	<b>4,848</b>	<b>5.8%</b>	<b>5,504</b>	<b>72,878</b>	<b>7.6%</b>	<b>43</b>	<b>0</b>	<b>475</b>	<b>0.0%</b>	<b>667</b>	<b>21,198</b>	<b>3.1%</b>	<b>36</b>		
American Indian or Alaska Native	1	12	8.3%	139	1,507	9.2%	16	0	0	-	9	434	2.1%	5		
Asian	1	27	3.7%	79	969	8.2%	22	0	3	0.0%	9	427	2.1%	4		
Black or African American	41	794	5.2%	1,306	17,458	7.5%	31	0	105	0.0%	289	7,496	3.9%	22		
Native Hawaiian or Other Pacific Islander	1	75	1.3%	42	429	9.8%	6	0	0	-	2	86	2.3%	2		
White	227	3,791	6.0%	3,536	46,469	7.6%	40	0	361	0.0%	297	10,071	2.9%	32		
More Than One Race	3	31	9.7%	179	2,261	7.9%	13	0	0	-	2	465	0.4%	2		
Race Not Available	9	118	7.6%	223	3,785	5.9%	21	0	6	0.0%	59	2,219	2.7%	13		
<b>Race Total</b>	<b>283</b>	<b>4,848</b>	<b>5.8%</b>	<b>5,504</b>	<b>72,878</b>	<b>7.6%</b>	<b>43</b>	<b>0</b>	<b>475</b>	<b>0.0%</b>	<b>667</b>	<b>21,198</b>	<b>3.1%</b>	<b>36</b>		
Hispanic or Latino	1	75	1.3%	673	8,474	7.9%	23	0	1	0.0%	68	3,269	2.1%	18		
Not Hispanic or Latino	273	4,655	5.9%	4,645	60,647	7.7%	40	0	469	0.0%	582	16,844	3.5%	36		
Not Available	9	118	7.6%	186	3,757	5.0%	17	0	5	0.0%	17	1,085	1.6%	9		
<b>Ethnicity Total</b>	<b>283</b>	<b>4,848</b>	<b>5.8%</b>	<b>5,504</b>	<b>72,878</b>	<b>7.6%</b>	<b>43</b>	<b>0</b>	<b>475</b>	<b>0.0%</b>	<b>667</b>	<b>21,198</b>	<b>3.1%</b>	<b>36</b>		

Forensics included in "non forensic" data? No

**Note:**

US totals are based on states reporting.

This table uses data from URS Tables 20a and 20b.

**State Notes:**

CSH, ESH, WSH only. See General Notes

**OUTCOMES DOMAIN: Civil (Non Forensic) & Forensic Patients Readmission within 180 Days by Age, Gender, and Race, FY 2021**

**STATE: Kentucky**

**Reporting Period: 7/1/2020 To: 6/30/2021**

Demographic	Readmissions within 180 days to state psychiatric hospitals: "Civil" (Non-Forensic) Patients								Readmissions within 180 days to state psychiatric hospitals: Forensic Patients							
	State			US				States Reporting	State			US				
	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	States Reporting			
Age 0 to 12	0	0	-	200	1,168	17.1%	12	0	0	-	5	19	26.3%	4		
Age 13 to 17	0	4	0.0%	792	4,533	17.5%	19	0	0	-	27	172	15.7%	6		
Age 18 to 20	63	313	20.1%	659	3,833	17.2%	31	0	20	-	47	547	8.6%	13		
Age 21 to 64	811	4,293	18.9%	10,972	60,378	18.2%	46	7	435	1.6%	1,575	19,446	8.1%	41		
Age 65 to 74	19	180	10.6%	304	2,234	13.6%	33	0	13	-	63	800	7.9%	22		
Age 75 and over	5	55	9.1%	68	719	9.5%	19	0	3	-	16	210	7.6%	8		
Age Not Available	0	3	0.0%	1	13	7.7%	1	0	4	-	-	4	-	-	-	
<b>Age Total</b>	<b>898</b>	<b>4,848</b>	<b>18.5%</b>	<b>12,996</b>	<b>72,878</b>	<b>17.8%</b>	<b>47</b>	<b>7</b>	<b>475</b>	<b>1.5%</b>	<b>1,733</b>	<b>21,198</b>	<b>8.2%</b>	<b>41</b>		
Female	355	1,993	17.8%	5,013	28,897	17.3%	45	1	105	1.0%	356	4,392	8.1%	33		
Male	543	2,852	19.0%	7,923	43,747	18.1%	44	6	366	1.6%	1,374	16,762	8.2%	41		
Gender Not Available	0	3	0.0%	60	234	25.6%	5	0	4	-	3	44	6.8%	1		
<b>Gender Total</b>	<b>898</b>	<b>4,848</b>	<b>18.5%</b>	<b>12,996</b>	<b>72,878</b>	<b>17.8%</b>	<b>47</b>	<b>7</b>	<b>475</b>	<b>1.5%</b>	<b>1,733</b>	<b>21,198</b>	<b>8.2%</b>	<b>41</b>		
American Indian or Alaska Native	3	12	25.0%	338	1,507	22.4%	21	0	0	-	34	434	7.8%	13		
Asian	6	27	22.2%	190	969	19.6%	27	0	3	-	30	427	7.0%	11		
Black or African American	171	794	21.5%	3,099	17,458	17.8%	40	3	105	2.9%	688	7,496	9.2%	33		
Native Hawaiian or Other Pacific Islander	11	75	14.7%	201	429	46.9%	8	0	0	-	10	86	11.6%	6		
White	679	3,791	17.9%	8,307	46,469	17.9%	44	4	361	1.1%	801	10,071	8.0%	39		
More Than One Race	4	31	12.9%	385	2,261	17.0%	17	0	0	-	29	465	6.2%	10		
Race Not Available	24	118	20.3%	476	3,785	12.6%	26	0	6	-	141	2,219	6.4%	20		
<b>Race Total</b>	<b>898</b>	<b>4,848</b>	<b>18.5%</b>	<b>12,996</b>	<b>72,878</b>	<b>17.8%</b>	<b>47</b>	<b>7</b>	<b>475</b>	<b>1.5%</b>	<b>1,733</b>	<b>21,198</b>	<b>8.2%</b>	<b>41</b>		
Hispanic or Latino	11	75	14.7%	1,433	8,474	16.9%	27	0	1	-	174	3,269	5.3%	22		
Not Hispanic or Latino	866	4,655	18.6%	11,047	60,647	18.2%	44	7	469	1.5%	1,495	16,844	8.9%	41		
Not Available	21	118	17.8%	516	3,757	13.7%	20	0	5	-	64	1,085	5.9%	14		
<b>Ethnicity Total</b>	<b>898</b>	<b>4,848</b>	<b>18.5%</b>	<b>12,996</b>	<b>72,878</b>	<b>17.8%</b>	<b>47</b>	<b>7</b>	<b>475</b>	<b>1.5%</b>	<b>1,733</b>	<b>21,198</b>	<b>8.2%</b>	<b>41</b>		

Forensics included in "non forensic" data? No

**Note:**

US totals are based on states reporting.

This table uses data from URS Tables 20a and 20b.

**State Notes:**

CSH, ESH, WSH only. See General Notes

## Structure Domain: SMHA Expenditure for Early Serious Mental Illness and First Episode Psychosis, 2021

Kentucky

Reporting Period 7/1/2020 To: 6/30/2021

	State								US							
	MHBG		Other Funds		State Funds		Total		MHBG		Other Funds		State Funds		Total	
Activity	\$	%	\$	%	\$	%	\$	%	\$	%	\$	%	\$	%	\$	%
CSC-Evidence-Based Practices for First Episode Psychosis	\$1,028,543	100.0%	\$0	0.0%	\$0	0.0%	\$1,028,543	100%	\$58,709,451	75.9%	\$6,078,443	2.0%	\$12,539,234	16.2%	\$77,327,128	100%
Training for CSC Practices	\$51,583	100.0%	\$0	0.0%	\$0	0.0%	\$51,583	100%	\$3,418,787	92.0%	\$5,148	0.0%	\$291,771	7.9%	\$3,715,706	100%
Planning for CSC Practices	\$0	-	\$0	-	\$0	-	\$0	-	\$949,679	86.2%	\$7,203	0.2%	\$145,000	13.2%	\$1,101,882	100%
Other Early Serious Mental Illnesses program (other than FEP or partial CSC programs)	\$0	-	\$0	-	\$0	-	\$0	-	\$4,815,846	79.4%	\$1,251,398	5.2%	\$1,540	0.0%	\$6,068,784	100%
Training for ESMI	\$0	-	\$0	-	\$0	-	\$0	-	\$563,780	99.0%	\$5,738	0.3%	\$0	0.0%	\$569,518	100%
Planning for ESMI	\$0	-	\$0	-	\$0	-	\$0	-	\$642,486	99.9%	\$451	0.0%	\$0	0.0%	\$642,937	100%

Note: This table use data from URS Table 7a.

\* Other Funds include Medicaid, Other Federal funds, local funds, and other funds from table 7a.

### State Notes:

None

## STRUCTURE DOMAIN: State Mental Health Agency Controlled Expenditures for Mental Health, FY 2021

Kentucky

Reporting Period: 7/1/2020 To: 6/30/2021

	Expenditures: State	Percent of Total Expenditures: State	Expenditures: U.S.	Percent of Total Expenditures: U.S.	States Reporting
State Hospitals-Inpatient	\$125,085,496	60%	\$9,616,608,413	24%	52
Other 24-Hour Care	\$21,170,072	10%	\$5,909,489,659	15%	48
Ambulatory/Community	\$51,668,501	25%	\$21,920,589,557	55%	56
EBPs for Early Serious Mental Illness	\$1,080,126	1%	\$91,090,768	0%	56
Primary Prevention	-	0%	\$50,631,390	0%	16
Other Psychiatric Inpatient Care	-	0%	\$1,035,076,435	3%	16
Administration	\$7,963,256	4%	\$902,423,047	2%	53
<b>Total</b>	<b>\$206,967,451</b>	<b>100%</b>	<b>\$39,525,909,269</b>	<b>100%</b>	<b>56</b>

**Note:**

This table uses data from URS Table 7

**State Notes:**

In 2020, 1.8M State Funds for OthrPsychInpt was pd to match DMS funds; this paymnt placed SMHA a year ahead in paymnts so no paymnt made in 2021; #persons svd were reptd in OthrPsychInpt on Tbl 3. See Tbl7A for note on "EBP for ESMI" category.

**STRUCTURE DOMAIN: State Mental Health Agency Controlled Expenditures by Funding Sources, 2021**
**Kentucky**
**Reporting Period: 7/1/2020 To: 6/30/2021**

Funding Source	Ambulatory/Community			State Hospital		
	State	Percent Total (State)	Percent Total (US)	State	Percent Total (State)	Percent Total (US)
Mental Health Block Grant	\$9,163,763	12.4%	2.0%	-	-	-
Medicaid (Federal, State, and Local)	\$17,384,551	23.5%	66.4%	\$52,044,668	41.6%	8.2%
Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare), SAMHSA, etc.)	\$6,896,381	9.3%	1.6%	\$7,516,229	6.0%	3.7%
State Funds	\$37,707,609	51.0%	24.9%	\$62,568,996	50.0%	83.8%
Local Funds (excluding local Medicaid)	\$2,005,589	2.7%	4.5%	\$2,863,035	2.3%	0.8%
Other	\$760,806	1.0%	0.5%	\$92,568	0.1%	3.5%
<b>Total</b>	<b>\$73,918,699</b>	<b>100%</b>	<b>100%</b>	<b>\$125,085,496</b>	<b>100%</b>	<b>100%</b>

**Note:**

This table uses data from URS Table 7

Ambulatory/Community includes Primary Prevention, Evidence-Based Practices for Early Serious Mental Illness, and Other 24-Hour Care expenditures

This table does not show expenditures for state central office including Research, Training, and Administration expenses.

**State Notes:**

In 2020, 1.8M State Funds for OthrPsychInpt was pd to match DMS funds; this paymnt placed SMHA a year ahead in paymnts so no paymnt made in 2021; #persons svd were reprt'd in OthrPsychInpt on Tbl 3. See Tbl7A for note on "EBP for ESMI" category.

**Structure Domain: Federal Mental Health Block Grant Expenditures for Non-Direct Service Activities, FY 2021****Kentucky****Reporting Period:** 7/1/2020 To: 6/30/2021

Service	Non-Direct Block Grant Expenditures	% Total	US % Total
Information Systems	\$146,589	37.0%	4.4%
Infrastructure Support	\$7,243	1.8%	25.0%
Partnerships, community outreach, and needs assessment	\$238,298	60.2%	23.4%
MHA Planning Council Activities	\$2,600	0.7%	2.4%
Quality assurance and improvement	-	0.0%	10.8%
Research and Evaluation	-	0.0%	10.9%
Training and Education	\$965	0.2%	23.2%
Total	\$395,695	100.0%	100.0%

Note: This table use data from URS Table 8.

**State Notes:**

See general notes

**Structure Domain: Mental Health Programs Funded By the Federal Mental Health Block Grant, FY 2021**

**Kentucky**

**Reporting Period: 7/1/2020 To: 6/30/2021**

Adult Programs: 21	Child Programs: 15	Set-Aside FEP Programs: 14	Set-Aside ESMI Programs: 0	Total Programs: 22
Adult Total: \$5,028,206	Child Total: \$2,033,684	Set-Aside FEP Total: \$1,308,000	Set-Aside ESMI Total: \$0	Total: \$8,369,890

Agency Name	Address	Area Served	Total Block Grant Funds	Block Grant for Adults with SMI	Block Grant for Children with SED	Set-Aside for FEP Programs	Set-Aside for ESMI Programs
Four Rivers	425 Broadway	Sub-State Planning Area	\$498,956	\$257,012	\$91,944	\$150,000	\$0
Pathways	1212 Bath Ave. 8th Floor PO Box 790	Sub-State Planning Area	\$518,424	\$268,084	\$100,340	\$150,000	\$0
Mountain	104 South Front Street	Sub-State Planning Area	\$479,907	\$246,763	\$83,144	\$150,000	\$0
Kentucky River	115 Rockwood Lane	Sub-State Planning Area	\$221,138	\$133,107	\$85,031	\$3,000	\$0
Cumberland River	1203 American Greeting Card Rd. PO Box 568	Sub-State Planning Area	\$589,703	\$319,767	\$119,936	\$150,000	\$0
Adanta	130 Southern School Road	Sub-State Planning Area	\$272,320	\$186,825	\$82,495	\$3,000	\$0
New Vista	1351 Newtown Pike	Sub-State Planning Area	\$647,799	\$309,542	\$188,257	\$150,000	\$0
Independence Place	2358 Nicholasville Rd. Suite 180	Statewide	\$25,000	\$25,000	\$0	\$0	\$0
Kentucky Housing Corporation	1231 Louisville Road	Statewide	\$13,334	\$13,334	\$0	\$0	\$0
Kentucky Partnership for Families & Children (KPFC)	600 Teton Trail	Statewide	\$393,000	\$0	\$393,000	\$0	\$0
NAMI Kentucky	201 Mechanic St. Suite 219	Statewide	\$118,800	\$118,800	\$0	\$0	\$0
Pennyroyal	3999 Fort Campbell Blvd	Sub-State Planning Area	\$347,999	\$263,260	\$81,739	\$3,000	\$0
NAMI Lexington (KY) Inc.	498 Georgetown St Ste 100	Statewide	\$175,190	\$175,190	\$0	\$0	\$0
NAMI Louisville	708 West Magazine St.	Statewide	\$55,000	\$55,000	\$0	\$0	\$0
New Beginnings	225 Walton Ave. Suite 120	Statewide	\$100,000	\$100,000	\$0	\$0	\$0
Wellspring Inc.	PO Box 1927	Statewide	\$50,000	\$50,000	\$0	\$0	\$0
River Valley	1100 Walnut Street PO Box 1637	Sub-State Planning Area	\$361,560	\$270,979	\$87,581	\$3,000	\$0
Lifeskills	PO Box 6499	Sub-State Planning Area	\$814,570	\$380,745	\$193,825	\$240,000	\$0
Communicare	107 Cranes Roost Court	Sub-State Planning Area	\$605,215	\$352,637	\$102,578	\$150,000	\$0
Seven Counties	10401 Linn Station Road, Ste 100	Sub-State Planning Area	\$1,380,647	\$1,005,207	\$225,440	\$150,000	\$0
North Key	502 Farrell Drive PO Box 2680	Sub-State Planning Area	\$532,374	\$407,811	\$121,563	\$3,000	\$0
Comprehend	611 Forest Avenue	Sub-State Planning Area	\$168,954	\$89,143	\$76,811	\$3,000	\$0

## Kentucky

URS Table Number	General Notes
3	The number of Other Psychiatric Inpatient includes persons served at ARH; this facility experienced an increase in persons served during SFY21 which shows up as an increase on table 3 in this row..
5A	Regarding the decrease in the number of CMHC clients served with both Medicaid and non-Medicaid funding: The numbers may be impacted by the decrease in clients served using non-Medicaid payer sources. Persons may be reaching out to new/different providers.
6, 7, 20A, 21	Reminder about the average LOS of clients served in the SPH: Prior to URS 2020, URS numbers reported in the category "State Hospital" included four hospitals. During 2020, one of these four hospitals was removed from the "State Hospital" category and instead was reported as "Other Psychiatric Inpatient". This shift occurred because the category "Other Psychiatric Inpatient" was added to Table 7 for the first time in 2020. We were advised to include this one hospital in the category "Other Psychiatric Inpatient" thus removing it from inclusion in the category "State Hospitals" across all 2020 URS tables. The shift of the one hospital accounts for the changes in average LOS. This shift in reporting for one specific hospital also impacted tables 7, 20a and 21.
8	"Information Systems" is more than last year; expenditures related to Quality of Life Surveys for adults with SMI was added to this line for SFY21. "Infrastructure Support" is less than last year due to the pandemic disrupting local community processes. "Partnerships" is more than last year; expenditures for SFY21 included increased partnership amount for SMI/SED IPS/Supported Employment.
14A	SMI Definition: As defined in KRS 210.005, "chronic" (mental illness) means that clinically significant symptoms of mental illness have persisted in the individual for a continuous period of at least two (2) years, or that the individual is presently and significantly impaired in his ability to function socially or occupationally or both; and 2. Have a diagnosis of a major mental disorder (other than substance abuse or mental mental retardation as the sole diagnosis) as included in the DSMIIIR classification under Schizophrenic Disorder, Psychotic Disorders, Mood Disorder, Organic Mental Disorders or Delusional (paranoid) Disorders. Personality disorders shall be considered only when information and history depict that the individual exhibits persistent disability and significant impairment in major areas of community living.
16A	SED Definition: A child who is under age 18 or under age 21 and was receiving mental health services prior to age 18 and the services must be continued for therapeutic benefit; and 2. Has a clinically significant disorder of thought, mood, perception, orientation, memory, or behavior that is listed in the current edition of the American Psychiatric Association's Diagnostic and Statistical Manual of Mental Disorders; and presents substantial limitations which have persisted for at least one year, or are judged by a mental health professional to be at high risk of continuing for one year without professional intervention in at least two of these five areas: Self Care, Interpersonal Relationships, Family Life, Self-Direction, Education or is a Kentucky resident and is receiving residential treatment for an emotional disability through the interstate compact; or has been removed from the home by the Department for Community Based Services (Kentucky's child welfare agency) and has been unable to maintain in a stable setting due to a behavioral or emotional disability.
16A	All iHOPE Programs serve ESMI/clinical high risk and FEP.
16A	Although not significant, the number of persons served in SFY21 is fewer than in SFY20. We believe this is due to the loss of staffing at the CMHCs since the COVID-19 pandemic continued into the SFY21. The SMHA staff are working with the programs to increase their abilities to staff and support them.
20A & 21	Hispanic is reported as race by Facilities. "Asian" and "Pacific Islander" are reported as same category by Facilities; "Hawaiian" is not collected by facilities. Change in 180-readmission rate for "American Indian" & "Asian" due to low n.
20A	Readmissions are lower than reported in SFY20; may be due to brief periods of no admissions due to COVID-19 pandemic.
21	Higher readmission rates may be due to responses to an increased need by clients during the extended COVID-19 pandemic. Also, one "other psychiatric inpatient" facility is included in Table 21 (and is excluded from Table 20A); this is the hospital that has the highest readmission rate of all DBHID hospitals. So, adding its rate would increase the averages for the state overall.

## **Errata Sheet to 2021 URS Output Tables**

This page includes clarifications and corrections to 2021 URS Output Tables.

### **APPROPRIATENESS DOMAIN: Living Situation of Consumers Service by State Mental Health Agency Systems, FY2021**

The table counts and percentages have been updated due to a processing error in the “Not Available” category.

### **APPROPRIATENESS DOMAIN: Adults with SMI and Children with SED Receiving Evidence-Based Services for First Episode Psychosis (FEP), 2021**

The table counts and percentages have been updated in “CSC-FEP Fidelity and Training State” and “Clients Served by Programs With and Without CSC-FEP Fidelity” due to a processing error in counting several fidelity programs.