

Kentucky 2020 Mental Health National Outcome Measures (NOMS): SAMHSA Uniform Reporting System

Utilization Rates/Number of Consumers Served	U.S.	State	U.S. Rate	States
Penetration Rate per 1,000 population	8,013,396	34.93	24.58	58
Community Utilization per 1,000 population	7,801,366	34.54	23.93	58
State Hospital Utilization per 1,000 population	125,305	1.09	0.38	53
Other Psychiatric Inpatient Utilization per 1,000 population	408,959	0.18	1.47	40

Adult Employment Status	U.S.	State	U.S. Rate	States
Employed (Percent in Labor Force)*	776,983	44.5%	47.1%	57
Employed (percent with Employment Data)**	776,983	24.8%	23.6%	57

Adult Consumer Survey Measures	State	U.S. Rate	States
Positive About Outcome	78.4%	79.6%	49

Child/Family Consumer Survey Measures	State	U.S. Rate	States
Positive About Outcome	77.1%	74.6%	45

Readmission Rates:(Civil "non-Forensic" clients)	U.S.	State	U.S. Rate	States
State Hospital Readmissions: 30 Days	7,008	6.2%	9.2%	48
State Hospital Readmissions: 180 Days	15,085	19.2%	19.9%	49
State Hospital Readmissions: 30 Days: Adults	6,499	6.2%	9.4%	48
State Hospital Readmissions: 180 Days: Adults	13,916	19.3%	20.2%	49
State Hospital Readmissions: 30 Days: Children	509	0.0%	7.3%	16
State Hospital Readmissions: 180 Days: Children	1,169	0.0%	16.7%	19

Living Situation	U.S.	State	U.S. Rate	States
Private Residence	4,199,185	85.4%	84.7%	57
Homeless/Shelter	216,731	5.0%	4.4%	52
Jail/Correctional Facility	72,275	0.9%	1.5%	51

Adult EBP Services	U.S.	State	U.S. Rate	States
Supported Housing	70,648	1.4%	2.3%	30
Supported Employment	66,662	0.4%	1.9%	41
Assertive Community Treatment	66,159	1.5%	1.8%	42
Family Psychoeducation	37,853	-	3.1%	16
Dual Diagnosis Treatment	199,597	-	9.5%	24
Illness Self Management	308,378	-	19.1%	22
Medications Management	545,051	-	31.3%	21

Child/Adolescent EBP Services	U.S.	State	U.S. Rate	States
Therapeutic Foster Care	13,178	0.7%	1.7%	21
Multisystemic Therapy	31,303	-	4.1%	20
Functional Family Therapy	31,817	-	5.8%	15

Change in Social Connectedness	State	U.S. Rate	States
Adult Improved Social Connectedness	79.8%	79.2%	46
Child/Family Improved Social Connectedness	93.1%	88.4%	42

*Denominator is the sum of consumers employed and unemployed.

**Denominator is the sum of consumers employed, unemployed, and not in labor force.

SAMHSA Uniform Reporting System - 2020 State Mental Health Measures

Kentucky

Utilization	State Number	State Rate	U.S.	U.S. Rate	States
Penetration Rate per 1,000 population	156,052	34.93	8,013,396	24.58	58
Community Utilization per 1,000 population	154,312	34.54	7,801,366	23.93	58
State Hospital Utilization per 1,000 population	4,851	1.09	125,305	0.38	53
Medicaid Funding Status	102,556	66%	5,588,185	72%	57
Employment Status (percent employed)	24,325	25%	776,983	24%	57
State Hospital Adult Admissions	6,213	1.28	94,482	0.80	51
Community Adult Admissions	68,575	0.66	12,057,441	2.43	51
Percent Adults with SMI and Children with SED	67,101	43%	5,737,430	72%	58

Utilization	State Rate	U.S. Rate	States
State Hospital LOS Discharged Adult patients (Median)	9 Days	87 Days	49
State Hospital LOS for Adult Resident patients in facility <1 year (Median)	15 Days	107 Days	49
Percent of Client who meet Federal SMI definition	-	72%	55
Adults with Co-occurring MH/SA Disorders	17%	28%	56
Children with Co-occurring MH/SA Disorders	1%	8%	54

Adult Consumer Survey Measures	State Rate	U.S. Rate	States
Access to Services	92.6%	88.9%	49
Quality/Appropriateness of Services	94.1%	90.8%	48
Outcome from Services	78.4%	79.6%	49
Participation in Treatment Planning	86.6%	86.9%	47
General Satisfaction with Care	93.4%	90.1%	48

Child/Family Consumer Survey Measures	State Rate	U.S. Rate	States
Access to Services	93.9%	89.2%	46
General Satisfaction with Care	94.9%	89.2%	47
Outcome from Services	77.1%	74.6%	45
Participation in Treatment Planning	94.8%	89.4%	46
Cultural Sensitivity of Providers	98.0%	94.6%	43

Consumer Living Situations	State Number	State Rate	U.S.	U.S. Rate	States
Private Residence	100,923	85.4%	4,199,185	84.7%	57
Jail/Correctional Facility	1,029	0.9%	72,275	1.5%	51
Homeless or Shelter	5,950	5.0%	216,731	4.4%	52

Hospital Readmissions	State Number	State Rate	U.S.	U.S. Rate	States
State Hospital Readmissions: 30 Days	337	6.2%	7,008	9.2%	48
State Hospital Readmissions: 180 Days	1,046	19.2%	15,085	19.9%	49
Readmission to any psychiatric hospital: 30 Days	355	4.4%	34,681	17.7%	20

State Mental Health Finance (2020)	State Number	State Rate	U.S.	U.S. Rate	States
SMHA Expenditures for Community Mental Health*	\$70,980,038	33.6%	\$32,043,740,071	69.8%	59
State Expenditures from State Sources	\$114,371,725	54.2%	\$17,673,556,338	38.5%	56
Total SMHA Expenditures	\$211,207,240	-	\$45,901,588,603	-	59

Adult Evidence-Based Practices	State Number	State Rate	U.S.	U.S. Rate	States
Assertive Community Treatment	622	1.5%	66,159	1.8%	42
Supported Housing	577	1.4%	70,648	2.3%	30
Supported Employment	163	0.4%	66,662	1.9%	41
Family Psychoeducation	-	-	37,853	3.1%	16
Integrated Dual Diagnosis Treatment	-	-	199,597	9.5%	24
Illness Self-Management and Recovery	-	-	308,378	19.1%	22
Medications Management	-	-	545,051	31.3%	21

Child Evidence Based Practices	State Number	State Rate	U.S.	U.S. Rate	States
Therapeutic Foster Care	178	0.7%	13,178	1.7%	21
Multisystemic Therapy	-	-	31,303	4.1%	20
Functional Family Therapy	-	-	31,817	5.8%	15

Outcome	State Number	State Rate	U.S.	U.S. Rate	States
Adult Criminal Justice Contacts	434	1.4%	26,620	2.7%	32
Juvenile Justice Contacts	36	0.2%	4,542	1.2%	35
School Attendance (Improved)	-	-	10,488	28.9%	22

* Includes primary prevention, evidence-based practices for early serious mental illness, and Other 24-Hour Care

Mental Health Community Services Block Grant: 2020 State Summary Report

Kentucky

State URS Contact Person

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State Revenue Expenditure Data

	Amount
FY 2020 Mental Health Block Grant Expenditures	\$7,699,921
FY 2020 SMHA Community MH Expenditures	\$70,980,038
FY 2020 Per Capita Community MH Expenditures	\$15.95
FY 2020 Community Percent of Total SMHA Spending	33.16%
FY 2020 Total SMHA Mental Health Expenditure	\$211,207,240
FY 2020 Per Capita Total SMHA Mental Health Expenditures	\$47.45

Statewide Mental Health Agency Data*

Measure	Number of Clients	Utilization Rate Per 1,000 Population
Total Clients Served by SMHA System	156,052	34.9
Clients Served in Community Settings	154,312	34.5
Clients Served in State Hospitals	4,851	1.1

Gender	Percent
Female	49.7%
Male	49.7%
Not Available	0.5%

Race/Ethnicity	Percent
American Indian or Alaska Native	0.2%
Asian	0.3%
Black or African American	9.5%
Native Hawaiian or Other Pacific Islander	0.1%
White	78.8%
More Than One Race	2.7%
Not Available	8.5%

Employment With Known Status (Adults)	Percent
Employed	24.8%
Unemployed	31.0%
Not In Labor Force	44.2%

Medicaid Funding Status of Consumers	Percent
Medicaid Only	49.9%
Non-Medicaid	33.5%
Both Medicaid and Other Funds	16.6%

Consumer Perception of Care: (Adults)	Percent
Access to Services	92.6%
Quality/Appropriateness of Services	94.1%
Outcome from Services	78.4%
Participation in Treatment Planning	86.6%
Overall Satisfaction with Care	93.4%

Implementation of Evidence-Based Practices	Percent
Assertive Community Treatment	1.5%
Supported Housing	1.4%
Supported Employment	0.4%
Family Psychoeducation	-
Integrated Dual Diagnosis Treatment	-
Illness Self-Management and Recovery	-
Medications Management	-
Therapeutic Foster Care	0.7%
Multisystemic Therapy	-
Functional Family Therapy	-

Age	Percent
0 to 12	19.0%
13 to 17	13.5%
18 to 20	4.9%
21 to 24	5.8%
25 to 44	31.7%
45 to 64	21.3%
65 to 74	2.9%
75 and over	0.8%
Not Available	-

Living Situation (with Known Status)	Percent
Private Residence	85.4%
Foster Home	1.3%
Residential Care	4.4%
Crisis Residence	-
Residential Treatment Center	-
Institutional Setting	3.0%
Jail (Correctional Facility)	0.9%
Homeless (Shelter)	5.0%
Other	-

Consumer Perception of Care: (Children/Adolescents)	Percent
Access to Services	93.9%
Overall Satisfaction with Care	94.9%
Outcome from Services	77.1%
Participation in Treatment Planning	94.8%
Cultural Sensitivity of Providers	98.0%

Outcome Measures Developmental	Percent
Adults Arrested this Year	1.4%
Youth Arrested this Year	0.2%
Improved School Attendance	-

Hospital Readmissions (Civil Status Patients)	Percent
State Hospital Readmissions: 30 Days	6.2%
State Hospital Readmissions: 180 Days	19.2%
Readmission to any psychiatric hospital: 30 Days	4.4%

* Based on 2020 URS data provided by US States and Territories per annual reporting guidelines.



The Community Mental Health Block Grant is administered by the Center for Mental Health Services within the Substance Abuse and Mental Health Services Administration of the US Department of Health and Human Services

Access Domain: Demographic Characteristics of Persons Served by the State Mental Health Authority, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Demographics	Total Served				Penetration Rates			States
	State		US		(per 1,000 population)			
	n	%	n	%	State	South	US	Reporting
Total	156,052	100.0%	8,013,396	100.0%	34.9	17.8	24.6	58
0-12	29,670	19.0%	1,249,365	15.6%	41.3	16.0	24.4	58
13-17	21,107	13.5%	963,341	12.0%	74.4	34.0	47.1	58
18-20	7,624	4.9%	378,464	4.7%	43.5	23.6	30.0	58
21-24	9,027	5.8%	455,776	5.7%	37.8	20.7	26.7	58
25-44	49,539	31.7%	2,562,474	32.0%	43.5	23.4	29.8	58
45-64	33,307	21.3%	1,924,296	24.0%	28.7	17.1	23.6	58
65-74	4,599	2.9%	318,939	4.0%	10.3	5.4	10.3	57
75 and over	1,179	0.8%	135,263	1.7%	3.9	2.1	6.1	58
Age Not Available	-	-	25,478	0.3%	-	-	-	25
Female	77,620	49.7%	4,165,457	52.0%	34.2	18.3	25.2	58
Male	77,609	49.7%	3,806,212	47.5%	35.3	17.2	23.7	58
Gender Not Available	823	0.5%	41,727	0.5%	-	-	-	37
American Indian/Alaskan Native	278	0.2%	144,169	1.8%	20.7	9.8	34.7	52
Asian	403	0.3%	113,401	1.4%	5.6	2.9	5.9	56
Black/African American	14,832	9.5%	1,406,075	17.5%	39.2	21.5	33.3	54
Native Hawaiian/Pacific Islander	129	0.1%	20,489	0.3%	30.8	12.9	26.2	51
White	122,908	78.8%	4,752,713	59.3%	31.4	15.0	19.2	56
Multi-Racial	4,194	2.7%	217,180	2.7%	46.5	21.7	26.3	48
Race Not Available	13,308	8.5%	1,359,369	17.0%	-	-	-	51
Hispanic or Latino Ethnicity	3,878	2.5%	1,229,256	16.9%	22.2	7.3	21.5	51
Not Hispanic or Latino Ethnicity	148,233	95.0%	5,515,915	75.9%	34.5	14.7	22.0	55
Ethnicity Not Available	3,941	2.5%	518,733	7.1%	-	-	-	43

Note:

Are Client Counts Unduplicated? Unduplicated Number of States with Unduplicated Counts 43

This table uses data from URS Table 2a, Table 2b and from the US Census Bureau. All denominators use US Census data from 2019

US totals are calculated uniquely for each data element based on only those states who reported clients served.

Regional groupings are based on SAMHSA's Block Grant Regions.

State Notes:

Table 2a

Age None
Gender None
Race Notice an increase in the number of Race Not Available; will try to identify the cause and improve reporting for next year.
Overall URS 2020 Not Available increased; unsure of reason but will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief.

Table 2b

Age None
Gender In 2021, SMHA plans to add to MMIS System additional values for answer options for Gender at Birth, add a new Gender Identify field, and add a new Sexual Orientation field.
Race Notice an increase in the number of Ethnicity Not Available; will try to identify the cause and improve reporting for next year.
Overall URS 2020 Not Available increased; will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief.

Access Domain: Persons Served in Community Mental Health Programs by Age and Gender, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Demographic	Served in Community				Penetration Rates (rate per 1,000 population)		States Reporting
	State		US		State	US	
	n	%	n	%			
Age 0-17	50,775	32.9%	2,189,167	28.1%	50.6	30.5	58
Age 18-20	7,534	4.9%	366,512	4.7%	43.0	29.0	58
Age 21-64	90,342	58.5%	4,786,066	61.3%	35.6	25.9	58
Age 65+	5,661	3.7%	436,181	5.6%	7.5	7.7	58
Age Not Available	-	-	23,440	0.3%	-	-	26
Age Total	154,312	100.0%	7,801,366	100.0%	34.5	23.9	58
Female	77,087	50.0%	4,086,098	52.4%	34.0	24.7	58
Male	76,402	49.5%	3,675,816	47.1%	34.7	22.9	58
Gender Not Available	823	0.5%	39,452	0.5%	-	-	36
Total	154,312	100.0%	7,801,366	100.0%	34.5	23.9	58

Note:

US totals are based on states reporting.

This table uses data from URS Table 3.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

Age	Notice an increase in the number of Age Not Available; will try to identify the cause and improve reporting for next year.
Gender	None
Overall	URS 2020 Not Available increased; will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief.

Access Domain: Persons Served in State Psychiatric Hospitals by Age and Gender, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Demographic	Served in State Psychiatric Hospitals				Penetration Rates		States Reporting
	State		US		(rate per 1,000 population)		
	n	%	n	%	State	US	
Age 0-17	9	0.2%	7,472	6.0%	0.0	0.2	33
Age 18-20	256	5.3%	5,035	4.0%	1.5	0.4	52
Age 21-64	4,318	89.0%	104,890	83.7%	1.7	0.6	53
Age 65+	268	5.5%	7,905	6.3%	0.4	0.1	53
Age Not Available	-	-	3	0.0%	-	-	3
Age Total	4,851	100.0%	125,305	100.0%	1.1	0.4	53
Female	1,766	36.4%	41,479	33.1%	0.8	0.3	53
Male	3,083	63.6%	83,626	66.7%	1.4	0.5	53
Gender Not Available	2	0.0%	200	0.2%	-	-	9
Total	4,851	100.0%	125,305	100.0%	1.1	0.4	53

Notes:

US totals are based on states reporting.

This table uses data from URS Table 3.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

Age Notice an increase in the number of Age Not Available; will try to identify the cause and improve reporting for next year.

Gender None

Overall URS 2020 Not Available increased; will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief.

Access Domain: Demographic Characteristics of Adults with SMI and Children with SED Served by the State Mental Health Authority, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Demographics	Total Served				Penetration Rates			States
	State		US		(per 1,000 population)			Reporting
	n	%	n	%	State	South	US	
Total	67,101	100.0%	5,737,430	100.0%	15.0	13.5	17.3	58
0-12	13,833	20.6%	905,892	15.8%	19.2	12.2	17.3	58
13-17	10,210	15.2%	730,917	12.7%	36.0	26.3	35.1	57
18-20	2,960	4.4%	263,228	4.6%	16.9	17.0	20.5	57
21-24	2,867	4.3%	315,930	5.5%	12.0	14.9	18.2	58
25-44	17,532	26.1%	1,787,313	31.2%	15.4	17.4	19.4	58
45-64	16,909	25.2%	1,426,172	24.9%	14.6	13.6	17.1	58
65-74	2,389	3.6%	223,834	3.9%	5.3	4.2	7.1	56
75 and over	401	0.6%	80,189	1.4%	1.3	1.5	3.9	56
Age Not Available	-	-	3,955	0.1%	-	-	-	17
Female	35,040	52.2%	3,037,425	52.9%	15.5	14.2	18.0	58
Male	31,838	47.4%	2,687,150	46.8%	14.5	12.8	16.4	58
Gender Not Available	223	0.3%	12,855	0.2%	-	-	-	34
American Indian/Alaskan Native	130	0.2%	92,691	1.6%	9.7	7.5	22.1	52
Asian	171	0.3%	86,072	1.5%	2.4	2.2	4.4	55
Black/African American	7,490	11.2%	1,044,715	18.2%	19.8	16.9	23.7	53
Native Hawaiian/Pacific Islander	60	0.1%	15,358	0.3%	14.3	9.2	19.0	51
White	53,448	79.7%	3,361,894	58.6%	13.7	11.3	13.4	54
Multi-Racial	2,094	3.1%	177,013	3.1%	23.2	17.8	19.4	48
Race Not Available	3,708	5.5%	959,687	16.7%	-	-	-	49
Hispanic or Latino Ethnicity	1,764	2.6%	1,009,157	19.0%	10.1	6.1	16.7	51
Not Hispanic or Latino Ethnicity	64,512	96.1%	3,930,960	74.1%	15.0	11.0	14.7	55
Ethnicity Not Available	825	1.2%	365,714	6.9%	-	-	-	43

Note:
This table uses data from URS Table 14a, Table 14b and from the US Census Bureau. All denominators use US Census data from 2019

US totals are calculated uniquely for each data element based on only those states who reported clients served.

Regional groupings are based on SAMHSA's Block Grant Regions.

State Notes:

Table 14a

Age None

Gender Notice an increase in the number of Gender Not Available in White category; will try to identify the cause and improve reporting for next year.

Race Notice an increase in the number of Race Not Available; will try to identify the cause and improve reporting for next year.

Overall None

Table 14b

Age None

Gender Notice an increase in the number of Gender Not Available; will try to identify the cause and improve reporting for next year.

Race Notice an increase in the number of Ethnicity Not Available; will try to identify the cause and improve reporting for next year.

Overall None

Access Domain: Adults with SMI and Children with SED Served in Community Mental Health Programs by Age and Gender, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Demographic	Served in Community				Penetration Rates (rate per 1,000 population)		States Reporting
	State		US		State	US	
	n	%	n	%			
Age 0-17	24,043	35.8%	1,585,185	28.9%	24.0	22.2	57
Age 18-20	2,960	4.4%	248,765	4.5%	16.9	19.8	56
Age 21-64	37,308	55.6%	3,360,167	61.3%	14.7	18.2	57
Age 65+	2,790	4.2%	283,618	5.2%	3.7	5.0	56
Age Not Available	-	-	3,238	0.1%	-	-	16
Age Total	67,101	100.0%	5,480,973	100.0%	15.0	16.9	57
Female	35,040	52.2%	2,925,437	53.4%	15.5	17.7	57
Male	31,838	47.4%	2,544,436	46.4%	14.5	15.9	57
Gender Not Available	223	0.3%	11,100	0.2%	-	-	34
Total	67,101	100.0%	5,480,973	100.0%	15.0	16.9	57

Note:

US totals are based on states reporting.

This table uses data from URS Table 15a.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

Age	None
Gender	Notice an increase in the number of Gender Not Available; will try to identify the cause and improve reporting for next year.
Overall	None

Access Domain: Adults with SMI and Children with SED Served in State Psychiatric Hospitals by Age and Gender, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Demographic	Served in State Psychiatric Hospitals				Penetration Rates		States Reporting
	State		US		(rate per 1,000 population)		
	n	%	n	%	State	US	
Age 0-17	4	0.2%	5,988	6.2%	0.0	0.1	28
Age 18-20	91	5.2%	3,722	3.8%	0.5	0.3	48
Age 21-64	1,600	90.7%	81,222	84.0%	0.6	0.4	51
Age 65+	70	4.0%	5,801	6.0%	0.1	0.1	50
Age Not Available	-	-	2	0.0%	-	-	2
Age Total	1,765	100.0%	96,735	100.0%	0.4	0.3	51
Female	735	41.6%	30,627	31.7%	0.3	0.2	51
Male	1,030	58.4%	66,072	68.3%	0.5	0.4	51
Gender Not Available	-	-	36	0.0%	-	-	5
Total	1,765	100.0%	96,735	100.0%	0.4	0.3	51

Notes:

US totals are based on states reporting.

This table uses data from URS Table 15a.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

Age None
Gender Notice an increase in the number of Gender Not Available; will try to identify the cause and improve reporting for next year.
Overall None

Appropriateness Domain: Percent of Adults and Children Served Who Meet the Federal Definition for SMI/SED and Percent of Adults and Children Served Who Have Co-Occurring MH/AOD Disorders, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Adults and Children who meet the Federal Definition of SMI/SED	State	US Average	US Median	States Reporting
Percent of Adults served through the SMHA who meet the Federal definition for SMI	-	71.6%	77.0%	55
Percent of Children served through the SMHA who meet the Federal definition for SED	-	71.1%	75.0%	55

Co-occurring MH and Substance Abuse Consumers	State	US Average	US Median	States Reporting
Percent of Adults served through the SMHA who had a co-occurring MH and AOD disorder	17.0%	28.0%	25.0%	56
Percent of Children served through the SMHA who had a co-occurring MH and AOD disorder	1.0%	8.1%	3.0%	55
Percent of Adults served through the SMHA who met the Federal definitions of SMI who also have a substance abuse diagnosis	7.5%	27.4%	24.5%	54
Percent of Children served through the SMHA who met the Federal definitions of SED who also have a substance abuse diagnosis	1.0%	9.6%	3.0%	54

Note

This table uses data from URS Table 12.

How are the number of clients with co-occurring disorders counted and calculated?

Percentages of children/adolescents and adults who also have a co-occurring substance use disorder are from AMART—client counts with both MH and SA record markers = true divided by total children and adults served. Percentages of children/adolescent with SMI and adults with SED whose also have co-occurring substance use disorder is calculated by dividing the total number of children/adolescents with SED and adults with SMI with both a MH and SA record by the total number of children/adolescents with SED and total number of adults with SMI.

State Notes

None

ACCESS DOMAIN: Persons Served by SMHA System through Medicaid and Other Funding Sources by Race, Gender, and Ethnicity, FY 2020

STATE: Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Demographic	State							US Averages							States Reporting
	Number Served				% Served			Number Served				% Served			
	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Total Served with Known Funding Status	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Total Served with Known Funding Status	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	
Female	39,391	24,981	12,715	77,087	51%	32%	16%	2,463,327	1,077,711	505,036	4,046,074	61%	27%	12%	56
Male	37,096	26,486	12,820	76,402	49%	35%	17%	2,124,444	1,064,218	482,257	3,670,919	58%	29%	13%	56
Gender Not Available	447	289	87	823	54%	35%	11%	11,635	22,580	1,486	35,701	33%	63%	4%	35
Total	76,934	51,756	25,622	154,312	50%	34%	17%	4,599,406	2,164,509	988,779	7,752,694	59%	28%	13%	56
American Indian or Alaska Native	147	93	37	277	53%	34%	13%	99,608	23,612	18,235	141,455	70%	17%	13%	51
Asian	176	142	75	393	45%	36%	19%	66,719	27,148	13,698	107,565	62%	25%	13%	54
Black or African American	8,280	3,981	2,262	14,523	57%	27%	16%	789,858	380,512	189,234	1,359,604	58%	28%	14%	52
Native Hawaiian or Other Pacific Islander	70	37	22	129	54%	29%	17%	10,669	4,515	4,037	19,221	56%	23%	21%	49
White	58,155	42,138	21,296	121,589	48%	35%	18%	2,682,757	1,368,468	556,354	4,607,579	58%	30%	12%	54
More Than One Race	2,603	929	652	4,184	62%	22%	16%	114,879	63,333	34,947	213,159	54%	30%	16%	46
Race Not Available	7,503	4,436	1,278	13,217	57%	34%	10%	834,916	296,921	172,274	1,304,111	64%	23%	13%	49
Total	76,934	51,756	25,622	154,312	50%	34%	17%	4,599,406	2,164,509	988,779	7,752,694	59%	28%	13%	56
Hispanic or Latino	2,262	1,108	501	3,871	58%	29%	13%	693,741	294,182	204,204	1,192,127	58%	25%	17%	50
Not Hispanic or Latino	73,485	49,670	24,727	147,882	50%	34%	17%	3,009,937	1,635,495	696,061	5,341,493	56%	31%	13%	54
Ethnicity Not Available	1,187	978	394	2,559	46%	38%	15%	252,687	146,693	70,965	470,345	54%	31%	15%	40
Total	76,934	51,756	25,622	154,312	50%	34%	17%	3,956,365	2,076,370	971,230	7,003,965	56%	30%	14%	54

Note:

This table uses data from URS tables 5a and 5b.

Type of Medicaid Data Reported

People Served by Both includes people with any Medicaid

State Notes

5a	Race	None
5a	Gender	None
5a	Overall	URS 2020 Not Available increased; unsure of reason but will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief.
5b	Overall	URS 2020 Not Available increased; possible indirect result from limited binary-only gender choices; SMHA to add choices in 2021.

APPROPRIATENESS DOMAIN: NUMBER OF ADMISSIONS DURING THE YEAR TO STATE HOSPITAL INPATIENT AND COMMUNITY-BASED PROGRAMS, FY 2020

STATE: Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Setting	Demographic	State			US			Admission Rate		States Reporting
		Admissions During Year	Total Served At Start of Year	Total Served During Year	Admissions During Year	Total Served At Start of Year	Total Served During Year	State	US	
State Psychiatric Hospitals	Total	6,225	349	4,851	101,901	40,180	124,957	1.28	0.82	51
	Children	3	-	9	7,410	1,407	7,315	0.33	1.01	29
	Adults	6,213	332	4,842	94,482	38,756	117,636	1.28	0.80	51
	Age NA	9	17	-	9	17	-	-	-	1
Other Inpatient	Total	1,824	89	809	411,756	50,612	381,371	2.25	1.08	36
	Children	1	-	1	57,707	4,588	50,049	1.00	1.15	31
	Adults	1,452	61	808	353,599	45,801	329,867	1.80	1.07	36
	Age NA	371	28	-	450	223	129	-	3.49	7
Residential Treatment Centers	Total	-	-	-	39,150	16,069	50,133	-	0.78	35
	Children	-	-	-	11,615	5,185	12,691	-	0.92	34
	Adults	-	-	-	27,533	10,883	37,287	-	0.74	28
	Age NA	-	-	-	2	1	2	-	1.00	2
Community Programs	Total	99,194	55,118	154,312	21,703,308	3,908,937	6,880,155	0.64	3.15	51
	Children	30,602	20,173	50,775	9,619,103	1,047,521	1,918,288	0.60	5.01	51
	Adults	68,575	34,945	103,537	12,057,441	2,838,566	4,954,989	0.66	2.43	51
	Age NA	17	-	-	26,764	22,850	5,727	-	4.67	16

Note:

Admission Rate= number of admissions divided by total served during the year

US Admissions During Year uses data from states reporting data only. States are only included in "US Total Served" if they also reported data on admissions.

US Total Served During Year is calculated using data in URS Table 3.

This table uses data from URS Table 3 and 6.

Table 3 State Notes:

Age	Notice an increase in the number of Age Not Available; will try to identify the cause and improve reporting for next year.
Overall	URS 2020 Not Available increased; will continue to monitor. May be impacted by CMHCs reduction of face-to-face contacts and more telehealth during COVID19 pandemic; intake process may be more brief.
Gender	None

Table 6 State Notes:

Hospital	Since 2019, numbers in this category decreased by shifting 1 contracted hosp.(ARH) reporting to "Other Psych Inpatient" category
Other Inpatient	Numbers in this category increased for first time during 2020 because we shifted 1 contracted hospital (ARH) reporting from the State Hospital category
Residential	None
Community	None
Overall	None

APPROPRIATENESS DOMAIN: Length of Stays in State Psychiatric Hospitals, Other Psychiatric Inpatient and Residential Treatment Centers for Children Settings, FY 2020

STATE: Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Setting	Demographic	State						US						
		Length of Stay (Days)						Length of Stay (Days)						
		Discharged Clients		Resident Clients in Facility 1 year or less		Resident Clients in Facility more than 1 year		Discharged Clients		Resident Clients in Facility 1 year or less		Resident Clients in Facility more than 1 year		States Reporting
		Average	Median	Average	Median	Average	Median	Average	Median	Average	Median	Average	Median	
State Hospitals	All	21	5	16	5	1,228	871	160	71	99	81	1,707	862	16
	Children	8	2	-	-	-	-	88	75	86	77	611	561	24
	Adults	18	9	49	15	1,494	805	228	87	126	107	1,757	1,013	50
	Age NA	37	5	-	-	2,191	1,807	37	5	-	-	2,191	1,807	-
Other Inpatient	All	10	5	34	8	458	450	54	39	66	50	696	580	9
	Children	5	5	-	-	-	-	39	32	47	38	423	391	23
	Adults	12	5	34	8	458	450	71	40	62	46	790	576	32
	Age NA	14	-	-	-	-	-	15	13	269	269	-	-	1
Residential Treatment Centers	All	-	-	-	-	-	-	217	124	142	144	721	669	4
	Children	-	-	-	-	-	-	165	128	135	128	660	615	26
	Adults	-	-	-	-	-	-	296	259	149	137	968	824	22
	Age NA	-	-	-	-	-	-	109	109	94	94	441	387	1

Note:

Resident clients are clients who were receiving services in inpatient settings at the end of the reporting period.

This table uses data from URS Table 6.

Table 6 State Notes:

Hospital	Since 2019, numbers in this category decreased by shifting 1 contracted hosp.(ARH) reporting to "Other Psych Inpatient" category
Other Inpatient	Numbers in this category increased for first time during 2020 because we shifted 1 contracted hospital (ARH) reporting from the State Hospital category
Residential	None
Community	None
Overall	None

APPROPRIATENESS DOMAIN: Living Situation of Consumers Served by State Mental Health Agency Systems, FY 2020

STATE: Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Age Group	Setting	State			US			States Reporting
		Living Situation	Percent in Living Situation	Percent with Known Living Situation	Living Situation	Percent in Living Situation	Percent with Known Living Situation	
All Persons Served	Private Residence	100,923	64.7%	85.4%	4,199,185	57.3%	84.7%	57
	Foster Home	1,584	1.0%	1.3%	61,475	0.8%	1.2%	48
	Residential Care	5,181	3.3%	4.4%	173,289	2.4%	3.5%	52
	Crisis Residence	-	-	-	8,543	0.1%	0.2%	29
	Residential Treatment Center	-	-	-	9,138	0.1%	0.2%	35
	Institutional Setting	3,542	2.3%	3.0%	90,396	1.2%	1.8%	51
	Jail (Correctional Facility)	1,029	0.7%	0.9%	72,275	1.0%	1.5%	51
	Homeless (Shelter)	5,950	3.8%	5.0%	216,731	3.0%	4.4%	52
	Other	-	-	-	125,713	1.7%	2.5%	42
	Not Available	37,843	24.3%	-	2,377,989	32.4%	-	51
	Total	156,052	100.0%	100.0%	7,334,734	100.0%	100.0%	57
Children under age 18	Private Residence	47,932	94.4%	96.2%	1,242,259	61.0%	92.0%	56
	Foster Home	1,328	2.6%	2.7%	46,357	2.3%	3.4%	47
	Residential Care	387	0.8%	0.8%	16,035	0.8%	1.2%	42
	Crisis Residence	-	-	-	1,539	0.1%	0.1%	26
	Residential Treatment Center	-	-	-	5,600	0.3%	0.4%	31
	Institutional Setting	15	0.0%	0.0%	6,974	0.3%	0.5%	44
	Jail (Correctional Facility)	20	0.0%	0.0%	5,227	0.3%	0.4%	45
	Homeless (Shelter)	133	0.3%	0.3%	7,719	0.4%	0.6%	49
	Other	-	-	-	17,890	0.9%	1.3%	39
	Not Available	962	1.9%	-	685,914	33.7%	-	47
	Total	50,777	100.0%	100.0%	2,035,514	100.0%	100.0%	57
Adults age 18 and older	Private Residence	52,991	50.3%	77.5%	2,953,698	56.2%	82.0%	57
	Foster Home	256	0.2%	0.4%	15,056	0.3%	0.4%	45
	Residential Care	4,794	4.6%	7.0%	157,103	3.0%	4.4%	52
	Crisis Residence	-	-	-	6,949	0.1%	0.2%	28
	Residential Treatment Center	-	-	-	3,538	0.1%	0.1%	24
	Institutional Setting	3,527	3.4%	5.2%	82,906	1.6%	2.3%	51
	Jail (Correctional Facility)	1,009	1.0%	1.5%	66,979	1.3%	1.9%	51
	Homeless (Shelter)	5,817	5.5%	8.5%	208,974	4.0%	5.8%	52
	Other	-	-	-	107,690	2.0%	3.0%	42
	Not Available	36,881	35.0%	-	1,654,263	31.5%	-	50
	Total	105,275	100.0%	100.0%	5,257,156	100.0%	100.0%	57

This table uses data from URS Table 15.

State Notes:

BHDID does not fund Child's State Psychiatric Hospitals. Gender Not Available more than in 2019. The SMHA to add more gender values in 2021. We'll continue to monitor.

APPROPRIATENESS DOMAIN: Persons Who were Homeless by Age, Gender, Race, and Ethnicity, FY 2020

STATE: Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Demographic	Homeless or Living in Shelters				Percent of Total with Known Living Situation		States Reporting
	State		US		State	US	
	N	%	N	%	%	%	
Age 0 to 17	133	2.2%	7,719	3.6%	0.3%	0.6%	49
Age 18 to 64	5,729	96.3%	203,079	93.7%	9.0%	6.1%	52
Age 65+	88	1.5%	5,895	2.7%	1.8%	2.2%	49
Age Not Available	-	-	38	0.0%	-	0.9%	9
Age Total	5,950	100.0%	216,731	100.0%	5.0%	4.4%	52
Female	2,384	40.1%	85,801	39.6%	4.0%	3.3%	52
Male	3,549	59.6%	130,307	60.1%	6.1%	5.5%	51
Gender Not Available	17	0.3%	623	0.3%	4.0%	9.9%	20
Gender Total	5,950	100.0%	216,731	100.0%	5.0%	4.4%	52
American Indian or Alaska Native	17	0.3%	5,474	2.5%	8.1%	6.3%	47
Asian	6	0.1%	2,015	0.9%	2.1%	2.7%	45
Black or African American	1,193	20.1%	64,744	29.9%	10.4%	7.0%	49
Native Hawaiian or Other Pacific Islander	3	0.1%	724	0.3%	3.1%	6.5%	38
White	4,297	72.2%	110,460	51.0%	4.6%	3.7%	48
More Than One Race	89	1.5%	7,206	3.3%	2.6%	3.7%	47
Race Not Available	345	5.8%	26,108	12.0%	3.9%	3.9%	46
Race Total	5,950	100.0%	216,731	100.0%	5.0%	4.4%	52
Hispanic or Latino	86	1.4%	33,727	15.6%	2.7%	3.9%	50
Not Hispanic or Latino	5,727	96.3%	169,623	78.3%	5.1%	4.5%	50
Not Available	137	2.3%	13,381	6.2%	4.5%	4.2%	43
Ethnicity Total	5,950	100.0%	216,731	100.0%	5.0%	4.4%	52

Note:

US totals are based on states reporting.

This table uses data from URS Table 15.

US totals are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

BHDID does not fund Child's State Psychiatric Hospitals. Gender Not Available more than in 2019. The SMHA to add more gender values in 2021. We'll continue to monitor.

Appropriateness Domain: Evidence-Based Practices Reported by SMHAs, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Adult EBP Services	State		US		Penetration Rate: % of Consumers Receiving EBP/Estimated SMI		Measuring Fidelity		States Reporting
	EBP N	SMI N	EBP N	SMI N	State	US Average	State	US	
Supported Housing	577	41,908	70,648	3,854,379	1.4%	2.3%	No	8	30
Supported Employment	163	41,908	66,662	3,854,379	0.4%	1.9%	Yes	20	41
Assertive Community Treatment	622	41,908	66,159	3,854,379	1.5%	1.8%	Yes	24	42
Family Psychoeducation	-	-	37,873	3,854,379	-	3.1%	-	3	16
Dual Diagnosis Treatment	-	-	199,597	3,854,379	-	9.5%	-	8	24
Illness Self Management	-	-	308,378	3,854,379	-	19.1%	-	4	22
Medication Management	-	-	545,051	3,854,379	-	31.3%	-	1	21

Child/Adolescent EBP Services	State		US		Penetration Rate: % of Consumers Receiving EBP/Estimated SED		Measuring Fidelity		States Reporting
	EBP N	SED N	EBP N	SED N	State	US Average	State	US	
Therapeutic Foster Care	178	25,193	13,178	1,312,014	0.7%	1.7%	No	4	21
Multi-Systemic Therapy	-	-	31,303	1,312,014	-	4.1%	No	8	20
Family Functional Therapy	-	-	31,817	1,312,014	-	5.8%	No	6	15

Note:

US totals are based on states reporting.

This table uses data from URS Tables 16 and 17.

US averages are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

State Notes:

Table 16: None

Table 17: KY has not implemented these EBPs according to the definition in URS.

Appropriateness Domain: Adults with SMI and Children with SED Receiving Evidence-Based Services for First Episode Psychosis (FEP), 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Number of coordinated specialty care programs: 8

Admissions to Coordinated Specialty Care (CSC)-FEP Service During the Year

	State		US		States Reporting
	N	%	N	%	
Adult CSC-FEP Admissions	71	73.2%	15,544	62.7%	45
Children/Adolescent CSC-FEP Admissions	26	26.8%	9,228	37.3%	41
Total CSC-FEP Admissions	97	100.0%	24,772	100.0%	46
Total Programs Reporting Number of Admissions	8	100.0%	287	88.0%	47
Average Admissions per Program	12.1	-	76.0	-	46

Clients Currently Receiving CSC-FEP Services

	State		US		States Reporting
	N	%	N	%	
Adults Receiving CSC-FEP Services	58	76.3%	15,470	85.8%	45
Children/Adolescents Receiving CSC-FEP Services	18	23.7%	2,557	14.2%	43
Total Receiving CSC-FEP Services	76	100.0%	18,027	100.0%	46
Total Programs Reporting Number of Clients Receiving Services	8	100.0%	292	89.6%	47
Average Number of Clients Services per Program	9.5	-	55.3	-	46

CSC-FEP Fidelity and Training

	State		US		States Reporting
	N	%	N	%	
Number of Programs Measuring Fidelity	8	100.0%	177	54.3%	46
Number of Programs with Staff Training Specifically in CSC EBP	8	100.0%	275	84.4%	41

Clients Served by Programs With and Without CSC-FEP Fidelity

	State			US			States Reporting
	Number of Programs	Clients Served	Average Number of Clients Served Per Program	Number of Programs	Clients Served	Average Number of Clients Served Per Program	
Programs with Fidelity	8	76	9.50	177	11,736	66.31	34
Programs without Fidelity	-	-	-	149	6,291	42.22	19

Note: This table use data from URS Table 16a.

Outcomes Domain: Employment Status of Adult Mental Health Consumers Served in the Community by Age and Gender, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Demographics	State				Employed as Percent of those in Labor Force		Employed as Percent of Known Employment Status		States Reporting
	Employed	Unemployed	In Labor Force*	With Known Employment Status**	State	US	State	US	
Age 18 to 20	1,469	1,830	3,299	7,194	45%	50%	20.4%	22.3%	56
Age 21 to 64	22,501	28,183	50,684	85,601	44%	47%	26.3%	25.0%	57
Age 65 and over	355	377	732	5,297	48%	40%	6.7%	10.9%	57
Age Not Available	-	-	-	-	-	41%	-	13.7%	8
Age TOTAL	24,325	30,390	54,715	98,092	44%	47%	24.8%	23.6%	57
Female	12,770	14,959	27,729	52,906	46%	50%	24.1%	24.7%	57
Male	11,281	15,346	26,627	44,729	42%	44%	25.2%	22.3%	57
Gender Not Available	274	85	359	457	76%	49%	60.0%	28.8%	30
Gender TOTAL	24,325	30,390	54,715	98,092	44%	47%	24.8%	23.6%	57

What populations are reported? All Clients Number of States Reporting All Clients: 44 Number of States Reporting Some Clients: 13

When is Employment Status Measured?	At Admission	At Discharge	Monthly	Quarterly	Other
KY	-	-	-	-	-
US	36	25	3	7	25

Note:

*In Labor Force is the sum of consumers employed and unemployed.

**With Known Employment Status is the sum of consumer employed, unemployed and not in labor force.

Consumers employed as a % of those in labor force uses adults employed and unemployed as the denominator.

Consumers employed as % of known employment status uses the sum of persons employed, unemployed and not in labor force as the denominator.

This table uses data from URS Table 4.

State Notes:

Age None
Gender Notice an increase in the number of Gender Not Available; will try to identify the cause and improve reporting for next year.
Overall URS 2020 Not Available increased; possible indirect result from limited binary-only gender choices; SMHA to add choices in 2021.

Outcomes Domain: Employment Status of Adult Mental Health Consumers Served in the Community by Diagnosis, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Diagnosis	State				Employed as a % of Labor Force		Employed as % of Known Employment Status		% of Consumers with Dx		States Reporting
	Employed	Unemployed	In Labor Force*	With Known Employment Status**	State	US	State	US	State	US	
Schizophrenia and Related Disorders	373	848	1,221	4,928	30.5%	30.2%	7.6%	9.9%	5.0%	13.9%	52
Bipolar and Mood Disorders	7,519	8,291	15,810	32,002	47.6%	47.4%	23.5%	24.3%	32.6%	40.1%	52
Other Psychoses	219	464	683	1,514	32.1%	31.2%	14.5%	13.3%	1.5%	2.2%	51
All other Diagnoses	16,214	20,787	37,001	59,648	43.8%	52.5%	27.2%	28.6%	60.8%	36.9%	52
No Diagnosis and Deferred Diagnosis	-	-	-	-	-	49.3%	-	27.0%	-	6.8%	42
TOTAL	24,325	30,390	54,715	98,092	44.5%	47.7%	24.8%	23.8%	100.0%	100.0%	52

Note:

*In Labor Force is the sum of consumers employed and unemployed.

**With Known Employment Status is the sum of consumer employed, unemployed and not in labor force.

Consumers employed as a % of those in labor force uses adults employed and unemployed as the denominator.

Consumers employed as % of known employment status uses the sum of persons employed, unemployed and not in labor force as the denominator.

This table uses data for URS Table 4a.

State Notes:

None

CONSUMER SURVEY RESULTS, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Indicators	Children: State	Children: U.S. Average	States Reporting	Adults: State	Adults: U.S. Average	States Reporting
Reporting Positively About Access	93.9%	89.2%	46	92.5%	88.9%	49
Reporting Positively About Quality and Appropriateness				94.1%	90.8%	48
Reporting Positively About Outcomes	77.1%	74.6%	45	78.4%	79.6%	49
Reporting on Participation in Treatment Planning	94.8%	89.4%	46	86.6%	86.9%	47
Family Members Reporting High Cultural Sensitivity of Staff	98.0%	94.6%	43			
Reporting positively about General Satisfaction with Services	94.9%	89.2%	47	93.4%	90.1%	48

Note: U.S. Average Children & Adult rates are calculated only for states that used a version of the MHSIP Consumer Survey

This table uses data from URS Table 11.

Children/Family	State	U.S.
Type of Survey Used	YSS-F	YSS-F=37

Type of Adult Consumer Survey Used	28-Item MHSIP	Other MHSIP	Other Survey
State	Yes	-	-
U.S.	31	16	4

Sample Size & Response Rate	Children: State	Children: U.S.	States Reporting	Adults: State	Adults: U.S. Average	States Reporting
Response Rate	-	99.6%	35	-	44.3%	35
Number of Surveys Attempted (send out)	-	165,134	36	-	229,432	34
Number of Surveys Contacts Made	-	109,930	35	-	172,014	35
Complete Surveys	1,297	42,210	40	2,777	84,692	40

Populations covered in survey	Children: State	Children: U.S.	Adults: State	Adults: U.S.
All Consumers	-	6	-	4
Sample	Yes	41	Yes	44

Sample Approach	Children: State	Children: U.S.	Adults: State	Adults: U.S.
Random Sample	-	8	-	8
Stratified Sample	-	14	-	15
Convenience Sample	Yes	17	Yes	18
Other Sample	-	2	-	3

Who is Sampled?	Children: State	Children: U.S.	Adults: State	Adults: U.S.
Current Clients	Yes	44	Yes	48
Former Clients	-	15	-	21

Populations included in sample: (e.g., all adults, only adults with SMI, etc.)	Children: State	Children: U.S.	Adults: State	Adults: U.S.
All Children or Adults Served	Yes	26	Yes	27
SMI Adults or SED Children	-	19	-	20
Persons Covered by Medicaid	-	13	-	11
Other	-	4	-	5

State Notes:

Fewer total 2020 surveys collected due to COVID - use of fewer face-to-face and more telehealth client interactions. Web-based surveys are not popular among clients.

OUTCOMES DOMAIN: Consumer Survey Results, by Race/Ethnicity FY 2020

STATE: Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Race/Ethnicity	Family of Children Survey Indicators: Reporting Positively About...														States
	Access		General Satisfaction with Services		Outcomes		Participation In Tx Planning		Cultural Sensitivity of Staff		Social Connectedness		Improved Functioning		
	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	
American Indian or Alaska Native	100%	80%	100%	84%	86%	71%	100%	85%	100%	91%	100%	84%	86%	69%	28
Asian	100%	87%	100%	90%	71%	71%	100%	90%	86%	94%	100%	85%	71%	72%	22
Black or African American	89%	92%	94%	92%	56%	78%	91%	93%	95%	96%	89%	88%	54%	70%	30
Native Hawaiian or Other Pacific Islander	-	87%	-	89%	-	76%	-	89%	-	89%	100%	88%	-	75%	18
White	95%	89%	95%	89%	79%	76%	96%	92%	98%	95%	94%	89%	77%	72%	34
Hispanic or Latino	85%	90%	94%	91%	67%	76%	94%	90%	100%	95%	94%	89%	67%	75%	26
More Than One Race	-	89%	-	91%	-	74%	-	90%	-	96%	-	88%	-	73%	24
Not Available	89%	88%	93%	88%	69%	73%	88%	89%	95%	94%	89%	88%	68%	73%	34

Race/Ethnicity	Adult Consumer Survey Indicators: Reporting Positively About...														States
	Access		Quality & Appropriateness		Outcomes		Participation In Tx Planning		General Satisfaction		Social Connectedness		Improved Functioning		
	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	
American Indian or Alaska Native	93%	85%	95%	89%	67%	73%	77%	83%	93%	88%	72%	71%	67%	74%	33
Asian	86%	90%	100%	91%	67%	74%	86%	85%	100%	91%	100%	75%	86%	73%	32
Black or African American	89%	91%	92%	94%	65%	83%	82%	90%	90%	93%	67%	75%	66%	75%	34
Native Hawaiian or Other Pacific Islander	100%	90%	100%	91%	-	83%	100%	83%	100%	90%	-	78%	-	82%	24
White	93%	89%	95%	92%	80%	77%	87%	88%	94%	91%	81%	71%	79%	73%	36
Hispanic or Latino	84%	89%	89%	90%	66%	78%	81%	88%	82%	91%	78%	75%	65%	76%	26
More Than One Race	-	89%	-	91%	-	76%	-	85%	-	91%	-	73%	-	75%	27
Not Available	88%	87%	89%	89%	74%	73%	85%	85%	89%	89%	76%	73%	76%	72%	36

Notes:

This table uses data from URS Table 11a.

State Notes:

Fewer total 2020 surveys collected due to COVID - use of fewer face-to-face and more telehealth client interactions. Web-based surveys are not popular among clients

Outcomes Domain: Change in Social Connectedness and Functioning, FY 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Indicators	Children				Adults			
	State	US Average	US Median	States Reporting	State	US Average	US Median	States Reporting
Percent Reporting Improved Social Connectedness from Services	93.1%	88.4%	87.3%	42	79.8%	79.2%	72.9%	46
Percent Reporting Improved Functioning from Services	75.2%	75.3%	70.2%	42	78.0%	80.4%	73.2%	46

Note:

This table uses data from URS Table 9.

US State Averages and Medians are calculated only with states which used the recommended Social Connectedness and Functioning questions.

Adult Social Connectedness and Functioning Measures	State	US
Did you use the recommended new Social Connectedness Questions?	Yes	43
Did you use the recommended new Functioning Domain Questions?	Yes	44
Did you collect these as part of your MHSIP Adult Consumer Survey?	Yes	43

Children/Family Social Connectedness and Functioning Measures	State	US
Did you use the recommended new Social Connectedness Questions?	Yes	40
Did you use the recommended new Functioning Domain Questions?	Yes	41
Did you collect these as part of your YSS-F Survey?	Yes	40

State Notes:

None

OUTCOMES DOMAIN: Civil (Non Forensic) & Forensic Patients Readmission within 30 Days by Age, Gender, and Race, FY 2020

STATE: Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Demographic	Readmissions within 30 days to state psychiatric hospitals: "Civil" (Non-Forensic) Patients							Readmissions within 30 days to state psychiatric hospitals: Forensic Patients						
	State			US				State			US			
	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	States Reporting	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	States Reporting
Age 0 to 12	0	0	-	119	1,752	6.8%	12	0	0	-	1	74	1.4%	1
Age 13 to 17	0	2	0.0%	390	5,233	7.5%	16	0	13	0.0%	32	556	5.8%	7
Age 18 to 20	29	311	9.3%	449	4,399	10.2%	27	0	31	0.0%	23	883	2.6%	10
Age 21 to 64	287	4,834	5.9%	5,644	61,278	9.2%	42	1	764	0.1%	920	23,728	3.9%	40
Age 65 to 74	6	223	2.7%	330	2,534	13.0%	34	0	14	0.0%	69	930	7.4%	18
Age 75 and over	15	61	24.6%	76	779	9.8%	15	0	3	0.0%	11	211	5.2%	5
Age Not Available	0	9	0.0%	-	11	-	0	0	1	0.0%	-	2	-	0
Age Total	337	5,440	6.2%	7,008	75,986	9.2%	48	1	826	0.1%	1,056	26,384	4.0%	42
Female	142	2,112	6.7%	2,864	30,203	9.5%	42	0	165	0.0%	284	5,656	5.0%	24
Male	195	3,319	5.9%	4,143	45,758	9.1%	44	1	660	0.2%	772	20,717	3.7%	40
Gender Not Available	0	9	0.0%	1	25	4.0%	1	0	1	0.0%	-	11	-	0
Gender Total	337	5,440	6.2%	7,008	75,986	9.2%	48	1	826	0.1%	1,056	26,384	4.0%	42
American Indian or Alaska Native	0	6	0.0%	153	1,472	10.4%	13	0	0	-	10	357	2.8%	5
Asian	16	36	44.4%	130	1,079	12.0%	20	0	2	0.0%	17	496	3.4%	9
Black or African American	59	1,008	5.9%	1,410	17,456	8.1%	35	1	224	0.4%	383	9,387	4.1%	32
Native Hawaiian or Other Pacific Islander	0	0	-	35	325	10.8%	6	0	0	-	4	96	4.2%	4
White	252	4,113	6.1%	4,529	49,527	9.1%	44	0	598	0.0%	505	12,720	4.0%	35
More Than One Race	1	20	5.0%	194	1,886	10.3%	20	0	0	-	17	568	3.0%	11
Race Not Available	9	257	3.5%	557	4,241	13.1%	20	0	2	0.0%	120	2,760	4.3%	18
Race Total	337	5,440	6.2%	7,008	75,986	9.2%	48	1	826	0.1%	1,056	26,384	4.0%	42
Hispanic or Latino	4	83	4.8%	704	8,387	8.4%	24	0	3	0.0%	233	3,731	6.2%	16
Not Hispanic or Latino	328	5,183	6.3%	6,083	63,800	9.5%	45	1	821	0.1%	777	21,040	3.7%	39
Not Available	5	174	2.9%	221	3,799	5.8%	17	0	2	0.0%	46	1,613	2.9%	12
Ethnicity Total	337	5,440	6.2%	7,008	75,986	9.2%	48	1	826	0.1%	1,056	26,384	4.0%	42

Forensics included in "non forensic" data? No

Note:

US totals are based on states reporting.

This table uses data from URS Tables 20a and 20b.

State Notes:

KCPK serves adults only and is the only state supported forensic psychiatric facility in Kentucky. Notice 2020 fewer 180-day readmission; low n. Also, we'll study to identify other causes.

OUTCOMES DOMAIN: Civil (Non Forensic) & Forensic Patients Readmission within 180 Days by Age, Gender, and Race, FY 2020

STATE: Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Demographic	Readmissions within 180 days to state psychiatric hospitals: "Civil" (Non-Forensic) Patients							Readmissions within 180 days to state psychiatric hospitals: Forensic Patients						
	State			US				State			US			
	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	States Reporting	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	States Reporting
Age 0 to 12	0	0	-	274	1,752	15.6%	14	0	0	-	1	74	1.4%	1
Age 13 to 17	0	2	0.0%	895	5,233	17.1%	19	0	13	-	64	556	11.5%	7
Age 18 to 20	56	311	18.0%	861	4,399	19.6%	31	1	31	3.2%	65	883	7.4%	24
Age 21 to 64	933	4,834	19.3%	12,444	61,278	20.3%	49	23	764	3.0%	2,408	23,728	10.1%	45
Age 65 to 74	35	223	15.7%	509	2,534	20.1%	38	0	14	-	127	930	13.7%	28
Age 75 and over	22	61	36.1%	102	779	13.1%	18	0	3	-	19	211	9.0%	11
Age Not Available	0	9	0.0%	-	11	-		0	1	-	-	2	-	
Age Total	1,046	5,440	19.2%	15,085	75,986	19.9%	49	24	826	2.9%	2,684	26,384	10.2%	47
Female	407	2,112	19.3%	6,022	30,203	19.9%	47	7	165	4.2%	616	5,656	10.9%	38
Male	639	3,319	19.3%	9,060	45,758	19.8%	49	17	660	2.6%	2,068	20,717	10.0%	46
Gender Not Available	0	9	0.0%	3	25	12.0%	1	0	1	-	-	11	-	
Gender Total	1,046	5,440	19.2%	15,085	75,986	19.9%	49	24	826	2.9%	2,684	26,384	10.2%	47
American Indian or Alaska Native	1	6	16.7%	356	1,472	24.2%	21	0	0	-	31	357	8.7%	16
Asian	25	36	69.4%	291	1,079	27.0%	28	0	2	-	52	496	10.5%	18
Black or African American	229	1,008	22.7%	3,370	17,456	19.3%	41	13	224	5.8%	994	9,387	10.6%	38
Native Hawaiian or Other Pacific Islander	0	0	-	199	325	61.2%	8	0	0	-	12	96	12.5%	4
White	755	4,113	18.4%	9,647	49,527	19.5%	47	10	598	1.7%	1,277	12,720	10.0%	44
More Than One Race	2	20	10.0%	359	1,886	19.0%	25	0	0	-	60	568	10.6%	14
Race Not Available	34	257	13.2%	863	4,241	20.3%	29	1	2	50.0%	258	2,760	9.3%	22
Race Total	1,046	5,440	19.2%	15,085	75,986	19.9%	49	24	826	2.9%	2,684	26,384	10.2%	47
Hispanic or Latino	13	83	15.7%	1,512	8,387	18.0%	36	1	3	33.3%	441	3,731	11.8%	23
Not Hispanic or Latino	1,012	5,183	19.5%	12,990	63,800	20.4%	46	23	821	2.8%	2,117	21,040	10.1%	43
Not Available	21	174	12.1%	583	3,799	15.3%	24	0	2	-	126	1,613	7.8%	17
Ethnicity Total	1,046	5,440	19.2%	15,085	75,986	19.9%	49	24	826	2.9%	2,684	26,384	10.2%	47

Forensics included in "non forensic" data? No

Note:

US totals are based on states reporting.

This table uses data from URS Tables 20a and 20b.

State Notes:

KCPC serves adults only and is the only state supported forensic psychiatric facility in Kentucky. Notice 2020 fewer 180-day readmission; low n. Also, we'll study to identify other causes.

Structure Domain: SMHA Expenditure for Early Serious Mental Illness and First Episode Psychosis, 2020
Kentucky

Reporting Period 7/1/2019 To: 6/30/2020

Activity	State								US							
	MHBG		Other Funds		State Funds		Total		MHBG		Other Funds		State Funds		Total	
	\$	%	\$	%	\$	%	\$	%	\$	%	\$	%	\$	%	\$	%
CSC-Evidence-Based Practices for First Episode Psychosis	\$922,365	100.0%	\$0	0.0%	\$0	0.0%	\$922,365	100%	\$63,948,992	79.2%	\$4,342,422	1.3%	\$12,426,479	15.4%	\$80,717,893	100%
Training for CSC Practices	\$11,728	100.0%	\$0	0.0%	\$0	0.0%	\$11,728	100%	\$2,784,946	85.2%	\$86,291	0.7%	\$398,342	12.2%	\$3,269,579	100%
Planning for CSC Practices	\$0	-	\$0	-	\$0	-	\$0	-	\$618,403	63.5%	\$56,517	1.5%	\$299,052	30.7%	\$973,972	100%
Other Early Serious Mental Illnesses program (other than FEP or partial CSC programs)	\$0	-	\$0	-	\$0	-	\$0	-	\$5,407,541	97.8%	\$96,351	0.4%	\$25,000	0.5%	\$5,528,892	100%
Training for ESMI	\$0	-	\$0	-	\$0	-	\$0	-	\$730,894	93.5%	\$26,106	0.8%	\$25,000	3.2%	\$782,000	100%
Planning for ESMI	\$0	-	\$0	-	\$0	-	\$0	-	\$848,298	94.3%	\$25,909	0.7%	\$25,000	2.8%	\$899,207	100%

Note: This table use data from URS Table 7a.

* Other Funds include Medicaid, Other Federal funds, local funds, and other funds from table 7a.

State Notes:

None

STRUCTURE DOMAIN: State Mental Health Agency Controlled Expenditures for Mental Health, FY 2020**Kentucky****Reporting Period: 7/1/2019 To: 6/30/2020**

	Expenditures: State	Percent of Total Expenditures: State	Expenditures: U.S.	Percent of Total Expenditures: U.S.	States Reporting
State Hospitals-Inpatient	\$130,459,543	62%	\$12,020,465,152	26%	55
Other 24-Hour Care	\$22,709,547	11%	\$5,901,357,290	13%	47
Ambulatory/Community	\$47,336,398	22%	\$25,997,107,370	57%	59
EBPs for Early Serious Mental Illness	\$934,093	0%	\$93,605,917	0%	59
Primary Prevention	-	0%	\$51,669,494	0%	14
Other Psychiatric Inpatient Care	\$1,800,000	1%	\$637,559,033	1%	16
Administration	\$7,967,659	4%	\$1,199,824,347	3%	55
Total	\$211,207,240	100%	\$45,901,588,603	100%	59

Note:

This table uses data from URS Table 7

State Notes:

See Table 7A for detailed breakout of total reported in "EBP for ESMI" category (\$934,093).

STRUCTURE DOMAIN: State Mental Health Agency Controlled Expenditures by Funding Sources, 2020

Kentucky

Reporting Period: 7/1/2019 To: 6/30/2020

Funding Source	Ambulatory/Community			State Hospital		
	State	Percent Total (State)	Percent Total (US)	State	Percent Total (State)	Percent Total (US)
Mental Health Block Grant	\$7,686,044	10.8%	1.8%	-	-	-
Medicaid (Federal, State, and Local)	\$17,199,752	24.2%	63.2%	\$51,819,404	39.7%	16.1%
Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare), SAMHSA, etc.)	\$2,749,010	3.9%	2.6%	\$9,434,733	7.2%	2.5%
State Funds	\$38,922,907	54.8%	23.7%	\$66,010,456	50.6%	76.3%
Local Funds (excluding local Medicaid)	\$2,567,072	3.6%	6.2%	\$3,118,846	2.4%	1.7%
Other	\$1,855,253	2.6%	2.5%	\$76,104	0.1%	3.4%
Total	\$70,980,038	100%	100%	\$130,459,543	100%	100%

Note:

This table uses data from URS Table 7

Ambulatory/Community includes Primary Prevention, Evidence-Based Practices for Early Serious Mental Illness, and Other 24-Hour Care expenditures

This table does not show expenditures for state central office including Research, Training, and Administration expenses.

State Notes:

See Table 7A for detailed breakout of total reported in "EBP for ESMI" category (\$934,093).

Structure Domain: Federal Mental Health Block Grant Expenditures for Non-Direct Service Activities, FY 2020**Kentucky****Reporting Period:** 7/1/2019 To: 6/30/2020

Service	Non-Direct Block Grant Expenditures	% Total	US % Total
Information Systems	\$98,125	27.6%	4.7%
Infrastructure Support	\$76,250	21.4%	21.5%
Partnerships, community outreach, and needs assessment	\$178,100	50.1%	28.7%
MHA Planning Council Activities	\$2,319	0.7%	2.4%
Quality assurance and improvement	-	0.0%	8.9%
Research and Evaluation	-	0.0%	10.5%
Training and Education	\$862	0.2%	23.3%
Total	\$355,656	100.0%	100.0%

Note: This table use data from URS Table 8.

State Notes:

See general notes

Structure Domain: Mental Health Programs Funded By the Federal Mental Health Block Grant, FY 2020
Kentucky
Reporting Period: 7/1/2019 To: 6/30/2020

Adult Programs: 19 Child Programs: 15 Set-Aside FEP Programs: 15 Set-Aside ESMI Programs: 0 Total Programs: 20
 Adult Total: \$4,886,900 Child Total: \$1,733,586 Set-Aside FEP Total: \$1,095,500 Set-Aside ESMI Total: \$0 Total: \$7,715,986

Agency Name	Address	Area Served	Total Block Grant Funds	Block Grant for Adults with SMI	Block Grant for Children with SED	Set-Aside for FEP Programs	Set-Aside for ESMI Programs
Four Rivers	425 Broadwaqy	Sub-State Planning Area	\$497,224	\$283,286	\$88,938	\$125,000	\$0
Pathways	PO Box 790	Sub-State Planning Area	\$493,374	\$268,042	\$100,332	\$125,000	\$0
Mountain	104 South Front Street	Sub-State Planning Area	\$456,608	\$246,333	\$85,275	\$125,000	\$0
Kentucky River	115 Rockwood Lane	Sub-State Planning Area	\$230,045	\$133,662	\$93,383	\$3,000	\$0
Cumberland River	PO Box 568	Sub-State Planning Area	\$564,640	\$319,499	\$120,141	\$125,000	\$0
Adanta	130 Southern School Road	Sub-State Planning Area	\$275,809	\$186,612	\$86,197	\$3,000	\$0
New Vista	1351 Newtown Pike	Sub-State Planning Area	\$623,282	\$309,456	\$188,826	\$125,000	\$0
Kentucky Housing Corporation	1231 Louisville Road	Statewide	\$13,334	\$13,334	\$0	\$0	\$0
Kentucky Partnership for Families & Children (KPFC)	1st Floor 207 Holmes Street	Statewide	\$143,000	\$0	\$143,000	\$0	\$0
NAMI Kentucky	2441 S. Hwy 2	Statewide	\$145,054	\$142,554	\$0	\$2,500	\$0
NAMI Lexington (KY) Inc.	498 Georgetown St Ste 100	Statewide	\$150,190	\$150,190	\$0	\$0	\$0
Pennyroyal	3999 Fort Campbell Blvd	Sub-State Planning Area	\$340,218	\$262,833	\$74,385	\$3,000	\$0
Wellspring Inc.	PO Box 1927	Statewide	\$50,000	\$50,000	\$0	\$0	\$0
Technical Assi	31 Saint James Ave Ste 950	Statewide	\$43,914	\$43,914	\$0	\$0	\$0
River Valley	1100 Walnut Street PO Box 1637	Sub-State Planning Area	\$359,068	\$271,059	\$85,009	\$3,000	\$0
Lifeskills	PO Box 6499	Sub-State Planning Area	\$792,813	\$430,974	\$161,839	\$200,000	\$0
Communicare	107 Cranes Roost Court	Sub-State Planning Area	\$578,136	\$352,808	\$100,328	\$125,000	\$0
Centerstone	10101 Linn Station Road, Ste 600	Sub-State Planning Area	\$1,307,555	\$975,620	\$206,935	\$125,000	\$0
North Key	502 Farrell Drive PO Box 2680	Sub-State Planning Area	\$481,907	\$357,631	\$121,276	\$3,000	\$0
Comprehend	611 Forest Avenue	Sub-State Planning Area	\$169,815	\$89,093	\$77,722	\$3,000	\$0

Kentucky

URS Table Number	General Notes
5A	Decrease in the number of clients served with both Medicaid and non-Medicaid funding: while the number reported in 2020 was lower than 2019, it was actually closer to our normal trend over the past 4 years. The number reported in 2019 appears as an outlier; higher than normal. URS 2020 was 25,622, URS 2019 was 31,452 (this represents an increase of 16% (n=5,138) from 2018). The percentage seems high because the 2018 base (26,314) is a relatively low number compared to the number of persons served in the category of "Medicaid Only" (78,805). URS 2018 was 26,314, URS 2017 was 24,285. In summary, the 2020 number in the both Medicaid and non-Medicaid funding category was a return to the normal trend. The 2019 number for this category was high compared to adjacent years. Also in 2019, the number of persons with "Medicaid Only" increased; yet, the number in "non-Medicaid" category decreased in 2019. We are unaware of why the number with "Medicaid Only" increased in 2019 which affected the both Medicaid and non-Medicaid funding category. Further during 2020, the number with "Medicaid only" decreased in 2020 by 5,479 which is only 6.6% change because the "Medicaid Only" base (82,413) is a relatively high number. Also during 2020, the number of "Non-Medicaid" increased slightly and the number in "Medicaid Status Not Available" also decreased.
6, 7, 20A, 21	Increase in the average LOS of clients served in state psychiatric hospitals: prior to URS 2020, four hospitals were included in the "State Hospital" category. During 2020, one of these four hospitals was removed from the "State Hospital" category and instead was reported as "Other Psychiatric Inpatient". This shift occurred because the category "Other Psychiatric Inpatient" was added to Table 7 for the first time in 2020. We were advised to include this one hospital in the "Other Psychiatric Inpatient" category thus removing it from inclusion in the "State Hospitals" across all 2020 URS tables. The shift of the one hospital accounts for the changes in average LOS. This shift in reporting for one specific hospital also impacted tables 7, 20a and 21.
7	The decrease in other expenditure funding is a reflection of a reduced role change for the Department in a particular program. In 2019, the program and funds were distributed across several Departments in our Cabinet and this changed in 2020. The decrease in administration expenditures is the result of applying a modified, Departmental calculation that is used to calculate facility administration expenses. This modification was first applied during SFY20.
8	"Partnerships, community outreach, and needs assessment" - during 2020, we increased our partnerships and contracted activities to support community work during COVID. "Training and Education" - during 2020, we primarily used new Training and Technical Assistance Funds that were awarded after the initial MHBG was award. "Planning Council Activities" - during 2020, the costs for face-to-face meetings and meeting support lessened since meeting formats were virtual during the COVID-19 Pandemic.
14A	SMI Definition: As defined in KRS 210.005, "chronic" (mental illness) means that clinically significant symptoms of mental illness have persisted in the individual for a continuous period of at least two (2) years, or that the individual is presently and significantly impaired in his ability to function socially or occupationally or both; and 2. Have a diagnosis of a major mental disorder (other than substance abuse or mental retardation as the sole diagnosis) as included in the DSMIIIR classification under Schizophrenic Disorder, Psychotic Disorders, Mood Disorder, Organic Mental Disorders or Delusional (paranoid) Disorders. Personality disorders shall be considered only when information and history depict that the individual exhibit persistent disability and significant impairment in major areas of community living.
14A	SED Definition: A child who is under age 18 or under age 21 and was receiving mental health services prior to age 18 and the services must be continued for therapeutic benefit; and 2. Has a clinically significant disorder of thought, mood, perception, orientation, memory, or behavior that is listed in the current edition of the American Psychiatric Association's Diagnostic and Statistical Manual of Mental Disorders; and presents substantial limitations which have persisted for at least one year, or are judged by a mental health professional to be at high risk of continuing for one year without professional intervention in at least two of these five areas: Self Care, Interpersonal Relationships, Family Life, Self-Direction, Education or is a Kentucky resident and is receiving residential treatment for an emotional disability through the interstate compact; or has been removed from the home by the Department for Community Based Services (Kentucky's child welfare agency) and has been unable to maintained in a stable setting due to a behavioral or emotional disability.
16A	All iHOPE Programs serve ESMI/clinical high risk and FEP.
20A & 21	Hispanic is reported as race by Facilities. "Asian" and "Pacific Islander" are reported as same category by Facilities; "Hawaiian" is not collected by facilities. Change in 180-readmission rate for "American Indian" & "Asian" due to low n.