

## New York 2017 Mental Health National Outcome Measures (NOMS): SAMHSA Uniform Reporting System

Utilization Rates/Number of Consumers Served	U.S.	State	U.S. Rate	States
Penetration Rate per 1,000 population	7,524,119	39.11	23.00	58
Community Utilization per 1,000 population	7,318,598	35.57	22.37	58
State Hospital Utilization per 1,000 population	131,633	0.46	0.40	54
Other Psychiatric Inpatient Utilization per 1,000 population	446,562	4.20	1.61	39

Adult Employment Status	U.S.	State	U.S. Rate	States
Employed (Percent in Labor Force)*	699,316	56.3%	43.8%	57
Employed (percent with Employment Data)**	699,316	18.8%	20.9%	57

Adult Consumer Survey Measures	State	U.S. Rate	States
Positive About Outcome	78.3%	78.7%	49

Child/Family Consumer Survey Measures	State	U.S. Rate	States
Positive About Outcome	86.3%	73.1%	46

Readmission Rates:(Civil "non-Forensic" clients)	U.S.	State	U.S. Rate	States
State Hospital Readmissions: 30 Days	6,829	5.9%	8.3%	50
State Hospital Readmissions: 180 Days	15,719	15.4%	19.2%	52
State Hospital Readmissions: 30 Days: Adults	6,323	7.4%	8.6%	49
State Hospital Readmissions: 180 Days: Adults	14,441	16.0%	19.6%	51
State Hospital Readmissions: 30 Days: Children	450	4.3%	6.3%	16
State Hospital Readmissions: 180 Days: Children	1,158	14.7%	16.1%	20

Living Situation	U.S.	State	U.S. Rate	States
Private Residence	4,115,700	83.1%	82.7%	58
Homeless/Shelter	210,479	5.0%	4.2%	54
Jail/Correctional Facility	86,943	4.7%	1.7%	53

Adult EBP Services	U.S.	State	U.S. Rate	States
Supported Housing	89,414	5.6%	3.0%	35
Supported Employment	62,596	0.4%	2.0%	41
Assertive Community Treatment	74,032	1.5%	2.1%	41
Family Psychoeducation	35,658	-	2.8%	15
Dual Diagnosis Treatment	237,513	-	11.7%	28
Illness Self Management	318,831	-	20.0%	22
Medications Management	554,087	-	34.6%	22

Child/Adolescent EBP Services	U.S.	State	U.S. Rate	States
Therapeutic Foster Care	9,728	-	1.2%	21
Multisystemic Therapy	26,180	-	3.8%	17
Functional Family Therapy	24,574	-	4.6%	15

Change in Social Connectedness	State	U.S. Rate	States
Adult Improved Social Connectedness	74.7%	78.8%	48
Child/Family Improved Social Connectedness	86.5%	87.2%	46

\*Denominator is the sum of consumers employed and unemployed.

\*\*Denominator is the sum of consumers employed, unemployed, and not in labor force.

# SAMHSA Uniform Reporting System - 2017 State Mental Health Measures

**STATE: New York**

Utilization	State Number	State Rate	U.S.	U.S. Rate	States
Penetration Rate per 1,000 population	772,221	39.11	7,524,119	23.00	58
Community Utilization per 1,000 population	702,310	35.57	7,318,598	22.37	58
State Hospital Utilization per 1,000 population	8,985	0.46	131,633	0.40	54
Medicaid Funding Status	446,702	61%	5,053,378	71%	56
Employment Status (percent employed)	91,021	19%	699,316	21%	57
State Hospital Adult Admissions	3,690	0.55	99,174	0.82	51
Community Adult Admissions	256,888	0.48	9,475,004	2.07	50
Percent Adults with SMI and Children with SED	550,424	71%	4,950,127	66%	57

Utilization	State Rate	U.S. Rate	States
State Hospital LOS Discharged Adult patients (Median)	106 Days	79 Days	49
State Hospital LOS for Adult Resident patients in facility <1 year (Median)	105 Days	79 Days	49
Percent of Client who meet Federal SMI definition	72%	72%	54
Adults with Co-occurring MH/SA Disorders	24%	25%	56
Children with Co-occurring MH/SA Disorders	3%	7%	51

Adult Consumer Survey Measures	State Rate	U.S. Rate	States
Access to Services	89%	88%	49
Quality/Appropriateness of Services	89%	90%	49
Outcome from Services	78%	79%	49
Participation in Treatment Planning	82%	86%	49
General Satisfaction with Care	90%	90%	49

Child/Family Consumer Survey Measures	State Rate	U.S. Rate	States
Access to Services	97%	88%	46
General Satisfaction with Care	97%	88%	48
Outcome from Services	86%	73%	46
Participation in Treatment Planning	98%	89%	48
Cultural Sensitivity of Providers	99%	93%	47

Consumer Living Situations	State Number	State Rate	U.S.	U.S. Rate	States
Private Residence	613,194	83.1%	4,115,700	82.7%	58
Jail/Correctional Facility	34,436	4.7%	86,943	1.7%	53
Homeless or Shelter	36,648	5.0%	210,479	4.2%	54

Hospital Readmissions	State Number	State Rate	U.S.	U.S. Rate	States
State Hospital Readmissions: 30 Days	254	5.9%	6,829	8.3%	50
State Hospital Readmissions: 180 Days	661	15.4%	15,719	19.2%	52
Readmission to any psychiatric hospital: 30 Days	15,077	16.6%	27,805	13.4%	20

State Mental Health Finance (2017)	State Number	State Rate	U.S.	U.S. Rate	States
SMHA Expenditures for Community Mental Health*	\$1,266,419,525	63.5%	\$19,948,114,782	76.9%	57
State Expenditures from State Sources	\$772,155,660	38.7%	\$11,142,374,361	42.9%	48
Total SMHA Expenditures	\$1,995,118,116	-	\$25,945,022,406	-	57

Adult Evidence-Based Practices	State Number	State Rate	U.S.	U.S. Rate	States
Assertive Community Treatment	6,582	1.5%	74,032	2.1%	41
Supported Housing	23,763	5.6%	89,414	3.0%	35
Supported Employment	1,728	0.4%	62,596	2.0%	41
Family Psychoeducation	-	-	35,658	2.8%	15
Integrated Dual Diagnosis Treatment	-	-	237,513	11.7%	28
Illness Self-Management and Recovery	-	-	318,831	20.0%	22
Medications Management	-	-	554,087	34.6%	22

Child Evidence Based Practices	State Number	State Rate	U.S.	U.S. Rate	States
Therapeutic Foster Care	-	-	9,728	1.2%	21
Multisystemic Therapy	-	-	26,180	3.8%	17
Functional Family Therapy	-	-	24,574	4.6%	15

Outcome	State Number	State Rate	U.S.	U.S. Rate	States
Adult Criminal Justice Contacts	12,585	3.2%	27,291	3.9%	33
Juvenile Justice Contacts	107	5.0%	6,885	3.1%	35
School Attendance (Improved )	660	37.3%	14,973	33.4%	24

\* Includes primary prevention, evidence-based practices for early serious mental illness, and Other 24-Hour Care

# Mental Health Community Services Block Grant: 2017 State Summary Report

## New York

### State URS Contact Person

M Jameson Foster

NYS Office of Mental Health, 6th floor 44 Holland Ave, Albany  
NY 12229

518-408-2201

Jameson.Foster@omh.ny.gov

### Statewide Mental Health Agency Data\*

Measure	Number of Clients	Utilization Rate Per 1,000 Population
Total Clients Served by SMHA System	772,221	39.1
Clients Served in Community Settings	702,310	35.6
Clients Served in State Hospitals	8,985	0.5

Gender	Percent
Female	51.3%
Male	48.5%
Not Available	0.2%

Race/Ethnicity	Percent
American Indian or Alaska Native	0.5%
Asian	2.0%
Black or African American	23.8%
Native Hawaiian or Other Pacific Islander	0.2%
White	53.2%
Hispanic or Latino	22.7%
More Than One Race	2.2%
Not Available	18.1%

Employment With Known Status (Adults)	Percent
Employed	18.8%
Unemployed	14.6%
Not In Labor Force	66.6%

Medicaid Funding Status of Consumers	Percent
Medicaid Only	48.8%
Non-Medicaid	39.1%
Both Medicaid and Other Funds	12.1%

Consumer Perception of Care: (Adults)	Percent
Access to Services	88.7%
Quality/Appropriateness of Services	89.4%
Outcome from Services	78.3%
Participation in Treatment Planning	81.8%
Overall Satisfaction with Care	89.6%

Implementation of Evidence-Based Practices	Percent
Assertive Community Treatment	1.5%
Supported Housing	5.6%
Supported Employment	0.4%
Family Psychoeducation	-
Integrated Dual Diagnosis Treatment	-
Illness Self-Management and Recovery	-
Medications Management	-
Therapeutic Foster Care	-
Multisystemic Therapy	-
Functional Family Therapy	-

Age	Percent
0 to 12	11.7%
13 to 17	11.6%
18 to 20	4.3%
21 to 24	5.9%
25 to 44	28.7%
45 to 64	30.5%
65 to 74	5.3%
75 and over	2.1%
Not Available	0.0%

Living Situation (with Known Status)	Percent
Private Residence	83.1%
Foster Home	0.2%
Residential Care	4.2%
Crisis Residence	0.5%
Residential Treatment Center	0.1%
Institutional Setting	1.1%
Jail (Correctional Facility)	4.7%
Homeless (Shelter)	5.0%
Other	1.1%
Not Available	-

Consumer Perception of Care: (Children/Adolescents)	Percent
Access to Services	96.5%
Overall Satisfaction with Care	96.9%
Outcome from Services	86.3%
Participation in Treatment Planning	97.9%
Cultural Sensitivity of Providers	99.1%

Outcome Measures Developmental	Percent
Adults Arrested this Year	3.2%
Youth Arrested this Year	5.0%
Improved School Attendance	37.3%

Hospital Readmissions (Civil Status Patients)	Percent
State Hospital Readmissions: 30 Days	5.9%
State Hospital Readmissions: 180 Days	15.4%
Readmission to any psychiatric hospital: 30 Days	16.6%

\* Based on 2017 URS data provided by US States and Territories per annual reporting guidelines.



The Community Mental Health Block Grant is administered by the Center for Mental Health Services within the Substance Abuse and Mental Health Services Administration of the US Department of Health and Human Services

Access Domain: Demographic Characteristics of Persons Served by the State Mental Health Authority, FY 2017

New York

Reporting Period: 11/1/2014 To: 10/31/2015

Demographics	Total Served				Penetration Rates			States
	State		US		(per 1,000 population)			
	n	%	n	%	State	Northeast	US	Reporting
Total	772,221	100.0%	7,524,119	100.0%	39.1	34.6	23.0	58
0-12	90,648	11.7%	1,113,009	14.8%	30.3	30.0	21.1	57
13-17	89,470	11.6%	877,396	11.7%	75.3	59.3	42.0	58
18-20	33,103	4.3%	338,730	4.5%	42.6	35.3	26.6	58
21-24	45,189	5.9%	435,759	5.8%	40.1	34.9	24.1	58
25-44	221,417	28.7%	2,375,289	31.6%	41.4	39.4	27.9	58
45-64	235,427	30.5%	1,979,572	26.3%	44.6	37.1	23.5	58
65-74	40,839	5.3%	260,656	3.5%	23.8	18.9	9.1	58
75 and over	16,101	2.1%	112,076	1.5%	12.2	13.7	5.4	57
Age Not Available	27	0.0%	31,632	0.4%	-	-	-	26
Female	396,072	51.3%	3,880,073	51.6%	39.0	35.0	23.4	58
Male	374,417	48.5%	3,599,188	47.8%	39.1	33.9	22.4	58
Gender Not Available	1,732	0.2%	44,858	0.6%	-	-	-	38
American Indian/Alaskan Native	4,212	0.5%	96,250	1.3%	22.0	26.5	23.7	53
Asian	15,311	2.0%	101,320	1.3%	8.7	7.5	5.5	55
Black/African American	183,807	23.8%	1,417,922	18.8%	52.7	52.9	33.0	53
Native Hawaiian/Pacific Islander	1,353	0.2%	17,803	0.2%	51.1	41.5	23.4	53
White	410,615	53.2%	4,540,372	60.3%	29.8	25.7	18.3	56
Hispanic or Latino Race	*	*	68,989	0.9%	*	-	3.5	10
Multi-Racial	16,811	2.2%	188,414	2.5%	34.3	24.3	23.5	52
Race Not Available	140,112	18.1%	1,093,049	14.5%	-	-	-	55
Hispanic or Latino Ethnicity	175,532	22.7%	1,057,735	14.7%	46.8	41.5	18.5	51
Not Hispanic or Latino Ethnicity	576,366	74.6%	5,515,467	76.9%	36.0	31.0	21.3	56
Ethnicity Not Available	20,323	2.6%	599,563	8.4%	-	-	-	52

\* Reported under Hispanic Origin.

**Note:**

Are Client Counts Unduplicated? Unduplicated Number of States with Unduplicated Counts 43

This table uses data from URS Table 2a, Table 2b and from the US Census Bureau. All denominators use US Census data from 2016

US totals are calculated uniquely for each data element based on only those states who reported clients served.

Regional groupings are based on SAMHSA's Block Grant Regions.

**State Notes:**

**Table 2a**

Age None  
Gender None  
Race None  
Overall Source: Patient Characteristics Survey (PCS 2015); 95% Confidence Interval for the total population is 699,884 to 858,899.

**Table 2b**

Age None  
Gender None  
Race None  
Overall Source: Patient Characteristics Survey (PCS 2015); 95% Confidence Interval for the total population is 699,884 to 858,899.

# Access Domain: Persons Served in Community Mental Health Programs by Age and Gender, FY 2017

New York

Reporting Period: 1/1/2016 To: 3/31/2017

Demographic	Served in Community				Penetration Rates (rate per 1,000 population)		States Reporting
	State		US		State	US	
	n	%	n	%			
Age 0-17	170,943	24.3%	1,963,699	26.8%	40.9	26.8	57
Age 18-20	30,018	4.3%	329,827	4.5%	38.6	25.9	58
Age 21-64	448,216	63.8%	4,637,290	63.4%	38.1	24.7	58
Age 65+	53,106	7.6%	358,381	4.9%	17.5	6.7	58
Age Not Available	27	0.0%	29,401	0.4%	-	-	25
Age Total	702,310	100.0%	7,318,598	100.0%	35.6	22.4	58
Female	371,624	52.9%	3,803,678	52.0%	36.6	22.9	58
Male	329,057	46.9%	3,471,886	47.4%	34.3	21.6	58
Gender Not Available	1,629	0.2%	43,034	0.6%	-	-	37
Total	702,310	100.0%	7,318,598	100.0%	35.6	22.4	58

## Note:

US totals are based on states reporting.

This table uses data from URS Table 3.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

## State Notes:

Age None  
Gender None  
Overall Community MH includes all settings except inpatient. State Hospital (SFY 4/2016-3/2017). Other Psy Inpatient includes all persons in general hospital psychiatric units and Medicaid recipients served in private psy. hospitals (CY 2016). RTC (SFY 2017).

# Access Domain: Persons Served in State Psychiatric Hospitals by Age and Gender, FY 2017

New York

Reporting Period: 1/1/2016 To: 3/31/2017

Demographic	Served in State Psychiatric Hospitals				Penetration Rates		States Reporting
	State		US		(rate per 1,000 population)		
	n	%	n	%	State	US	
Age 0-17	2,225	24.8%	9,131	6.9%	0.5	0.2	39
Age 18-20	193	2.1%	5,528	4.2%	0.2	0.4	53
Age 21-64	5,887	65.5%	109,218	83.0%	0.5	0.6	53
Age 65+	680	7.6%	7,607	5.8%	0.2	0.2	52
Age Not Available	-	-	149	0.1%	-	-	7
Age Total	8,985	100.0%	131,633	100.0%	0.5	0.4	54
Female	3,010	33.5%	43,080	32.7%	0.3	0.3	53
Male	5,975	66.5%	88,353	67.1%	0.6	0.6	53
Gender Not Available	-	-	200	0.2%	-	-	16
Total	8,985	100.0%	131,633	100.0%	0.5	0.4	54

## Notes:

US totals are based on states reporting.

This table uses data from URS Table 3.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

## State Notes:

Age None  
Gender None  
Overall Community MH includes all settings except inpatient. State Hospital (SFY 4/2016-3/2017). Other Psy Inpatient includes all persons in general hospital psychiatric units and Medicaid recipients served in private psy. hospitals (CY 2016). RTC (SFY 2017).

**Access Domain: Demographic Characteristics of Adults with SMI and Children with SED Served by the State Mental Health Authority, FY 2017**

**New York**

**Reporting Period: 11/1/2014 To: 10/31/2015**

Demographics	Total Served				Penetration Rates			States
	State		US		(per 1,000 population)			
	n	%	n	%	State	Northeast	US	Reporting
Total	550,424	100.0%	4,950,127	100.0%	27.9	18.7	15.1	57
0-12	63,152	11.5%	745,340	15.1%	21.1	14.2	14.1	54
13-17	60,758	11.0%	615,386	12.4%	51.2	32.7	29.5	56
18-20	21,885	4.0%	209,367	4.2%	28.1	18.1	16.4	57
21-24	29,424	5.3%	264,501	5.3%	26.1	17.7	14.6	56
25-44	154,238	28.0%	1,510,821	30.5%	28.8	20.9	16.8	57
45-64	180,038	32.7%	1,366,284	27.6%	34.1	22.1	16.2	57
65-74	31,007	5.6%	172,350	3.5%	18.1	10.8	6.0	57
75 and over	9,900	1.8%	60,226	1.2%	7.5	6.5	3.2	53
Age Not Available	22	0.0%	5,852	0.1%	-	-	-	16
Female	276,179	50.2%	2,565,539	51.8%	27.2	18.7	15.4	57
Male	273,466	49.7%	2,376,436	48.0%	28.5	18.7	14.8	57
Gender Not Available	779	0.1%	8,152	0.2%	-	-	-	34
American Indian/Alaskan Native	2,028	0.4%	60,584	1.2%	10.6	11.5	14.9	52
Asian	12,450	2.3%	72,183	1.5%	7.1	4.5	3.9	53
Black/African American	138,006	25.1%	961,293	19.4%	39.6	29.9	22.4	52
Native Hawaiian/Pacific Islander	1,049	0.2%	11,682	0.2%	39.6	26.6	15.1	53
White	286,155	52.0%	2,945,982	59.5%	20.7	14.0	11.9	54
Hispanic or Latino Race	*	*	32,739	0.7%	*	-	0.6	9
Multi-Racial	12,756	2.3%	132,581	2.7%	26.0	16.5	15.6	51
Race Not Available	97,980	17.8%	733,083	14.8%	-	-	-	52
Hispanic or Latino Ethnicity	128,618	23.4%	786,277	16.8%	34.3	24.8	13.7	51
Not Hispanic or Latino Ethnicity	410,754	74.6%	3,502,130	74.9%	25.7	17.0	13.2	55
Ethnicity Not Available	11,052	2.0%	388,331	8.3%	-	-	-	47

\* Reported under Hispanic Ethnicity.

**Note:**  
This table uses data from URS Table 14a, Table 14b and from the US Census Bureau. All denominators use US Census data from 2016

US totals are calculated uniquely for each data element based on only those states who reported clients served.

Regional groupings are based on SAMHSA's Block Grant Regions.

**State Notes:**

Table 14a  
Age None  
Gender None  
Race None  
Overall Source: Patient Characteristics Survey (PCS 2015); 95% Confidence Interval for the SMI/SED population is 497,974 to 613,277.  
Table 14b  
Age None  
Gender None  
Race None  
Overall Source: Patient Characteristics Survey (2016)

**Access Domain: Adults with SMI and Children with SED Served in Community Mental Health Programs by Age and Gender, FY 2017**

**New York**

**Reporting Period: 1/1/2016 To: 3/31/2017**

Demographic	Served in Community				Penetration Rates (rate per 1,000 population)		States Reporting
	State		US		State	US	
	n	%	n	%			
Age 0-17	115,883	23.0%	1,330,187	28.0%	27.7	18.2	55
Age 18-20	19,388	3.9%	202,389	4.3%	24.9	16.0	56
Age 21-64	330,737	65.7%	2,998,823	63.0%	28.1	16.1	56
Age 65+	37,434	7.4%	221,359	4.7%	12.3	4.2	56
Age Not Available	22	0.0%	5,717	0.1%	-	-	16
Age Total	503,464	100.0%	4,758,475	100.0%	25.5	14.6	56
Female	256,265	50.9%	2,480,176	52.1%	25.2	15.0	56
Male	246,522	49.0%	2,271,639	47.7%	25.7	14.2	56
Gender Not Available	677	0.1%	6,660	0.1%	-	-	32
Total	503,464	100.0%	4,758,475	100.0%	25.5	14.6	56

**Note:**

US totals are based on states reporting.

This table uses data from URS Table 15a.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Age None  
Gender None  
Overall Community MH includes all settings except inpatient. State Hospital (SFY 4/2016-3/2017). Other Psy Inpatient includes all persons in general hospital psychiatric units and Medicaid recipients served in private psy. hospitals (CY 2016). RTC (SFY 2017).



**Access Domain: Adults with SMI and Children with SED Served in State Psychiatric Hospitals by Age and Gender, FY 2017**

**New York**

**Reporting Period: 1/1/2016 To: 3/31/2017**

Demographic	Served in State Psychiatric Hospitals				Penetration Rates		States Reporting
	State		US		(rate per 1,000 population)		
	n	%	n	%	State	US	
Age 0-17	2,149	25.1%	6,797	6.9%	0.5	0.1	34
Age 18-20	185	2.2%	4,115	4.2%	0.2	0.3	47
Age 21-64	5,607	65.5%	81,580	83.4%	0.5	0.5	48
Age 65+	619	7.2%	5,374	5.5%	0.2	0.1	48
Age Not Available	-	-	3	0.0%	-	-	2
Age Total	8,560	100.0%	97,869	100.0%	0.4	0.3	48
Female	2,923	34.1%	32,550	33.3%	0.3	0.2	48
Male	5,637	65.9%	65,270	66.7%	0.6	0.4	48
Gender Not Available	-	-	49	0.1%	-	-	8
Total	8,560	100.0%	97,869	100.0%	0.4	0.3	48

**Notes:**

US totals are based on states reporting.

This table uses data from URS Table 15a.

US penetration rates are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

**State Notes:**

Age None  
Gender None  
Overall Community MH includes all settings except inpatient. State Hospital (SFY 4/2016-3/2017). Other Psy Inpatient includes all persons in general hospital psychiatric units and Medicaid recipients served in private psy. hospitals (CY 2016). RTC (SFY 2017).

**Appropriateness Domain: Percent of Adults and Children Served Who Meet the Federal Definition for SMI/SED and Percent of Adults and Children Served Who Have Co-Occurring MH/AOD Disorders, FY 2017**

**New York**

**Reporting Period:** 11/1/2014 To: 10/31/2015

<b>Adults and Children who meet the Federal Definition of SMI/SED</b>	<b>State</b>	<b>US Average</b>	<b>US Median</b>	<b>States Reporting</b>
Percent of Adults served through the SMHA who meet the Federal definition for SMI	72.0%	72.0%	71.0%	54
Percent of Children served through the SMHA who meet the Federal definition for SED	68.8%	70.9%	68.0%	54

<b>Co-occurring MH and Substance Abuse Consumers</b>	<b>State</b>	<b>US Average</b>	<b>US Median</b>	<b>States Reporting</b>
Percent of Adults served through the SMHA who had a co-occurring MH and AOD disorder	23.7%	25.4%	23.0%	56
Percent of Children served through the SMHA who had a co-occurring MH and AOD disorder	2.5%	6.2%	4.0%	56
Percent of Adults served through the SMHA who met the Federal definitions of SMI who also have a substance abuse diagnosis	25.0%	23.3%	21.0%	53
Percent of Children served through the SMHA who met the Federal definitions of SED who also have a substance abuse diagnosis	2.5%	5.1%	3.0%	53

**Note**

This table uses data from URS Table 12.

**How are the number of clients with co-occurring disorders counted and calculated?**

Source: Patient Characteristics Survey (2015). Person has co-occurring disorder if clinician reported both a MI diagnosis and disorder AND Alcohol/Substance Abuse diagnosis or disorder.

**State Notes**

None

# ACCESS DOMAIN: Persons Served by SMHA System through Medicaid and Other Funding Sources by Race, Gender, and Ethnicity, FY 2017

STATE: New York

Reporting Period: 11/1/2014 To: 10/31/2015

Demographic	State							US Averages							States Reporting
	Number Served				% Served			Number Served				% Served			
	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Total Served with Known Funding Status	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	Total Served with Known Funding Status	Medicaid Only	Non-Medicaid Only	Both Medicaid & Other	
Female	185,626	141,559	50,798	377,983	49%	37%	13%	2,140,967	1,000,117	538,844	3,679,928	58%	27%	15%	55
Male	171,439	145,012	37,603	354,054	48%	41%	11%	1,869,710	1,008,400	500,192	3,378,302	55%	30%	15%	55
Gender Not Available	1,022	257	214	1,493	68%	17%	14%	3,002	29,239	663	32,904	9%	89%	2%	35
Total	358,087	286,828	88,615	733,530	49%	39%	12%	4,013,679	2,037,756	1,039,699	7,091,134	57%	29%	15%	55
American Indian or Alaska Native	1,249	2,477	330	4,056	31%	61%	8%	56,225	20,833	15,400	92,458	61%	23%	17%	51
Asian	7,396	5,842	1,673	14,911	50%	39%	11%	60,364	24,172	12,126	96,662	62%	25%	13%	52
Black or African American	97,737	61,517	17,391	176,645	55%	35%	10%	781,565	362,280	202,556	1,346,401	58%	27%	15%	51
Native Hawaiian or Other Pacific Islander	465	566	162	1,193	39%	47%	14%	8,557	4,269	2,645	15,471	55%	28%	17%	51
White	163,190	173,711	51,612	388,513	42%	45%	13%	2,310,000	1,318,912	662,685	4,291,597	54%	31%	15%	53
Hispanic or Latino	*	*	*	*	*	*	*	31,421	24,113	3,990	59,524	53%	41%	7%	8
More Than One Race	9,316	4,737	1,960	16,013	58%	30%	12%	101,061	50,321	33,212	184,594	55%	27%	18%	51
Race Not Available	78,734	37,978	15,487	132,199	60%	29%	12%	664,486	232,856	107,085	1,004,427	66%	23%	11%	51
Total	358,087	286,828	88,615	733,530	49%	39%	12%	4,013,679	2,037,756	1,039,699	7,091,134	57%	29%	15%	55
Hispanic or Latino	102,347	45,835	21,758	169,940	60%	27%	13%	581,463	250,261	191,476	1,023,200	57%	24%	19%	50
Not Hispanic or Latino	248,187	234,276	65,447	547,910	45%	43%	12%	2,836,242	1,569,573	780,454	5,186,269	55%	30%	15%	54
Ethnicity Not Available	7,553	6,717	1,410	15,680	48%	43%	9%	315,134	147,484	67,693	530,311	59%	28%	13%	47
Total	358,087	286,828	88,615	733,530	49%	39%	12%	3,732,839	1,967,318	1,039,623	6,739,780	55%	29%	15%	54

\* Reported under Hispanic Ethnicity.

## Note:

This table uses data from URS tables 5a and 5b.

## Type of Medicaid Data Reported

Data based on Medicaid Eligibility, not Medicaid Paid Services.

## State Notes

5a Age None

5a Gender None

5a Overall Source: Patient Characteristics Survey (2015). The overall Medicaid estimate of 446,702 (sum of Medicaid only and Medicaid/Medicare), has a 95% confidence interval of 403,537-498,431.

5b Overall Source: Patient Characteristics Survey (2015).

**APPROPRIATENESS DOMAIN: NUMBER OF ADMISSIONS DURING THE YEAR TO STATE HOSPITAL INPATIENT AND COMMUNITY-BASED PROGRAMS, FY 2017**

**Reporting Period:** 1/1/2016 To: 3/31/2017

**STATE:** New York

Setting	Demographic	State			US			Admission Rate		States Reporting
		Admissions During Year	Total Served At Start of Year	Total Served During Year	Admissions During Year	Total Served At Start of Year	Total Served During Year	State	US	
State Psychiatric Hospitals	Total	5,866	3,912	8,985	107,425	40,590	128,939	0.65	0.83	51
	Children	2,176	341	2,225	8,248	1,235	8,299	0.98	0.99	31
	Adults	3,690	3,571	6,760	99,174	39,348	120,428	0.55	0.82	51
	Age NA	-	-	-	3	7	2	-	1.50	2
Other Inpatient	Total	116,429	3,540	82,898	453,919	91,467	443,544	1.40	1.02	37
	Children	11,581	235	9,333	63,913	10,792	59,617	1.24	1.07	28
	Adults	104,848	3,305	73,565	388,186	80,483	381,949	1.43	1.02	37
	Age NA	-	-	-	1,820	192	1,736	-	1.05	4
Residential Treatment Centers	Total	404	464	846	34,395	20,064	52,543	0.48	0.65	36
	Children	403	420	801	14,773	8,512	17,101	0.50	0.86	35
	Adults	1	44	45	19,571	11,550	30,868	0.02	0.63	31
	Age NA	-	-	-	51	2	41	-	1.24	2
Community Programs	Total	356,557	243,628	702,310	16,601,416	3,712,609	6,327,767	0.51	2.62	50
	Children	99,662	45,741	170,943	7,076,084	928,048	1,750,494	0.58	4.04	50
	Adults	256,888	197,885	531,340	9,475,004	2,778,936	4,568,708	0.48	2.07	50
	Age NA	7	2	27	50,328	5,625	8,435	0.26	5.97	16

**Note:**

Admission Rate= number of admissions divided by total served during the year

US Admissions During Year uses data from states reporting data only. States are only included in "US Total Served" if they also reported data on admissions.

US Total Served During Year is calculated using data in URS Table 3.

This table uses data from URS Table3 and 6.

**Table 3 State Notes:**

Age	None
Overall	Community MH includes all settings except inpatient. State Hospital (SFY 4/2016-3/2017). Other Psy Inpatient includes all persons in general hospital psychiatric units and Medicaid recipients served in private psy. hospitals (CY 2016). RTC (SFY 2017).
Gender	None

**Table 6 State Notes:**

Hospital	SFY 2017 MHARS dataset; includes forensic facilities.
Other Inpatient	CY 2016 hospital discharge abstracts (SPARCS) and private mental hospitals (Medicaid). No children were in other inpatient settings for more than one year.
Residential	SFY 2016 Residential Tx Center for Children (CAIRS). No Adults were in RTC settings for less than one year.
Community	Source: Patient Characteristics Survey (2015). Data excludes persons who were only in inpatient settings during year. Estimates based on admission date: "Beginning of year" over 1 yr before survey; "admissions" less than 1 year before survey.
Overall	Individuals are duplicated across the state hospital, other psychiatric inpatient, RTC, and community settings. See General Notes for more information.

**APPROPRIATENESS DOMAIN: Length of Stays in State Psychiatric Hospitals, Other Psychiatric Inpatient and Residential Treatment Centers for Children Settings, FY 2017**

**STATE: New York**

**Reporting Period: 1/1/2016 To: 3/31/2017**

Setting	Demographic	State						US						
		Length of Stay (Days)						Length of Stay (Days)						
		Discharged Clients		Resident Clients in Facility 1 year or less		Resident Clients in Facility more than 1 year		Discharged Clients		Resident Clients in Facility 1 year or less		Resident Clients in Facility more than 1 year		States Reporting
		Average	Median	Average	Median	Average	Median	Average	Median	Average	Median	Average	Median	
State Hospitals	All	-	-	-	-	-	-	174	61	97	74	1,619	995	15
	Children	55	27	58	34	537	414	87	74	67	53	477	431	25
	Adults	323	106	131	105	2,703	1,529	225	79	101	79	1,675	1,053	50
	Age NA	-	-	-	-	-	-	23	23	-	-	2,201	2,433	-
Other Inpatient	All	-	-	-	-	-	-	66	54	50	37	885	681	9
	Children	11	8	15	9	-	-	28	24	36	20	753	760	23
	Adults	13	8	24	12	471	396	65	40	54	35	963	734	33
	Age NA	-	-	-	-	-	-	312	306	16	9	1,203	1,203	2
Residential Treatment Centers	All	-	-	-	-	-	-	216	178	120	114	878	765	5
	Children	390	341	179	171	629	553	281	245	125	107	689	642	28
	Adults	915	706	-	-	778	771	366	302	113	97	1,064	953	18
	Age NA	-	-	-	-	-	-	13	11	159	159	-	-	2

**Note:**

Resident clients are clients who were receiving services in inpatient settings at the end of the reporting period.

This table uses data from URS Table 6.

**Table 6 State Notes:**

Hospital	SFY 2017 MHARS dataset; includes forensic facilities.
Other Inpatient	CY 2016 hospital discharge abstracts (SPARCS) and private mental hospitals (Medicaid). No children were in other inpatient settings for more than one year.
Residential	SFY 2016 Residential Tx Center for Children (CAIRS). No Adults were in RTC settings for less than one year.
Community	Source: Patient Characteristics Survey (2015). Data excludes persons who were only in inpatient settings during year. Estimates based on admission date: "Beginning of year" over 1 yr before survey; "admissions" less than 1 year before survey.
Overall	Individuals are duplicated across the state hospital, other psychiatric inpatient, RTC, and community settings. See General Notes for more information.

# **APPROPRIATENESS DOMAIN: Living Situation of Consumers Served by State Mental Health Agency Systems, FY 2017**

STATE: New York

Reporting Period: 11/1/2014 To: 10/31/2015

Age Group	Setting	State			US			States Reporting
		Living Situation	Percent in Living Situation	Percent with Known Living Situation	Living Situation	Percent in Living Situation	Percent with Known Living Situation	
All Persons Served	Private Residence	613,194	79.4%	83.1%	4,115,700	56.7%	82.7%	58
	Foster Home	1,672	0.2%	0.2%	68,594	0.9%	1.4%	49
	Residential Care	31,196	4.0%	4.2%	188,462	2.6%	3.8%	53
	Crisis Residence	3,321	0.4%	0.5%	10,627	0.1%	0.2%	34
	Residential Treatment Center	521	0.1%	0.1%	11,144	0.2%	0.2%	34
	Institutional Setting	8,289	1.1%	1.1%	67,697	0.9%	1.4%	53
	Jail (Correctional Facility)	34,436	4.5%	4.7%	86,943	1.2%	1.7%	53
	Homeless (Shelter)	36,648	4.7%	5.0%	210,479	2.9%	4.2%	54
	Other	8,219	1.1%	1.1%	218,150	3.0%	4.4%	42
	Not Available	34,725	4.5%	-	2,284,683	31.5%	-	51
	<b>Total</b>	<b>772,221</b>	<b>100.0%</b>	<b>100.0%</b>	<b>7,262,479</b>	<b>100.0%</b>	<b>100.0%</b>	<b>58</b>
Children under age 18	Private Residence	155,736	86.5%	95.1%	1,128,492	59.6%	89.9%	57
	Foster Home	1,325	0.7%	0.8%	51,142	2.7%	4.1%	49
	Residential Care	985	0.5%	0.6%	15,521	0.8%	1.2%	45
	Crisis Residence	388	0.2%	0.2%	1,320	0.1%	0.1%	30
	Residential Treatment Center	460	0.3%	0.3%	7,214	0.4%	0.6%	31
	Institutional Setting	1,037	0.6%	0.6%	4,234	0.2%	0.3%	44
	Jail (Correctional Facility)	241	0.1%	0.1%	8,561	0.5%	0.7%	48
	Homeless (Shelter)	1,557	0.9%	1.0%	5,593	0.3%	0.4%	50
	Other	2,102	1.2%	1.3%	33,709	1.8%	2.7%	38
	Not Available	16,287	9.0%	-	637,324	33.7%	-	44
	<b>Total</b>	<b>180,118</b>	<b>100.0%</b>	<b>100.0%</b>	<b>1,893,110</b>	<b>100.0%</b>	<b>100.0%</b>	<b>58</b>
Adults over age 18	Private Residence	457,437	77.3%	79.7%	2,982,868	55.9%	80.3%	58
	Foster Home	347	0.1%	0.1%	17,285	0.3%	0.5%	49
	Residential Care	30,209	5.1%	5.3%	172,541	3.2%	4.6%	53
	Crisis Residence	2,933	0.5%	0.5%	9,306	0.2%	0.3%	31
	Residential Treatment Center	61	0.0%	0.0%	3,926	0.1%	0.1%	27
	Institutional Setting	7,252	1.2%	1.3%	63,282	1.2%	1.7%	53
	Jail (Correctional Facility)	34,192	5.8%	6.0%	78,182	1.5%	2.1%	53
	Homeless (Shelter)	35,091	5.9%	6.1%	204,448	3.8%	5.5%	54
	Other	6,116	1.0%	1.1%	183,590	3.4%	4.9%	42
	Not Available	18,438	3.1%	-	1,621,534	30.4%	-	50
	<b>Total</b>	<b>592,076</b>	<b>100.0%</b>	<b>100.0%</b>	<b>5,336,962</b>	<b>100.0%</b>	<b>100.0%</b>	<b>58</b>

This table uses data from URS Table 15.

**State Notes:**

Source: Patient Characteristics Survey (2015)

# APPROPRIATENESS DOMAIN: Persons Who were Homeless by Age, Gender, Race, and Ethnicity, FY 2017

STATE: New York

Reporting Period: 11/1/2014 To: 10/31/2015

Demographic	Homeless or Living in Shelters				Percent of Total with Known Living Situation		States Reporting
	State		US		State	US	
	N	%	N	%	%	%	
Age 0 to 17	1,557	4.2%	5,593	2.7%	1.0%	0.4%	50
Age 18 to 64	33,709	92.0%	198,712	94.4%	6.5%	5.8%	54
Age 65+	1,382	3.8%	5,736	2.7%	2.5%	2.1%	54
Age Not Available	-	-	438	0.2%	-	6.7%	8
<b>Age Total</b>	<b>36,648</b>	<b>100.0%</b>	<b>210,479</b>	<b>100.0%</b>	<b>5.0%</b>	<b>4.2%</b>	<b>54</b>
Female	13,089	35.7%	84,018	39.9%	3.4%	3.2%	54
Male	23,522	64.2%	125,542	59.6%	6.6%	5.3%	54
Gender Not Available	37	0.1%	919	0.4%	4.3%	9.8%	18
<b>Gender Total</b>	<b>36,648</b>	<b>100.0%</b>	<b>210,479</b>	<b>100.0%</b>	<b>5.0%</b>	<b>4.2%</b>	<b>54</b>
American Indian or Alaska Native	204	0.6%	3,650	1.7%	6.7%	5.2%	51
Asian	379	1.0%	1,930	0.9%	2.5%	2.6%	49
Black or African American	16,179	44.1%	59,244	28.1%	9.2%	6.0%	52
Native Hawaiian or Other Pacific Islander	136	0.4%	639	0.3%	10.5%	5.4%	38
White	11,399	31.1%	105,156	50.0%	2.9%	3.5%	53
Hispanic or Latino	*	*	2,205	1.0%	*	2.7%	8
More Than One Race	454	1.2%	12,989	6.2%	2.7%	4.5%	47
Race Not Available	7,897	21.5%	24,666	11.7%	6.1%	5.9%	47
<b>Race Total</b>	<b>36,648</b>	<b>100.0%</b>	<b>210,479</b>	<b>100.0%</b>	<b>5.0%</b>	<b>4.2%</b>	<b>54</b>
Hispanic or Latino	8,429	23.0%	40,585	19.3%	5.0%	3.6%	50
Not Hispanic or Latino	27,094	73.9%	143,947	68.4%	4.9%	4.2%	53
Not Available	1,125	3.1%	25,947	12.3%	7.2%	6.4%	45
<b>Ethnicity Total</b>	<b>36,648</b>	<b>100.0%</b>	<b>210,479</b>	<b>100.0%</b>	<b>5.0%</b>	<b>4.2%</b>	<b>54</b>

\* Reported under Hispanic ethnicity.

## Note:

US totals are based on states reporting.

This table uses data from URS Table 15.

US totals are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

## State Notes:

Source: Patient Characteristics Survey (2015)

# Appropriateness Domain: Evidence-Based Practices Reported by SMHAs, FY 2017

New York

Reporting Period: 4/1/2016 To: 3/31/2017

Adult EBP Services	State		US		Penetration Rate: % of Consumers Receiving EBP/Estimated SMI		Measuring Fidelity		States Reporting
	EBP N	SMI N	EBP N	SMI N	State	US Average	State	US	
Supported Housing	23,763	425,802	89,414	3,689,608	5.6%	3.0%	No	7	35
Supported Employment	1,728	425,802	62,596	3,689,608	0.4%	2.0%	No	15	41
Assertive Community Treatment	6,582	425,802	74,032	3,689,608	1.5%	2.1%	Yes	27	41
Family Psychoeducation	-	-	35,658	3,689,608	-	2.8%	No	5	15
Dual Diagnosis Treatment	-	-	237,513	3,689,608	-	11.7%	No	9	28
Illness Self Management	-	-	318,831	3,689,608	-	20.0%	No	6	22
Medication Management	-	-	554,087	3,689,608	-	34.6%	-	2	22

Child/Adolescent EBP Services	State		US		Penetration Rate: % of Consumers Receiving EBP/Estimated SED		Measuring Fidelity		States Reporting
	EBP N	SED N	EBP N	SED N	State	US Average	State	US	
Therapeutic Foster Care	-	-	9,728	1,345,590	-	1.2%	-	4	21
Multi-Systemic Therapy	-	-	26,180	1,345,590	-	3.8%	-	8	17
Family Functional Therapy	-	-	24,574	1,345,590	-	4.6%	-	5	15

## Note:

US totals are based on states reporting.

This table uses data from URS Tables 16 and 17.

US averages are calculated uniquely for each data element based on only those states who reported numerator (clients served) data.

## State Notes:

Table 16: All data covers SFY2017 with the exception of Total unduplicated N - Adults with SMI served.

Table 17: None



**Appropriateness Domain: Adults with SMI and Children with SED Receiving Evidence-Based Services for First Episode Psychosis (FEP), 2017**

**New York**

**Reporting Period: 4/1/2016 To: 3/31/2017**

Number of coordinated specialty care programs: 1

**Admissions to Coordinated Specialty Care (CSC)-FEP Service During the Year**

	State		US		States Reporting
	N	%	N	%	
Adult CSC-FEP Admissions	260	89.3%	5,121	80.6%	38
Children/Adolescent CSC-FEP Admissions	31	10.7%	1,231	19.4%	33
Total CSC-FEP Admissions	291	100.0%	6,352	100.0%	39
Total Programs Reporting Number of Admissions	1	100.0%	141	81.5%	42
Average Admissions per Program	291.0	-	36.7	-	39

**Clients Currently Receiving CSC-FEP Services**

	State		US		States Reporting
	N	%	N	%	
Adults Receiving CSC-FEP Services	394	93.8%	5,022	84.1%	38
Children/Adolescents Receiving CSC-FEP Services	26	6.2%	952	15.9%	35
Total Receiving CSC-FEP Services	420	100.0%	5,974	100.0%	40
Total Programs Reporting Number of Clients Receiving Services	1	100.0%	159	91.9%	42
Average Number of Clients Services per Program	420.0	-	34.5	-	40

**CSC-FEP Fidelity and Training**

	State		US		States Reporting
	N	%	N	%	
Number of Programs Measuring Fidelity	-	0.0%	109	63.0%	40
Number of Programs with Staff Training Specifically in CSC EBP	1	100.0%	150	86.7%	39

**Clients Served by Programs With and Without CSC-FEP Fidelity**

	State			US			States Reporting
	Number of Programs	Clients Served	Average Number of Clients Served Per Program	Number of Programs	Clients Served	Average Number of Clients Served Per Program	
Programs with Fidelity	-	-	-	109	3,828	35.1	25
Programs without Fidelity	1	420	420.0	64	2,146	33.5	20

Note: This table use data from URS Table 16a.

**State Notes:**

None

# Outcomes Domain: Employment Status of Adult Mental Health Consumers Served in the Community by Age and Gender, FY 2017

New York

Reporting Period: 11/1/2014 To: 10/31/2015

Demographics	State				Employed as Percent of those in Labor Force		Employed as Percent of Known Employment Status		States Reporting
	Employed	Unemployed	In Labor Force*	With Known Employment Status**	State	US	State	US	
Age 18 to 20	4,658	4,840	9,498	27,304	49%	46%	17.1%	18.3%	57
Age 21 to 64	84,070	64,007	148,077	408,787	57%	44%	20.6%	22.1%	57
Age 65 and over	2,293	1,738	4,031	47,887	57%	37%	4.8%	9.5%	57
Age Not Available	-	-	-	-	-	53%	-	15.1%	4
Age TOTAL	91,021	70,585	161,606	483,978	56%	44%	18.8%	20.9%	57
Female	53,192	36,335	89,527	273,211	59%	46%	19.5%	21.8%	57
Male	37,760	34,176	71,936	210,178	52%	41%	18.0%	19.9%	57
Gender Not Available	69	74	143	589	48%	18%	11.7%	12.0%	30
Gender TOTAL	91,021	70,585	161,606	483,978	56%	44%	18.8%	20.9%	57

What populations are reported? See State Notes Number of States Reporting All Clients: 43 Number of States Reporting Some Clients: 13

When is Employment Status Measured?	At Admission	At Discharge	Monthly	Quarterly	Other
NY	-	-	-	-	Bi-Annually (every two years)
US	43	28	4	5	28

## Note:

\*In Labor Force is the sum of consumers employed and unemployed.

\*\*With Known Employment Status is the sum of consumer employed, unemployed and not in labor force.

Consumers employed as a % of those in labor force uses adults employed and unemployed as the denominator.

Consumers employed as % of known employment status uses the sum of persons employed, unemployed and not in labor force as the denominator.

This table uses data from URS Table 4.

## State Notes:

Age None

Gender None

Overall Source: Patient Characteristics Survey (PCS 2015). Data excludes persons in institutional settings, jails and correctional facilities.

# Outcomes Domain: Employment Status of Adult Mental Health Consumers Served in the Community by Diagnosis, FY 2017

New York

Reporting Period: 11/1/2014 To: 10/31/2015

Diagnosis	State				Employed as a % of Labor Force		Employed as % of Known Employment Status		% of Consumers with Dx		States Reporting
	Employed	Unemployed	In Labor Force*	With Known Employment Status**	State	US	State	US	State	US	
Schizophrenia and Related Disorders	6,537	11,040	17,577	87,198	37.2%	28.1%	7.5%	6.7%	18.0%	12.4%	50
Bipolar and Mood Disorders	39,142	30,156	69,298	205,764	56.5%	43.6%	19.0%	15.6%	42.5%	40.2%	51
Other Psychoses	1,606	2,082	3,688	11,202	43.5%	30.6%	14.3%	8.3%	2.3%	2.3%	48
All other Diagnoses	42,880	26,191	69,071	175,105	62.1%	51.3%	24.5%	18.9%	36.2%	32.7%	51
No Diagnosis and Deferred Diagnosis	856	1,116	1,972	4,709	43.4%	41.3%	18.2%	11.5%	1.0%	12.5%	44
TOTAL	91,021	70,585	161,606	483,978	56.3%	44.5%	18.8%	14.9%	100.0%	100.0%	51

## Note:

\*In Labor Force is the sum of consumers employed and unemployed.

\*\*With Known Employment Status is the sum of consumer employed, unemployed and not in labor force.

Consumers employed as a % of those in labor force uses adults employed and unemployed as the denominator.

Consumers employed as % of known employment status uses the sum of persons employed, unemployed and not in labor force as the denominator.

This table uses data for URS Table 4a.

## State Notes:

Source: 2015 Patient Characteristics Survey. Data excludes persons in institutional settings, jails and correctional facilities.

# CONSUMER SURVEY RESULTS, FY 2017

STATE: New York

Reporting Period: 6/1/2016 To: 5/31/2017

Indicators	Children: State	Children: U.S. Average	States Reporting	Adults: State	Adults: U.S. Average	States Reporting
Reporting Positively About Access	96.5%	87.4%	46	88.7%	89.2%	49
Reporting Positively About Quality and Appropriateness				89.4%	90.9%	49
Reporting Positively About Outcomes	86.3%	73.1%	46	78.3%	82.8%	49
Reporting on Participation in Treatment Planning	97.9%	88.6%	48	81.8%	87.4%	49
Family Members Reporting High Cultural Sensitivity of Staff	99.1%	93.3%	47			
Reporting positively about General Satisfaction with Services	96.9%	88.2%	48	89.6%	90.8%	49

**Note: U.S. Average Children & Adult rates are calculated only for states that used a version of the MHSIP Consumer Survey**

This table uses data from URS Table 11.

Children/Family	State	U.S.
Type of Survey Used	NYS OMH Family Assessment of Care Survey (FACS)	YSS-F=40

Type of Adult Consumer Survey Used	28-Item MHSIP	Other MHSIP	Other Survey
U.S.	23	25	1
State	-	-	-

Sample Size & Response Rate	Children: State	Children: U.S.	States Reporting	Adults: State	Adults: U.S. Average	States Reporting
Response Rate	43.0%	38.9%	40	84.0%	45.8%	39
Number of Surveys Attempted (send out)	5,824	190,069	40	5,369	220,305	40
Number of Surveys Contacts Made	5,824	130,521	37	5,369	170,586	38
Complete Surveys	2,510	44,269	42	4,509	110,132	43

Populations covered in survey	Children: State	Children: U.S.	Adults: State	Adults: U.S.
All Consumers	-	3	-	4
Sample	Yes	45	Yes	45

Sample Approach	Children: State	Children: U.S.	Adults: State	Adults: U.S.
Random Sample	-	9	-	8
Stratified Sample	-	15	-	14
Convenience Sample	Yes	18	Yes	20
Other Sample	-	4	-	4

Who is Sampled?	Children: State	Children: U.S.	Adults: State	Adults: U.S.
Current Clients	Yes	45	Yes	48
Former Clients	-	19	-	18

Populations included in sample: (e.g., all adults, only adults with SMI, etc.)	Children: State	Children: U.S.	Adults: State	Adults: U.S.
All Children or Adults Served	-	24	-	26
SMI Adults or SED Children	Yes	18	-	18
Persons Covered by Medicaid	-	14	-	12
Other	-	6	-	6

## State Notes:

None

# OUTCOMES DOMAIN: Consumer Survey Results, by Race/Ethnicity FY 2017

STATE: New York

Reporting Period: 1/1/2016 To: 12/31/2016

Race/Ethnicity	Family of Children Survey Indicators: Reporting Positively About...														States
	Access		General Satisfaction with Services		Outcomes		Participation In Tx Planning		Cultural Sensitivity of Staff		Social Connectedness		Improved Functioning		
	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	
Total	97%	86%	97%	87%	86%	69%	98%	88%	99%	94%	86%	86%	86%	71%	36
American Indian or Alaska Native	97%	83%	97%	82%	86%	70%	97%	85%	100%	93%	92%	86%	86%	70%	28
Asian	97%	84%	94%	90%	89%	70%	100%	88%	100%	93%	88%	84%	89%	74%	27
Black or African American	97%	86%	95%	86%	87%	68%	97%	88%	98%	94%	88%	87%	87%	68%	32
Native Hawaiian or Other Pacific Islander	100%	83%	100%	86%	75%	73%	100%	87%	100%	91%	75%	88%	75%	73%	22
White	96%	85%	97%	86%	85%	68%	98%	89%	99%	95%	85%	86%	85%	68%	32
Hispanic or Latino	98%	88%	98%	90%	90%	72%	99%	89%	99%	95%	90%	87%	90%	75%	29
More Than One Race	98%	84%	98%	86%	86%	67%	98%	88%	100%	94%	83%	80%	86%	69%	23
Not Available	98%	87%	97%	89%	91%	71%	98%	88%	99%	94%	91%	86%	91%	74%	33

Race/Ethnicity	Adult Consumer Survey Indicators: Reporting Positively About...														States
	Access		Quality & Appropriateness		Outcomes		Participation In Tx Planning		General Satisfaction		Social Connectedness		Improved Functioning		
	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	State	US Average	
Total	89%	86%	89%	89%	78%	71%	82%	83%	90%	89%	75%	70%	79%	71%	42
American Indian or Alaska Native	92%	83%	88%	86%	66%	70%	80%	79%	86%	86%	73%	71%	70%	71%	35
Asian	88%	86%	84%	88%	80%	71%	76%	81%	88%	89%	73%	72%	80%	71%	33
Black or African American	89%	86%	89%	89%	83%	73%	83%	83%	89%	87%	80%	73%	85%	74%	37
Native Hawaiian or Other Pacific Islander	53%	88%	60%	91%	79%	78%	50%	83%	60%	89%	71%	73%	64%	79%	30
White	89%	86%	91%	90%	76%	70%	82%	83%	91%	89%	73%	70%	78%	71%	38
Hispanic or Latino	90%	89%	91%	92%	82%	75%	82%	85%	92%	92%	78%	61%	82%	62%	33
More Than One Race	91%	83%	92%	87%	78%	69%	80%	79%	92%	88%	71%	66%	79%	70%	28
Not Available	87%	86%	88%	89%	79%	71%	82%	82%	88%	88%	74%	70%	79%	71%	36

## Notes:

This table uses data from URS Table 11a.

## State Notes:

None

# Outcomes Domain: Change in Social Connectedness and Functioning, FY 2017

New York

Reporting Period: 6/1/2016 To: 5/31/2017

Indicators	Children				Adults			
	State	US Average	US Median	States Reporting	State	US Average	US Median	States Reporting
Percent Reporting Improved Social Connectedness from Services	86.5%	87.2%	83.9%	46	74.7%	78.8%	70.6%	48
Percent Reporting Improved Functioning from Services	86.3%	74.9%	66.5%	45	79.4%	78.5%	70.7%	48

## Note:

This table uses data from URS Table 9.

US State Averages and Medians are calculated only with states which used the recommended Social Connectedness and Functioning questions.

Adult Social Connectedness and Functioning Measures	State	US
Did you use the recommended new Social Connectedness Questions?	Yes	46
Did you use the recommended new Functioning Domain Questions?	Yes	45
Did you collect these as part of your MHSIP Adult Consumer Survey?	Yes	46

Children/Family Social Connectedness and Functioning Measures	State	US
Did you use the recommended new Social Connectedness Questions?	No	45
If No, what Measure did you use?	NYS FACS	
Did you use the recommended new Functioning Domain Questions?	No	46
If No, what Measure did you use?	NYS FACS	
Did you collect these as part of your YSS-F Survey?	No	44
If No, what Measure did you use?	NYS Family Assessment of Care Survey (FACS)	

## State Notes:

None

# OUTCOMES DOMAIN: Civil (Non Forensic) & Forensic Patients Readmission within 30 Days by Age, Gender, and Race, FY 2017

STATE: New York

Reporting Period: 4/1/2016 To: 3/31/2017

Demographic	Readmissions within 30 days to state psychiatric hospitals: "Civil" (Non-Forensic) Patients							Readmissions within 30 days to state psychiatric hospitals: Forensic Patients						
	State			US				State			US			
	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	States Reporting	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	States Reporting
Age 0 to 12	30	543	5.5%	127	1,780	7.1%	9	0	7	0.0%	-	50	-	0
Age 13 to 17	56	1,468	3.8%	323	5,410	6.0%	16	1	108	0.9%	33	624	5.3%	5
Age 18 to 20	4	116	3.4%	303	4,396	6.9%	28	1	39	2.6%	36	847	4.3%	10
Age 21 to 64	151	1,972	7.7%	5,799	66,176	8.8%	48	51	1,395	3.7%	752	22,309	3.4%	39
Age 65 to 74	11	161	6.8%	180	2,445	7.4%	30	1	47	2.1%	34	759	4.5%	15
Age 75 and over	2	33	6.1%	41	805	5.1%	17	1	12	8.3%	8	203	3.9%	6
Age Not Available	0	-	-	56	829	6.8%	2	0	-	-	8	210	3.8%	1
<b>Age Total</b>	<b>254</b>	<b>4,293</b>	<b>5.9%</b>	<b>6,829</b>	<b>81,841</b>	<b>8.3%</b>	<b>50</b>	<b>55</b>	<b>1,608</b>	<b>3.4%</b>	<b>871</b>	<b>25,002</b>	<b>3.5%</b>	<b>41</b>
Female	109	1,995	5.5%	2,649	33,192	8.0%	49	10	265	3.8%	214	5,217	4.1%	29
Male	145	2,298	6.3%	4,176	48,632	8.6%	48	45	1,343	3.4%	656	19,767	3.3%	41
Gender Not Available	0	-	-	4	17	23.5%	2	0	-	-	1	18	5.6%	1
<b>Gender Total</b>	<b>254</b>	<b>4,293</b>	<b>5.9%</b>	<b>6,829</b>	<b>81,841</b>	<b>8.3%</b>	<b>50</b>	<b>55</b>	<b>1,608</b>	<b>3.4%</b>	<b>871</b>	<b>25,002</b>	<b>3.5%</b>	<b>41</b>
American Indian or Alaska Native	4	24	16.7%	172	1,576	10.9%	12	0	6	0.0%	11	262	4.2%	9
Asian	17	130	13.1%	74	1,084	6.8%	23	1	34	2.9%	15	498	3.0%	8
Black or African American	65	1,002	6.5%	1,632	18,357	8.9%	39	31	759	4.1%	348	9,358	3.7%	32
Native Hawaiian or Other Pacific Islander	0	-	-	52	458	11.4%	8	0	3	0.0%	7	111	6.3%	3
White	121	2,382	5.1%	4,293	53,039	8.1%	49	18	526	3.4%	401	11,661	3.4%	33
Hispanic or Latino	*	*	*	53	605	8.8%	8	*	*	*	19	1,134	1.7%	4
More Than One Race	10	133	7.5%	167	2,439	6.8%	18	0	31	0.0%	19	532	3.6%	10
Race Not Available	37	622	5.9%	386	4,283	9.0%	24	5	249	2.0%	51	1,446	3.5%	14
<b>Race Total</b>	<b>254</b>	<b>4,293</b>	<b>5.9%</b>	<b>6,829</b>	<b>81,841</b>	<b>8.3%</b>	<b>50</b>	<b>55</b>	<b>1,608</b>	<b>3.4%</b>	<b>871</b>	<b>25,002</b>	<b>3.5%</b>	<b>41</b>
Hispanic or Latino	43	550	7.8%	636	8,272	7.7%	28	7	268	2.6%	84	3,672	2.3%	19
Not Hispanic or Latino	204	3,441	5.9%	4,799	59,361	8.1%	45	46	1,211	3.8%	668	18,085	3.7%	34
Not Available	7	302	2.3%	1,394	14,208	9.8%	23	2	129	1.6%	109	2,765	3.9%	12
<b>Ethnicity Total</b>	<b>254</b>	<b>4,293</b>	<b>5.9%</b>	<b>6,829</b>	<b>81,841</b>	<b>8.3%</b>	<b>50</b>	<b>55</b>	<b>1,608</b>	<b>3.4%</b>	<b>861</b>	<b>24,522</b>	<b>3.5%</b>	<b>40</b>

\* Reported under Hispanic Ethnicity.

Forensics included in "non forensic" data? No

## Note:

US totals are based on states reporting.

This table uses data from URS Tables 20a and 20b.

## State Notes:

None

**OUTCOMES DOMAIN: Civil (Non Forensic) & Forensic Patients Readmission within 180 Days by Age, Gender, and Race, FY 2017**

**STATE: New York**

**Reporting Period: 4/1/2016 To: 3/31/2017**

Demographic	Readmissions within 180 days to state psychiatric hospitals: "Civil" (Non-Forensic) Patients							Readmissions within 180 days to state psychiatric hospitals: Forensic Patients						
	State			US				State			US			
	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	States Reporting	Readmissions N	Discharges N	%	Readmissions N	Discharges N	%	States Reporting
Age 0 to 12	94	543	17.3%	308	1,780	17.3%	11	0	7	-	3	50	6.0%	3
Age 13 to 17	201	1,468	13.7%	850	5,410	15.7%	20	8	108	7.4%	53	624	8.5%	8
Age 18 to 20	6	116	5.2%	680	4,396	15.5%	35	3	39	7.7%	85	847	10.0%	22
Age 21 to 64	330	1,972	16.7%	13,289	66,176	20.1%	51	216	1,395	15.5%	2,573	22,309	11.5%	44
Age 65 to 74	26	161	16.1%	384	2,445	15.7%	35	5	47	10.6%	86	759	11.3%	25
Age 75 and over	4	33	12.1%	88	805	10.9%	24	2	12	16.7%	12	203	5.9%	9
Age Not Available	0	-	-	120	829	14.5%	3	0	-	-	35	210	16.7%	1
<b>Age Total</b>	<b>661</b>	<b>4,293</b>	<b>15.4%</b>	<b>15,719</b>	<b>81,841</b>	<b>19.2%</b>	<b>52</b>	<b>234</b>	<b>1,608</b>	<b>14.6%</b>	<b>2,847</b>	<b>25,002</b>	<b>11.4%</b>	<b>46</b>
Female	299	1,995	15.0%	6,185	33,192	18.6%	51	35	265	13.2%	633	5,217	12.1%	40
Male	362	2,298	15.8%	9,530	48,632	19.6%	52	199	1,343	14.8%	2,212	19,767	11.2%	45
Gender Not Available	0	-	-	4	17	23.5%	2	0	-	-	2	18	11.1%	1
<b>Gender Total</b>	<b>661</b>	<b>4,293</b>	<b>15.4%</b>	<b>15,719</b>	<b>81,841</b>	<b>19.2%</b>	<b>52</b>	<b>234</b>	<b>1,608</b>	<b>14.6%</b>	<b>2,847</b>	<b>25,002</b>	<b>11.4%</b>	<b>46</b>
American Indian or Alaska Native	7	24	29.2%	377	1,576	23.9%	20	2	6	33.3%	34	262	13.0%	12
Asian	37	130	28.5%	185	1,084	17.1%	28	2	34	5.9%	49	498	9.8%	15
Black or African American	161	1,002	16.1%	3,906	18,357	21.3%	43	125	759	16.5%	1,133	9,358	12.1%	38
Native Hawaiian or Other Pacific Islander	0	-	-	62	458	13.5%	12	0	3	-	25	111	22.5%	8
White	356	2,382	14.9%	9,991	53,039	18.8%	50	66	526	12.5%	1,273	11,661	10.9%	42
Hispanic or Latino	*	*	*	137	605	22.6%	11	*	*	*	90	1,134	7.9%	7
More Than One Race	22	133	16.5%	367	2,439	15.0%	23	5	31	16.1%	86	532	16.2%	15
Race Not Available	78	622	12.5%	694	4,283	16.2%	31	34	249	13.7%	157	1,446	10.9%	27
<b>Race Total</b>	<b>661</b>	<b>4,293</b>	<b>15.4%</b>	<b>15,719</b>	<b>81,841</b>	<b>19.2%</b>	<b>52</b>	<b>234</b>	<b>1,608</b>	<b>14.6%</b>	<b>2,847</b>	<b>25,002</b>	<b>11.4%</b>	<b>46</b>
Hispanic or Latino	83	550	15.1%	1,423	8,272	17.2%	33	41	268	15.3%	325	3,672	8.9%	27
Not Hispanic or Latino	555	3,441	16.1%	11,087	59,361	18.7%	47	189	1,211	15.6%	2,079	18,085	11.5%	41
Not Available	23	302	7.6%	3,209	14,208	22.6%	29	4	129	3.1%	380	2,765	13.7%	19
<b>Ethnicity Total</b>	<b>661</b>	<b>4,293</b>	<b>15.4%</b>	<b>15,719</b>	<b>81,841</b>	<b>19.2%</b>	<b>52</b>	<b>234</b>	<b>1,608</b>	<b>14.6%</b>	<b>2,784</b>	<b>24,522</b>	<b>11.4%</b>	<b>45</b>

\* Reported under Hispanic Ethnicity.

Forensics included in "non forensic" data? No

**Note:**

US totals are based on states reporting.

This table uses data from URS Tables 20a and 20b.

State Notes:

None



**Structure Domain: SMHA Expenditure for Early Serious Mental Illness and First Episode Psychosis, 2017**
**New York**

Reporting Period 1/1/2016 To: 12/31/2016

Activity	State								US								States Reporting
	MHBG		State Funds		Other Funds		Total		MHBG		State Funds		Other Funds		Total		
	\$	%	\$	%	\$	%	\$	% of Total	\$	%	\$	%	\$	%	\$	% of Total	
CSC-Evidence-Based Practices for First Episode Psychosis	-	0.0%	\$3,958,198	100.0%	-	0.0%	\$3,958,198	100.0%	\$27,328,725	64.3%	\$13,548,489	31.9%	\$1,637,191	3.9%	\$42,514,405	71.2%	47
Training for CSC Practices	-	-	-	-	-	-	-	0.0%	\$4,492,538	93.0%	\$317,080	6.6%	\$22,228	0.5%	\$4,831,846	8.1%	21
Plannning for CSC Practices	-	-	-	-	-	-	-	0.0%	\$803,240	63.4%	\$186,567	14.7%	\$277,881	21.9%	\$1,267,688	2.1%	14
Other Early Serious Mental Illnesses program (other than FEP or partial CSC programs)	-	-	-	-	-	-	-	0.0%	\$6,129,073	67.9%	\$2,356,043	26.1%	\$544,847	6.0%	\$9,029,964	15.1%	14
Training for ESMI	-	-	-	-	-	-	-	0.0%	\$634,476	55.1%	\$495,635	43.0%	\$21,514	1.9%	\$1,151,625	1.9%	10
Planning for ESMI	-	-	-	-	-	-	-	0.0%	\$290,307	32.5%	\$601,966	67.5%	-	0.0%	\$892,273	1.5%	3
Total	-	0.0%	\$3,958,198	100.0%	-	0.0%	\$3,958,198	100.0%	\$39,678,357	66.5%	\$17,505,780	29.3%	\$2,503,661	4.2%	\$59,687,798	100.0%	54

Note: This table use data from URS Table 7a.

**State Notes:**

None

**STRUCTURE DOMAIN: State Mental Health Agency Controlled Expenditures for Mental Health, FY 2017****STATE New York****Reporting Period: 4/1/2015 To: 3/31/2016**

	<b>Expenditures: State</b>	<b>Percent of Total Expenditures: State</b>	<b>Expenditures: U.S.</b>	<b>Percent of Total Expenditures: U.S.</b>	<b>States Reporting</b>
State Hospitals-Inpatient	\$701,917,424	35%	\$5,653,608,290	22%	37
Other 24-Hour Care	\$141,146,961	7%	\$3,212,860,218	12%	40
Ambulatory/Community	\$764,996,777	38%	\$16,264,066,299	63%	54
EBPs for Early Serious Mental Illness	-	0%	\$59,704,646	0%	55
Primary Prevention	\$360,275,787	18%	\$411,483,619	2%	13
Administration	\$26,781,167	1%	\$343,299,339	1%	53
Total	\$1,995,118,116	-	\$25,945,022,411	-	57

**Note:**

This table uses data from URS Table 7

**STRUCTURE DOMAIN: State Mental Health Agency Controlled Expenditures by Funding Sources, 2017**
**STATE New York**
**Reporting Period: 4/1/2015 To: 3/31/2016**

Funding Source	Ambulatory/Community			State Hospital		
	State	Percent Total (State)	Percent Total (US)	State	Percent Total (State)	Percent Total (US)
Mental Health Block Grant	-	-	1.9%	-	-	-
Medicaid (Federal, State, and Local)	\$657,013,785	51.9%	60.2%	\$317,141,091	45.2%	17.1%
Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare), SAMHSA, etc.)	\$45,611,122	3.6%	1.6%	-	-	1.3%
State Funds	\$429,455,603	33.9%	32.5%	\$325,115,741	46.3%	78.0%
Local Funds (excluding local Medicaid)	\$61,996,618	4.9%	2.8%	\$25,059,848	3.6%	1.8%
Other	\$72,342,396	5.7%	1.0%	\$34,600,743	4.9%	1.8%
Total	\$1,266,419,525	100.0%	100.0%	\$701,917,424	100.0%	100.0%

This table uses data from URS Table 7.

Community/ambulatory includes primary prevention, evidence-based practices for early serious mental illness, and other 24-Hour care.

**Structure Domain: Federal Mental Health Block Grant Expenditures for Non-Direct Service Activities, FY 2017****New York****Reporting Period:** 1/1/2016 To: 12/31/2016

Service	Non-Direct Block Grant Expenditures	% Total	US % Total
Information Systems	-	-	8.6%
Infrastructure Support	-	-	32.6%
Partnerships, Community Outreach, and Needs Assessment	\$1,459,134	100.0%	15.6%
Planning Council	-	-	3.8%
Quality Assurance and Improvement	-	-	8.8%
Research and Evaluation	-	-	6.1%
Training and Education	-	-	24.5%
Total	\$1,459,134	100.0%	100.0%

Note: This table use data from URS Table 8.

**State Notes:**

This number includes state, regional, and local personnel salaries prorated for time and materials to support planning meetings, information collection, analysis, and travel.

**Structure Domain: Mental Health Programs Funded By the Federal Mental Health Block Grant, FY 2017**

**New York**

**Reporting Period:** 1/1/2016 To: 12/31/2016

Adult Programs: 9      Child Programs: 8      Set-Aside FEP Programs: 1      Total Programs: 10  
 Adult Total: \$20,207,869      Child Total: \$7,515,670      Set-Aside FEP Total: \$3,958,198      Total: \$31,681,735

Agency Name	Address	Area Served	Total Block Grant Funds	Block Grant for Adults with SMI	Block Grant for Children with SED	Set-Aside for FEP Programs
Albany County Community Service	175 Green Street, Albany, NY 12202	Albany	\$488,026	\$105,258	\$382,768	\$0
Research Foundation for Mental Hygiene	150 Broadway, Suite 301, Menands, NY 12204	Statewide	\$3,958,198	\$0	\$0	\$3,958,198
Erie County	95 Franklin Street, Rath Bldg., Room 1237, Buffalo, NY 14202	Erie	\$1,221,622	\$586,746	\$634,876	\$0
Monroe County	1099 Jay Street, Bldg. J., 2nd floor, Rochester, NY 14611	Monroe	\$1,496,779	\$127,306	\$1,369,473	\$0
Nassau County	60 Charles Lindbergh Blvd., Suite 200, Uniondale, NY 11553	Nassau	\$2,590,967	\$1,519,297	\$1,071,670	\$0
New York City Dept. of Health & Mental Hygiene	42-09 28th St 19th Floor, CN13, New York, NY 11101	NYC	\$18,481,340	\$16,396,960	\$2,084,384	\$0
Orange County	30 Harriman Drive, Goshen, NY 10924	Orange	\$59,294	\$59,294	\$0	\$0
Rensselaer County	1600 Seventh Avenue, County Office Building, Troy, NY 12180	Rensselaer	\$281,183	\$103,709	\$177,474	\$0
Suffolk County	North County Complex, Bldg. C92B, 725 Veterans Memorial Highway, Hauppauge, NY 11787	Suffolk	\$2,185,038	\$1,038,518	\$1,146,520	\$0
Westchester County	112 East Post Road, 2nd Floor, White Plains, NY 10601	Westchester	\$919,284	\$270,779	\$648,505	\$0

**General Notes FY 2017****New York**

URS Table Number	General Notes
7	Data for the 2017 SFY was not yet available.
7 & 7A	Regarding crossing table 7 with 7A: unfortunately, the fiscal division cannot break out the data in 7 to reflect the corresponding dollars in 7a this year.
15A	Values in this table have been affected by the change over from ICD-9 (DSM-IV) to ICD-10 (DSM-V) groupings
17	This year we do not have the data to help inform the issue of Family Psychoeducation, our collection efforts related to this topic have been “on hold” for the duration of the period above.
21	Psychiatric inpatient readmission data may be incomplete. For example, a readmission would not be included if it occurred after a person lost Medicaid eligibility, or if a person was hospitalized out of state or in the Veteran's Administration system.